

**Phonetic and Social Selectivity in Speech Accommodation**

by

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## Abstract

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Spontaneous phonetic imitation - the phenomenon where interacting talkers come to be more similar-sounding - may be an important mechanism in dialect convergence and historical sound change. Recent research has been concerned with whether spontaneous imitation is an automatic (and hence unavoidable) process, or whether it is consciously mediated by social factors (e.g., Giles and Coupland 1991, Goldinger 1998, Pickering and Garrod 2004, Pardo 2006). Recently, Nielsen (2008) suggests that imitation of VOT can be influenced by abstract linguistic knowledge as well. This dissertation presents the result from a project that investigated phonetic imitation of vowels. The results show that talkers accommodate on the first and second formants of the model talker in the task, but that not all vowels are imitated to a significant degree. In this study of American English, only the low vowels /ɑ/ and /æ/ exhibit strong imitation effects, and this effect lies primarily within the F1 dimension. I argue that this is due to the increased repertoire of production variants

talkers store for low vowels as a result of the difference in jaw height in accented and unaccented environments. In addition to these findings of phonetic selectivity, the degree to which vowels were imitated were subtly affected by implicit social measures of how the participant felt about the model talker in the experiment. The results of this study suggest that participants only make use of pre-existing tokens within their phonetic repertoire in a shadowing task and that the use of those variants is mediated by implicit social factors. Such results demonstrate that phonetic imitation is not automatic in terms of occurring all the time, but indeed automatic in terms of happening subconsciously. That is, the social factors that mediate the imitation process are not explicit social choices, but implicit socio-cognitive biases.

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Professor Keith Johnson  
Dissertation Committee Chair

To you.

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# Chapter 1

## Introduction

This dissertation is concerned with phonetic accommodation of vowels, i.e. how an individual passively acquires the acoustic characteristics of vowels of the individuals he or she is interacting with. In this chapter, I introduce some of issues that go along with this topic. These issues are laid out in Section 1.1. A particularly interesting aspect of speech accommodation is that it is part of a larger set of imitative behaviors; Section 1.2 describes this parallel non-linguistic behavior. Finally, a general outline of the remaining chapters of this dissertation is given in Section 1.3.

### 1.1 The issues

As people learn to speak, they acquire the language and dialect spoken around them. Sentence structure, lexical selection, and pronunciation are all determined by the patterns being used in the ambient language we are exposed to. Having grown up in Minnesota, I did not learn how to speak with a British accent, but with a Minnesotan one.

However, after moving to California as an adult, with time my speech has lost many of its Minnesotan features. To native Californian ears though, I do not sound Californian, *per se*, but I do sound much less Minnesotan. Interestingly, the Minnesotan features of my speech return when interacting with old friends and family or even when talking about my childhood or Minnesota-infused memories. A central question surrounding this dialect-shifting behavior is whether it is an automatic – that is, uncontrolled behavior – or whether it is an intentional social act on my part to identify as a native Minnesotan.

In addition to changes in language production as a result of exposure to a new dialect, similar changes in speech have been induced in the laboratory. For example, imagine a picture with a man holding a cake and a woman standing by. The orientation of the image suggests the man intends to pass the cake to the woman. Participants who have been exposed to an oral description *The boy gave the toy to the teacher* are more likely to describe the cake picture as *The man gave the cake to the woman* than *The man gave the woman the cake*. The second description is a completely grammatical utterance that accurately conveys what is going on in the picture. But, simply being exposed to the construction *give X to Y* favors the future use of that construction over *give Y X* (Bock 1986, Branigan et al. 2007, Pickering and Ferreira 2008). Similarly, with word choice, individuals align their lexical selections to those of their interlocutors (Garrod and Doherty 1994).

