

# A hierarchical approach to variation and sound change

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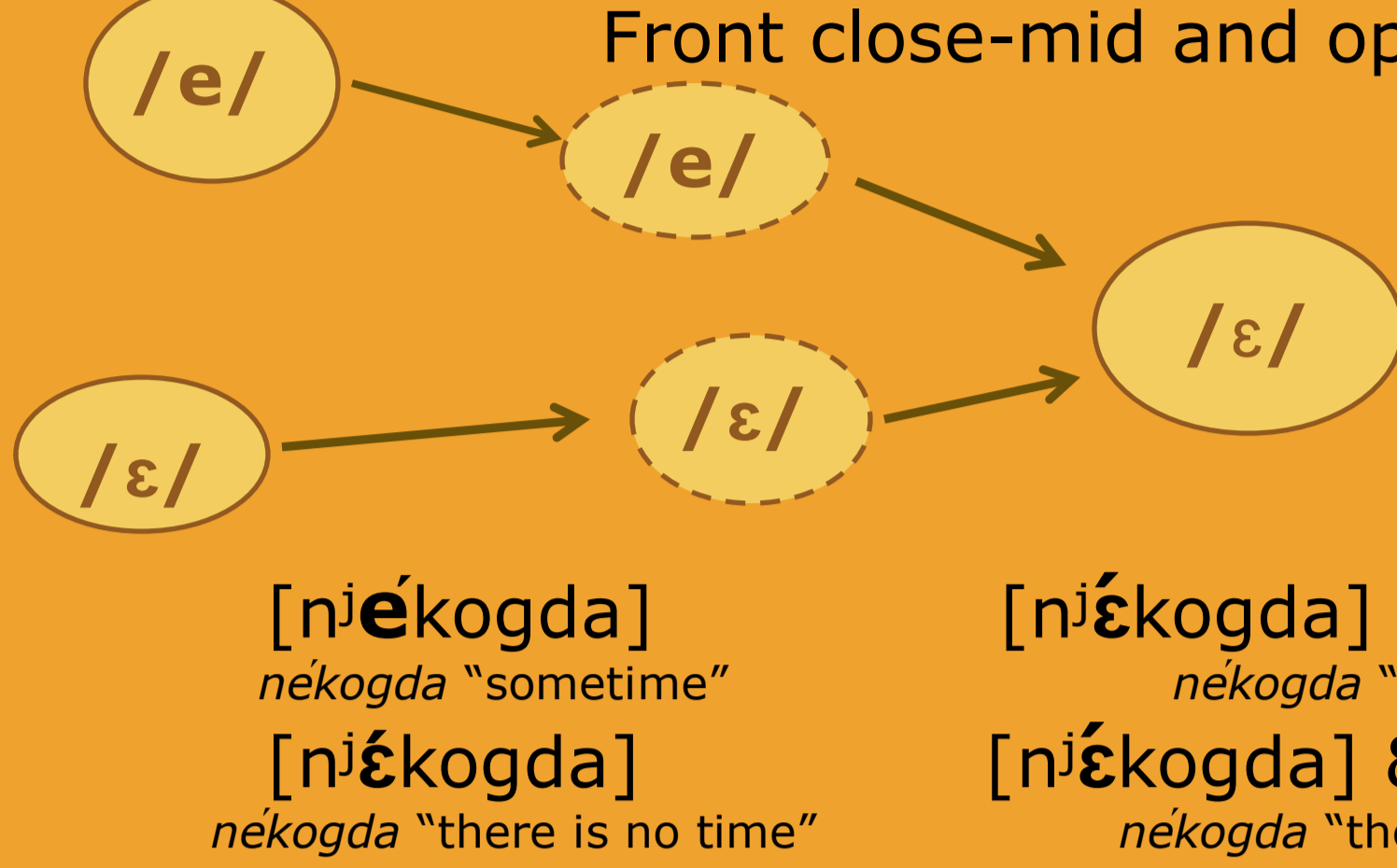
## Background

Distinctions among mid vowels are not stable across languages and leading to merger or near-merger (Clements 2006).