This question of how why we sound like we do is particularly interesting from a phonetic perspective. The fact that the perceptual categories of language are labile is well grounded (Norris et al. 2003, Kraljic and Samuel 2005, 2006, 2007, Kraljic et al. 2008a,b, Maye et al. 2008). But, in terms of speech production, when we are faced with the question

*why do talkers sound like they do*, the immediate answer is that it is determined by a talker's physiology. The exact size and shape of talker's oral cavity play a large role in determining the acoustic characteristics of that particular talker. Someone who is taller will have a larger oral cavity, and therefore the acoustic resonances that come from that cavity – or that individual – will be lower. However, if we ignore physiological differences between talkers, the question of why do we sound like we do boils down to a question of how do we sound like those around us. From birth, talkers acquire the ambient language and dialect to which they are exposed, and, if we are to examine how these speech production targets may change from one production to the next, we simply need to examine what is known as phonetic imitation.

Phonetic imitation, also known as phonetic convergence or phonetic accommodation, is the process in which a talker acquires acoustic characteristics of the individual they are interacting with. Like sentence structure and lexical selection, phonetic imitation has also been investigated in the laboratory. This research has revealed that phonetic convergence can occur in both socially minimal situations where talkers are simply producing single words (Goldinger 1997, 1998, Goldinger and Azuma 2004b, Namy et al. 2002) and in cooperative, socially rich, dyadic interactions (Pardo 2006, In press). These studies have shown that talkers imitate acoustic characteristics of the voice to which they are exposed, but the way in which imitation was measured does not tell us anything about *what* exactly within the continuous acoustic signal is imitated. This is a real gap in our knowledge. An understanding of what is targeted in phonetic imitation is crucial as it reveals details about the structure of linguistic representation in the mind. The primary goal of this dissertation

is to fill this gap. To do so, the experiment described in the subsequent chapters specifically examines the imitation of vowels in the production of single words.

In the opening paragraph, I raised the question of whether a speaker consciously switches between dialects, or whether this behavior is more automatic in nature. This question relates to the second goal of this dissertation. In linguistics, describing a process as social is often synonymous as describing the process as intentional. For example, take style-shifting. Style-shifting is the process of changing ways of speaking according to social context; your speech style is different when you are talking to a child, giving an oral presentation, or casually chatting with friends. One approach to style describes it as a “controlled device” employed in language use (Labov 2001a:85). Others have labeled language as a “fairly low-level process” while the social meaning it is imbued with is subject to “conscious manipulation” (Eckert 2001:124). It is my goal to test the hypothesis that social linguistic behaviors are automatic as opposed to conscious efforts used to convey social meaning, although that type of social act does, of course, take place in the process of verbal social interaction. To do this I use race as a social variable. Through the experiment described in the following chapters, I show how implicit measures of racial bias predict speech behavior. To do so, I use what is called an Implicit Association Task (IAT). The IAT, first described in Greenwald et al. (1998), is a standard tool used in social psychology. It is a task that uses reaction time in category classification to measure implicit biases. In using the IAT in language research, we are able to tap socialized racial attitudes to see how they influence speech behavior.

The two major goals of this dissertation are to demonstrate that (1) talkers accom-

modulate to the vowels of a model talker in a spontaneous imitation task, and (2) automated social behavior affects the degree of imitation. To achieve this, an auditory naming task was conducted (Goldinger 1998, Namy et al. 2002). White participants were exposed to single word productions from either a Black talker or a White talker; their task was simply to identify each word by saying it out loud. Participants were randomly assigned to either the Black talker or the White talker; they were also randomly assigned to either a condition with a still digital image of the talker (the Social Conditions) or a picture-less condition (the Asocial Conditions). Upon completing the speech production task, participants completed an IAT that measured their Black/White bias. The details of this task are reported in Chapter 3.

This dissertation will also contribute to a debate within the study of speech accommodation. The two main theories about linguistic accommodation differ with respect to the primary motivation for imitation. One theory states that imitation serves a social function. Under this theory, speakers of language use it to modulate social distance by converging or diverging their speech patterns (Shepard et al. 2001). The other theory describes imitative behavior as automatic and uncontrolled (Goldinger 1998, Trudgill 2008, Pickering and Garrod 2004b). In this dissertation I demonstrate that vowel imitation is selective from both a phonetic and social perspective, but that this selective behavior is automatic and uncontrolled.