### Collapse of phonological distinction between stressed close-mid and open-mid vowels

#### Phonemic mergers under investigation:

Front close-mid and open-mid vowels /e/ and /ɛ/

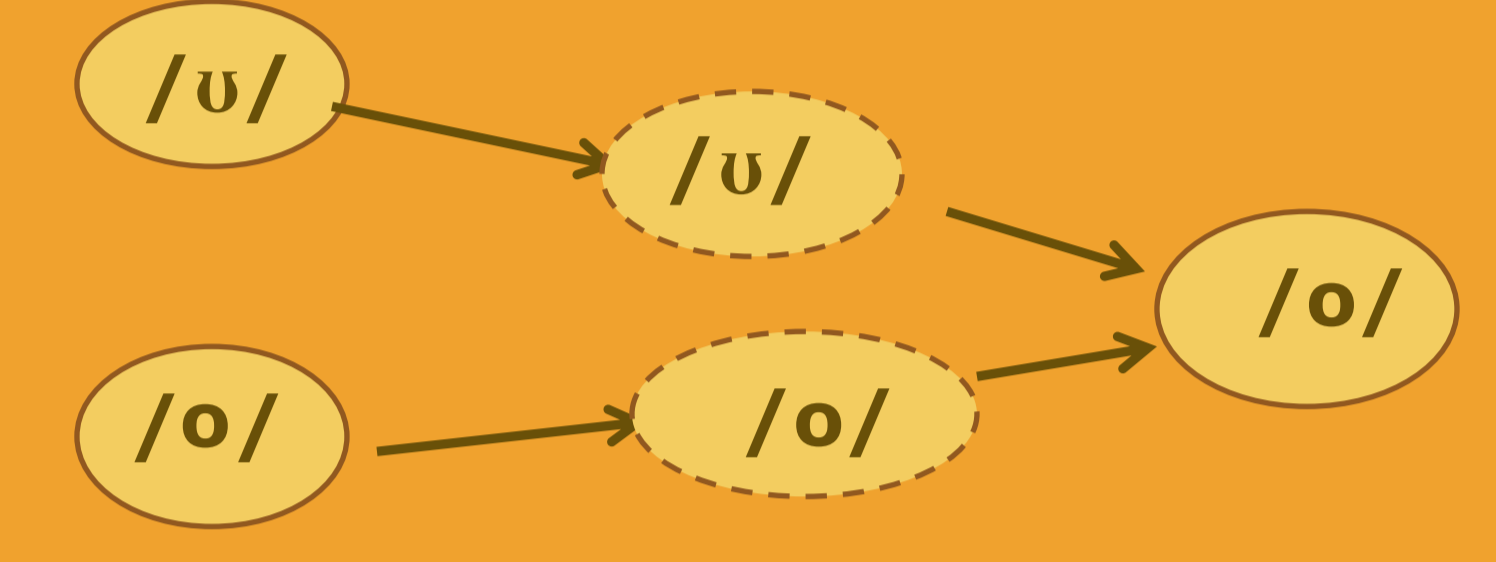


Words are transferred gradually from one phonemic category to another (Labov 1994)

[n'ɛkɔgdɑ] nekogda "sometime"  
[n'ɛkɔgdɑ] nekogda "sometime"  
[n'ɛkɔgdɑ] nekogda "there is no time"  
[n'ɛkɔgdɑ] nekogda "there is no time"

[n'ɛkɔgdɑ] nekogda "sometime"  
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Back close-mid and open-mid vowels /u/ and /o/



The end result of such a change is that all instances of the input phoneme are replaced by the output phoneme (Warren, Maguire 2013)

[mɔj] moj (m., sg., Nom.) "my"  
[mɔj] & [mɔj] moj (m., sg., Nom.) "my"  
[mɔj] moj (m., sg., Nom.) "my"  
[mɔj] & [mɔj] moj myt' (imperative) "to wash"  
[mɔj] & [mɔj] moj myt' (imperative) "to wash"

#### Observation in apparent time:

Investigation of consecutive stages of phonological change as presented in the speech of different age groups.