## 1.2 Accommodation in non-linguistic behavior

One of the most interesting aspects of phonetic imitation is that convergent behavior is not limited to speech communication, but is a fundamental aspect of human behavior. People imitate one another in behavioral modes other than language. The purpose of this section is to situate the study of language accommodation within the larger study of human behavior.

It is common knowledge that yawning is contagious. In a number of articles, Bargh and colleagues (Chartrand and Bargh 1996, Dijksterhuis and Bargh 2001, Bargh and Williams 2006) argue that such processes of behavioral imitation are part of an automatic perceptual-behavioral link that is, crucially, mediated by social factors. Chartrand and Bargh (1996:893) posit that behavioral convergence fosters positive feelings between interactors and functions as a social adhesive for creating and maintaining social relationships. In a series of experiments, the authors investigated how interacting partners mimic subtle movements like facial expressions, foot shaking, and face rubbing in the absence of a common goal. Chartrand and Bargh found that facial expressions were imitated to a large extent while face rubbing and foot shaking tended to converge yet remained insignificant statistically. In the second experiment, subjects rated their partner as more likeable and rated the interaction as having gone more smoothly when the partner mimicked their actions. Finally, the third experiment examined why select individuals mimic partners' behavior to a greater extent than others. Participants were administered an empathy test that reveals the effect of an individual's level of "cognitive, perspective-taking component of empathy and not the emotional, empathic-concern facet" on behavioral convergence (p. 904). Par-

ticipants who scored in the top half of the perspective-taking empathy test mimicked more behaviors than those who scored in the lower half.<sup>1</sup> The results of the empathic-concern test had no effect on the behavior of the participants. The authors conclude by predicting that members of different cultures (collectivist vs individualist cultures) should have different levels of behavioral mimicry.

The automaticity and innateness of the perception-behavioral link is highlighted in Dijksterhuis and Bargh (2001). Behaviors are imitated because of the way they are mentally represented; in Bargh and Williams (2006), this mental representation is argued to be an automatic cognitive reflex associated with the activation of mirror neurons. It is reasoned that people share with other animals the basic perceptual mechanisms that connect directly to our behavioral representations. The only difference, argue Dijksterhuis and Bargh (2001), is that higher-level primates have developed further brain functions that can mediate and inhibit the perceptual-behavioral link. This means that social knowledge may be the inhibitor of imitation and not the facilitator. In other words, the default behavior may be imitation, but a social reason not to perform behavioral convergence may prevent it. Dijksterhuis and Bargh go on to argue that the strength of the link is mediated by disincentives and obstacles that conflict with goals and purposes, self-focused attention, and liking. Self-focused attention eliminates the amount of attention given to others in the environment and inhibits the imitative reflex of the perceptual-behavioral link. If participation in a mimicked activity would cause harm or prevent the attainment of goals, the link is inhibited. The most simple mitigating factor is liking. The implication

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<sup>1</sup>Empathy has also been shown to improve an individual's ability to reproduce detailed aspects of a second language (Taylor et al. 1971). They suggest that empathy may be related to an individual's general perceptual abilities.

of this factor is clear. If an individual has social bonds with a particular interlocutor or enjoys the behavior one is witnessing, it is more likely that the individual will engage in the perceived behavior.

What is perceived, however, is not always part of physical reality. Three types of perception are considered “natural and automatic”: observables, trait inferences, and social stereotypes (Dijksterhuis and Bargh 2001:9). Observables are what we perceive; that is, we can only perceive the information observed by the senses. The concept of perception is further complicated in the case of the perception of social stereotypes where what is perceived is an automatic generation of characteristics associated with the activated stereotype. Trait inferences are not part of the perceptible environment, but are the traits associated with the perceived action. The types of observable percepts and the factors that inhibit or facilitate the perceptual-behavioral link posited in this literature have direct correlates in speech and have the potential to explain many speech perception patterns. There is considerable evidence in studies of speech perception that support these claims (for examples see Johnson and Strand 1996, Johnson et al. 1999, Niedzielski 1999, Hay et al. 2006a,b).

### **1.3 Outline**

This first chapter serves to present the themes of this dissertation and to contextualize them within the study of linguistics and human behavior more broadly. In Chapter 2, the focus turns to accommodation in speech, especially at the phonetic level. Chapter 2 of this dissertation reviews a wide array of literature on, perhaps, seemingly disparate topics.

Chapter 2 covers not only previous research on phonetic imitation, but also a discussion of possible mechanisms that may account for and explain why accommodative behavior exists in language. Traditional work in sociolinguistics, particularly dialect change, is also reviewed. This literature is important for two key reasons. One simply relates to the variable nature of the speech signal. Users of language select from a pool of variants, both in speech production and speech perception. This selection is based on socially meaningful contextual information. Second, as noted in the opening paragraph, dialect change is essentially long-term accommodation to new speech patterns. Therefore, the descriptive work on *what* changes in the course of dialect shift is important in terms of predicting how research participants will respond in an experimental paradigm.

Chapter 3 describes the methodology used for the lexical shadowing task and the Implicit Association Task (Greenwald et al. 1998). The aim of the experiment is to investigate imitation in vowels and to understand the role of social information in the process. The lexical shadowing task is similar to that of Goldinger (1998) and Namy et al. (2002). In brief, in this type of task participants read words aloud in a pre-task test. This is followed by the shadowing blocks where participants hear a model talker produce the same words from the word list; the participants' task is to identify the words by saying them out loud. Finally, participants provide post-task productions by reading the same words from the pre-task test again. Phonetic accommodation is determined by examining how the shadowed productions and the post-task productions – those subsequent to exposure to the model talker – have changed compared to the pre-task productions. The model talker voices are those of either a Black talker or a White talker, both male speakers of California

English.

There are three novel methodological contributions in this dissertation discussed in greater depth in Chapter 3. The first novel methodological step is the use of visual information in the shadowing task. In two of the conditions, participants are presented with the audio of a talker, along with a static image of the talker whose voice they are exposed to. The second methodological contribution comes from the fact that the expressed intention of this dissertation is to examine imitation in vowels. As such, the AXB task which has been a standard practice for determining imitation (Goldinger 1998, Namy et al. 2002, Pardo 2006) cannot be used. This dissertation uses the Euclidean distance between a participant's production and the model talker's production to measure the amount of imitation. The difference in the Euclidean distances between pre-exposure productions (the Pre-task) and post-exposure productions (Shadowing and Post-task) is calculated to determine how much a participant changed their vowel productions as a result of auditory exposure to the model talker. The third methodological step involves the integration of social psychology tools into linguistic research. As described in detail in Chapter 3, participants completed an Implicit Association Task that measured their racial bias. The other social measure collected was participants' perceptions of the attractiveness of the talker to which they were exposed. Both IAT scores and the Attractiveness ratings were used in the statistical analysis of the speech production results.

A description of the statistical modeling of the data can be found in Chapter 4, along with the results of the experiment and a discussion of the findings. The data were fit to mixed effects models, and subsequent analyses of variance further investigated the results.

The main findings were that participants did imitate talkers' vowels, and that this phonetic convergence was selective both phonetically and socially. In terms of phonetic selectivity, female participants imitated the low vowels /ɑ/ and /æ/, while male participants imitated /ɑ/ and /æ/ the most, and to some extent they also imitated /o/ and /u/. Social selectivity was also found. For both male and female participants, those who scored with less of a pro-White bias on the IAT were more likely to converge on the vowels of the Black talker. The results with the Attractiveness ratings were mixed. Female participants were more likely to imitate when they rated the talker as attractive. However, for the male participants, the less attractive they found the talker, the more likely they were to imitate. Chapter 4 finishes with a discussion of the results and proposes a theory to account for these data. In short, I argue that participants are making use of word-level production variants that already exist within their repertoire. This theory accounts for the phonetic specificity; see Chapter 4 for the details of this argument.