#### Location:

Villages of Kaj and Juzhaki, Verhnekamskij district, Kirov Region, 300 km from Kirov (North-East of European Russia),

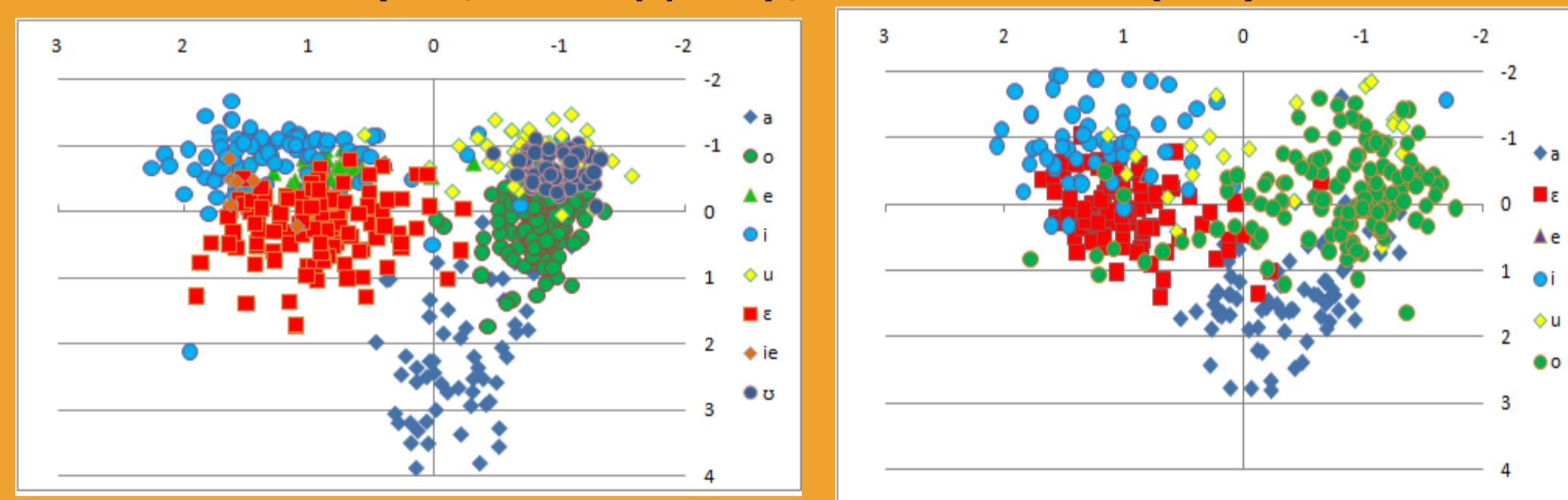
#### 10 speakers:

born between 1931 and 2000  
Approximately one hour of recording for each speaker



#### There are three types of idiolects:

transitional (1<sup>st</sup>, 2<sup>nd</sup> types), innovative (3<sup>rd</sup>)



Vowel space in innovative system is shrunk. Number of possible allophones of front and back mid vowels is reduced. As a result phonological contrasts are lost.

Acoustic analysis of transitional vowel systems (speaker VSV, the dataset includes 971 vowels) & innovative vowel system (speaker AIA, the dataset includes 702 vowels). The data are normalized by Lobanov's vowel-extrinsic method.

#### Multifarious data from:

- spontaneous speech
- production experiments (repetition of test items with open-mid and close-mid phonemes in a variety of positions)
- categorical perception experiments

#### A hierarchy of conditions on inter- and intra-speaker variation based on statistical analysis of competing realizations

## Research questions

- What are the factors that condition a speakers' choices when two or more competing pronunciation variants are available?
- What are the mechanisms that account for the elimination of competing choices?

## Phonemic merger in progress

From context-sensitive to context-independent merger

### Reconstructed phonological system:

Consistent distinction between close-mid and open-mid vowel phonemes.