In the concluding chapter I review the findings presented in Chapter 4 and the explanation for the results. Chapter 5 also includes a presentation of the empirical and theoretical questions raised by this work. The implications gleaned from this work for phonetic theory, sociolinguistics, and psycholinguistics are summarized separately.

## Chapter 2

# Background

This chapter provides the theoretical and empirical background to the dissertation experiment. Section 2.1 begins the chapter with a discussion of work on dialect contact and dialect change, from both the sociolinguists' perspective and that of phoneticians and laboratory phonologists. Research on phonetic accommodation in the lab is reviewed in Section 2.2. Experimental approaches from both social psychologists and more traditional phonetics research are addressed. The divide between these two camps reflects the debate surrounding the primary motivation for imitation; this debate is also presented in Section 2.2. Section 2.3 is about variation in speech and the role it may play in phonetic accommodation. The final section of this chapter, Section 2.4, summarizes key points from this chapter. Throughout this dissertation, I evaluate the extent to which social cognition may play a role in accommodation, and this will be a clear theme in this chapter.

## 2.1 Phonetic accommodation in the real world

Before reviewing research that explicitly sets out to explore speech accommodation in a lab setting, it is important to briefly discuss work on dialect acquisition and new dialect formation since dialect acquisition can be understood as a longterm exercise in linguistic accommodation. Research in dialect contact has long grappled with issues of speech convergence and accommodation. There are large methodological differences between some of the earlier work that addressed these issues and the most recent experimental work, but the results are consistent. In most cases, over time a talker will begin to sound more like the talkers of the new dialect, losing elements of the original dialect. While the work on dialect contact and dialect acquisition may not directly address the socially mediated process of phonetic accommodation, it is important to briefly visit the topic as any theory or model of phonetic accommodation must be able to reconcile the facts surrounding dialect acquisition and the purported difficulties in succeeding completely.

Trudgill (1981, 1986:11) argues that dialect accommodation will take place when a linguistic variable is highly salient and considered a **MARKER**. A marker is a linguistic variable that is used by speakers in both sociolinguistic and stylistic variation to mark social meaning. Markers contrast with **INDICATORS** which are used only as a sociolinguistic variable and are below the level of consciousness (Labov 2001b:196). Thus, markers are the salient linguistic elements which are adopted in dialect acquisition. Trudgill (1986:11) claims that increased awareness of markers arises when a variable is one of the following: highly stigmatized, involved in an ongoing sound change in a dialect, phonetically distant from a variable that shares its distribution, or maintains a phonological contrast that has

been obliterated in the contact dialect.

In dialect contact, it is agreed that lexical convergence takes place first, as the main motivator in dialect convergence is the desire to be understood (Trudgill 1981, 1986). Trudgill, however, emphasizes the phonetic nature of dialect accommodation involving sound patterns:

The point is that during accommodation speakers do not modify their phonological systems, as such, so that they more closely resemble those of the speakers they are accommodating to. Rather, they modify their pronunciations of *particular words*, in the first instance, with some words being affected before others. Speakers' motivation, moreover, is *phonetic* rather than phonological: their purpose is to make individual words sound the same as when pronounced by speakers of the target variety. (emphasis in original; Trudgill 1986:58)

This claim is supported by evidence from Trudgill himself (Trudgill 1981), Payne (1980), and Chambers (1992). Payne (1980) examines the acquisition of the dialect spoken in King of Prussia, a suburb of Philadelphia, by three groups of children. The children had recently moved from either New York City, the Northern Cities dialect area, or a third dialect area known for tensing /æ/ only before nasals. In the study all of the children were able to acquire new phonetic forms that simply involved moderately adjusting their articulation, although children aged 10 to 14 demonstrated difficulty in acquiring the entire new system. All of the children, however, experienced great difficulty acquiring more complicated phonological rules, namely the behavior of /æ/ in different consonant environments. Payne showed that children from the Northern Cities area were most successful at acquiring the /æ/-tensing rules because, compared to the other children, they had to do the least amount of restructuring of their existing /æ/-tensing rule. Families differed in the extent that the children, regardless of the age they moved to King of Prussia, acquired the rules. It should also be noted that even some children who were born in King of Prussia to

out-of-state parents never acquired the /æ/-tensing rules like a native speaker of the dialect with native dialect speaking parents.

Along the same lines, Trudgill (1981) presents data illustrating that children who move to Norwich from a different dialect area accommodate phonetically to new sounds, but fail to make phonological changes to their inventories. The same pattern of dialect acquisition holds for children who are born in Norwich, but have non-Norwich parents. An example of a phonological change that incoming children fail to acquire is the merger of Middle English /u:/ and /ʌu/. These sounds have merged in most varieties of British English as /ou/ resulting in homophony between *nose* and *knows*. In Norwich English these words belong to two different lexical classes, retaining the distinction from Middle English. Children who move to Norwich are unable to keep these lexical classes distinct. Trudgill (1981) also refutes the claim made in Giles (1973) that style-shifting in sociolinguistic interviews is caused by accommodations made to the interviewer by the interviewee. Trudgill, a native of Norwich, reveals that he actually accommodated toward his interviewees with respect to glottal variants for /t/; he used fewer glottal variants than the lowest class participants and more than the two highest social class subjects. Glottal variants of /t/ are associated strongly with lower class speakers in Norwich. The fronting and backing of /ɑ/ is an indicator in the Norwich community. Upon examination of his /ɑ/ production, Trudgill was able to show that his sound did not fluctuate as a function of the interviewee. This is evidence that accommodation only occurs with segments that are socially salient markers of identity, which /t/ is but /ɑ/ is not.

Again, Chambers (1992) finds the same result: children who move into new di-

lect zones struggle to fully acquire complex phonological patterns. Chambers followed the dialect acquisition process for six Canadian children aged 9 to 17 who have moved to southern England. The children adopted phonetic features of the dialect prior to acquiring phonological features. Acquisition abilities greatly increased as the age of the child decreased.

Traditionally, research on dialect contact and acquisition has remained agnostic regarding the mechanisms and motivations for accommodation. Recently, however, Trudgill (2008:252) argued that “accommodation is not only a subconscious but also a deeply automatic process.” He reaches this conclusion after reviewing four cases of European languages forming new varieties as a result of dialect contact and he discards the theory that new dialects arise as a result of the formation of new national identities:

...if a common identity is promoted through language, then this happens as a CONSEQUENCE of accommodation; it is not its driving force. Identity is not a powerful enough driving force to account for the emergence of new, mixed dialects by accommodation. It is parasitic upon accommodation, and is chronologically subsequent to it (Trudgill 2008:251).

It is striking to see Trudgill, a traditional sociolinguist, eschewing social factors as a palpable force in language change. Citing work on behavioral imitation, Trudgill connects linguistic convergence with all types of behavioral accommodation. While it might be appealing to automatically lump speech behavior with other physical human behaviors, it has yet to be demonstrated that linguistic accommodation is automatic. Trudgill’s claim has been extensively criticized (Bauer 2008, Mufwene 2008, Tuten 2008, Schneider 2008, Coupland 2008, Holmes and Kerswill 2008). For example, there are instances where individuals have lived for a considerable amount of time in a new dialect area without accommodating greatly (Bauer 2008).

Trudgill's hypothesis makes a prediction that is testable: if linguistic accommodation is automatic, it should not only be demonstrable in the laboratory, but everyone should do it. The topic has become of great interest to phoneticians and psycholinguistics as well. Basically, the way in which a talker's productions can change through interactions and experiences raises many questions about the representation of language, particularly the variation in our phonetic repertoires.

Phoneticians and laboratory phonologists have also examined dialect change. In a study of dialect change of Canadians living in Alabama, Munro et al. (1999) found that Canadians living in Canada perceived the speech of Canadians living in Alabama as somewhere between that of Canadians in Canada and native Alabamans. While there was considerable individual variation among the Canadians in Alabama that was somewhat related to the length of residence, their speech had generally accommodated to the speech of Alabamans. Impressionistic coding of the data revealed that the level of monothongization of the vowel in words like 'wife', 'good-bye', and 'driving' indicated the perceived regional identity of the talkers.