#### Front close-mid & open-mid phonemes

	CVC	CVCi
/e/	[l'ɛs] les "forest" [m'ɛsto] mesto "place"	[o l'ɛs'ɛ] o lɛse "about forest" [v m'ɛs'tɛ] v meste "in the place"
/ɛ/	[konf'ɛta] konfɛta "candy" [int'ɛr'ɛsnɔj] int'ɛr'ɛsnɔj "interesting"	[o konf'ɛtɛ] o konfɛte "about candy" [int'ɛr'ɛsnɛj] int'ɛr'ɛsnɛj "more interesting"

#### Back close-mid & open-mid phonemes

	CVC, CVCi	CVC	CVCi
/u/	[kɔt] kot "cat" [bɔl'ɛ] bol'se "more"	/e/ [ɛ] /ɛ/ [ɛ]	/i/ [i] /ɛ/ [ɛ]
/o/	[gɔt] god "year" [bɔl] bol' "pain"	/o/ [o] /o/ [o]	/o/ [o] /o/ [o]

### Current phonological systems

#### 1<sup>st</sup> type

speakers ENP (1932), VSV (1933)

The historical distinction between phonemes /e/ & /ɛ/ collapsed both in CVC and in CVCi syllables. After the merger allophones of both historical phonemes were preserved in the vowel system as competing choices. Choices are driven by a variety of conditions and are probabilistic in nature.

	CVC	CVCi
/e/	[l'ɛs], [l'ɛs], [l'ɛs], [l'ɛs] "forest" [m'ɛsto], [m'ɛsto], [m'ɛsto] "place" [konf'ɛta], [konf'ɛta], [konf'ɛta] "candy" [int'ɛr'ɛsnɔj], [int'ɛr'ɛsnɔj], [int'ɛr'ɛsnɔj] "interesting"	[o l'ɛs'ɛ] [o l'ɛs'ɛ] "about forest" [v m'ɛs'tɛ], [v m'ɛs'tɛ], [v m'ɛs'tɛ] "in the place" [o konf'ɛtɛ], [o konf'ɛtɛ], [o konf'ɛtɛ] "about candy" [int'ɛr'ɛsnɛj], [int'ɛr'ɛsnɛj], [int'ɛr'ɛsnɛj] "more interesting"

/o/	/u/
[gɔt] god "year" [tɔlstɔj] tolstɔj "fat" [kɔlos] kolos "ear"	[kɔt] kot "cat" [stɔl] stol "table" [ukɔl] ukol "injection"
/o/	
[bɔl] & [bɔl] bol' "pain" [bɔl'ɛ] & [bɔl'ɛ] bol'se "more" [mɔj] & [mɔj] moj (m., sg., Nom.) "my"	

The historical distinction between /u/ & /o/ is found in a number of morphemes. A large number of morphemes underwent the process of /u/ & /o/ merger. After the merger allophones of both historical phonemes surface as competing choices subject to recently emerged factors of variation and probability rules.

#### 2<sup>nd</sup> type

speakers AEN (1932), AMCH (1931), LICH (1956), GAM (1957) SPK (1991)

	CVC	CVCi
/e/	[m'ɛsto], [m'ɛsto], [m'ɛsto] "place" [konf'ɛta], [konf'ɛta], [konf'ɛta] "candy"	[v m'ɛs'tɛ], [v m'ɛs'tɛ], [v m'ɛs'tɛ] "in the place" [o konf'ɛtɛ], [o konf'ɛtɛ], [o konf'ɛtɛ] "about candy"
/o/		
[gɔt] god "year" [bɔl] & [bɔl] bol' "pain" [bɔl'ɛ] & [bɔl'ɛ] bol'se "more" [kɔt] kot "cat"		

#### 3<sup>rd</sup> type

speakers TAM (1972), AIA (1996), SACH (2000)

Competing choices are ousted: open-mid vowels generalized across a number of previously relevant conditions (factors of variation).