Both production and perception in new dialect exposure were examined by Evans and Iverson (2007). Nineteen college students from a northern English dialect (Sheffield) were interviewed at the commencement of university studies, three months after the beginning of studies, after one year of study, and after two years of study at a southern English university where Standard Southern British English (SSBE) was spoken. Of particular interest in this research was the behavior of the vowels in *bud*, *cud*, and *bath*. SSBE has /ʌ/ in *bud* and *cud* and /a/ in *bath*. Northern varieties of English use the vowel /ʊ/ for

*bud* and *cud* and /ɑ/ for *bath*. Acoustic analyses revealed that *bud* and *cud* became more centralized. In the northern dialects, *cud* and *could* are homophonous with each other; both have the vowel /ʊ/. *Could* has this vowel in the southern dialects, but *cud* has /ʌ/. Interestingly, after their time at the university, the participants began to centralize the vowel for *could* as well. Participants were also rated on a ten-point scale from ‘very northern’ to ‘very southern’. While overall participants were rated as sounding more southern after their time at the university, one participant was rated as more northern-sounding after the two years and the ratings of three participants did not change. Despite these changes in production, there was no overall change in the position of participants’ perception based on an examination of their best exemplar locations, although participants who were rated as having maintained a more northern accent did in fact choose more northern exemplars.

A series of studies have focused on longitudinal changes within the speech of a single speaker over a fifty year span. Harrington (2006, 2007) and Harrington et al. (2000a,b) have analyzed vowel changes in the speech of the Queen Elizabeth II. The recordings under analysis were her yearly Christmas broadcasts from 1952 through 2002. After accounting for maturational changes in the vocal tract, this work has demonstrated that the Queen’s vowels are shifting in the direction of Southern British English and away from Received Pronunciation. This is potentially due to the increased exposure the Queen may have to Southern British English as a result of changes in British class structure (Harrington et al. 2000a).

An important recent study by Delvaux and Soquet (2007) examined shifts by French female speakers of the Mons dialect of Belgian French ( $n = 8$ ) to the Liège dialect

of Belgian French female model talker. In their experiment, Delvaux and Soquet measured the duration and mel-frequency cepstral coefficients (MFCCs; Davis and Mermelstein, 1980) for /o/ and /ɛ/, two vowels whose realizations differ across the two regiolects of Belgian French. In these two dialects of Belgian French the formant values of the mid-back vowel vary (/frigo/, Mons: [frigo], Liège: [frigɔ]) and the duration of /ɛ/ varies (/kɛs/, Mons: [kɛs], Liège: [kɛ:s]). Target words containing the key phonemes were elicited in a sentence production task. Discriminant analysis were used to determine the patterns of accommodation to the Liège dialect. Mons speakers imitated the model talker based on the MFCC measures and duration of both /o/ and /ɛ/ which means both dialect markers – duration of /ɛ/ and spectral information for /o/ – and non-dialect or talker-specific acoustic markers – duration of /o/ and spectral information of /ɛ/. The effect diminished but still persisted into a post-task production test. This demonstrates what the authors call mimesis since exposure to the model talker left a memory trace that influenced production in the post-task.

It is challenging to account for all types of accommodation strategies in studies of dialect contact because of the varying desires for incomers into a new region regarding assimilation, community acceptance, and identity maintenance. Research on dialect accommodation does, however, illustrate that phonetic convergence may target more salient social linguistic variables and affect words that vary little phonologically from a talker’s native dialect representation. Research on languages and communities in contact is highly relevant to studies of phonetic imitation as it describes data and makes predictions about what does or should happen when sound systems come into contact.