#### Front mid phoneme

	CVC	CVCi
/e/	[m'ɛsto] "place" [konf'ɛta] "candy"	[v m'ɛs'tɛ] "in the place" [o konf'ɛtɛ] (very rarely [o konf'ɛtɛ]) "about candy"

#### Back mid phoneme

	/o/
[gɔt] "year", [kɔt] "cat", [bɔl] "pain", [bɔl'ɛ] (very rarely) [bɔl'ɛ] "more"	

## A hierarchical approach

Where the choice between close-mid and open-mid vowels is available, it may be probabilistically predicted on the basis of the following factors

#### phrasal position

Prominent position is associated with the focus and is established on the basis of pitch contour. Prominent positions favour open-mid vowels. Non-prominent positions favour close-mid counterparts.  
[zɪd'ɛs] zdes' "here" – prominent position; [zɪd'ɛs] – non-prominent;

#### speaking style

Speakers more frequently choose open-mid vowels in read speech and close-mid vowels in spontaneous speech.  
[vm'ɛs'tɛ] vmeste "together" – read speech; [vm'ɛs'tɛ] – spontaneous speech;

#### consonantal context

##### Front vowels:

Palatalized right context triggers higher vowels.

[hl'ɛp] xleb "bread" – CVC; [o hl'ɛb'ɛ] o xlebe "about bread" – CVCi;

##### Back vowels:

Labial, lateral and velar environment show stronger preference for close-mid vowels.  
[sel'ɔ] selo "village" – after lateral; [vedr'ɔ] vedro "bucket" – after post-alveolar

#### vowel duration

[v'ɪt'ɛr] veter "wind" – the higher vowel falls below the average duration of allophones of given phonemes; [v'ɛt'ɛr] – the lower vowel is above this value.

### Relative magnitudes of factors

#### Front vowels:

Predictions	1 <sup>st</sup> type (2 speakers)	2 <sup>nd</sup> type (5 speakers)	3 <sup>rd</sup> type (3 speakers)
<b>All instances of morphemes with variation</b>	32 morphemes (223 realizations)	40 morphemes (304 realizations)	5 morphemes (20 realizations)
<b>phrasal position</b>	24 morphemes (171 realizations)	35 morphemes (215 realizations)	5 morphemes (18 realizations)
<b>speaking style</b>	8 morphemes (33 realizations)	21 morphemes (60 realizations)	2 morphemes (2 realizations)
<b>context</b>	5 morphemes (12 realizations)	14 morphemes (26 realizations)	0
<b>duration</b>	4 morphemes (6 realizations)	4 morphemes (5 realizations)	0

#### Back vowels:

Predictions	1 <sup>st</sup> type (2 speakers)	2 <sup>nd</sup> type (5 speakers)	3 <sup>rd</sup> type (3 speakers)
<b>All instances of morphemes with variation</b>	15 morphemes (61 realizations)	15 morphemes (67 realizations)	2 morphemes (14 realizations)
<b>phrasal position</b>	12 morphemes (51 realizations)	12 morphemes (49 realizations)	2 morphemes (12 realizations)
<b>speaking style</b>	5 morphemes (7 realizations)	6 morphemes (13 realizations)	2 morphemes (2 realizations)
<b>context</b>	3 morphemes (3 realizations)	2 morphemes (5 realizations)	0
<b>duration</b>	0	0	0

## Results

- The vowel system of dialect of Kaj is moving from a situation where one phonological position allows alternative choices (1<sup>st</sup>, 2<sup>nd</sup> types) to a situation with a one-to-one relationship between the phonological position and the allophone (3<sup>rd</sup> type).
- The hierarchical approach allowed to establish the relative magnitude of factors conditioning variation in the transitional (1<sup>st</sup>, 2<sup>nd</sup> types) and in innovative idiolects (3<sup>rd</sup> type).
- The impact of these factors is being gradually diminished as younger speakers lose an ability to distinguish between them and generalize one pronunciation variant across a number of conditions.