## 2.2 Phonetic Accommodation in the Laboratory

In this section I summarize previous research which demonstrates that phonetic accommodation happens in a lab setting. In doing so I broadly describe two theories about linguistic accommodation that differ with respect to the primary motivation for imitation. One theory states that imitation is *primarily* for social purposes. The main framework within this perspective is Communication Accommodation Theory. This theory is fleshed out in the following section, but it basically states that users of language converge and diverge so as to manipulate the social distance between themselves and their interlocutors. The other theory essentially accounts for imitation in stating that it is an automatic consequence of linguistic representation. The automatic theory is like that espoused by Trudgill (2008), described above in Section 2.1. Exemplar-based theories are popular mechanisms used to account for the data. These two theories – the social and the automatic – both make similar predictions for phonetic accommodation, but are attained by different mechanisms.

### 2.2.1 Communication Accommodation Theory

Communication Accommodation Theory (CAT; formerly referred to as Speech Accommodation Theory) was developed by a team of social psychologists – namely Howard Giles and colleagues – as a response to Labovian-style explanations of speech variation that looked solely at time and context to explain patterns in linguistic behavior (Giles 1973). CAT develops from the idea that speech convergence phenomenon are motivated by an individual’s “often” subconscious motivation to be socially accepted or identify with a particular social group (Giles and Coupland 1991:71-72). More recent renditions of communication

accommodation theory have not changed this viewpoint; Shepard et al. (2001:33-34) posit that both social and cognitive processes affect linguistic behavior and that individual motivation is the driving force behind speech behavior. Language is, therefore, a tool used by speakers to achieve the ideal social distance between themselves and others.

According to CAT, communicators can adopt any one of four accommodation strategies in speech: convergence, divergence, maintenance, and complementarity. Talkers who modify their speech to reinforce valued and socially meaningful differences between themselves and their interlocutors are invoking the complementarity strategy. For example, Shepard et al. (2001:35) find that men employ more masculine speech patterns when talking with women than when talking with men. Maintenance occurs when neither member of a pair of talkers modifies their language use in reaction to the other speaker. Divergence is the result of speakers maximizing their linguistic distinctiveness to separate themselves socially from their fellow interlocutor. Convergence arises when speakers alter their linguistic patterns to adopt styles more like that of their interlocutors (Shepard et al. 2001:35).

These strategies provide a framework for how individuals may behave in communication situations. CAT has been informed by myriad studies on communication behavior with only a small minority constituting what could be considered phonetic or phonological investigations. In fact, the scholars who have developed CAT are generally not linguists and have actually been criticized for working with language while not understanding linguistics (Trudgill 1981). The studies reviewed here are those that are most relevant to more contemporary work on phonetic convergence and the implications it has for current sociolinguistic, phonetic, and psycholinguistic theories. Less focused summaries produced by Giles and col-

leagues are an excellent resource for those who are interested in accommodation strategies in the broader context (Giles and Coupland 1991, Giles et al. 1991, Thakerar et al. 1982, Shepard et al. 2001).

Giles (1973) set the stage for the formulation of accommodation theory when he argued that sociolinguists' speech was driving style-shifting in sociolinguistic interviews. He demonstrated the effect interviewers had on their interviewees by conducting interviews with 13 working class boys in their late teens. Giles, a speaker of Received Pronunciation, and a 17 year-old Bristol speaker served as the interviewers. The same topic was discussed in both interviews. Two groups of listeners – 18 Bristol-born adults and 18 Welsh-born adults – were presented with the voice of a participant taken from both interviews. Welsh listeners were more sensitive to participant changes in accent conditioned by interviewer. They were more accurate in identifying accent differences than the Bristol listeners. Crucially, even though the social situation was highly similar across interview contexts, participants imitated the speech of the two interviewers to different extents. Giles proposed that, in general, the interviewee attempted to standardize his speech for social approval.

Accommodating to the speech of a conversational partner can affect how a talker is evaluated socially. This has been shown in a French Canadian community (Giles et al. 1973). The perceived cultural gap was reduced and speakers were judged to be more considerate when French and English Canadian bilinguals attempted to speak English to an English-dominant listener group. By accommodating to the linguistic needs of English-dominant listeners, bilingual speakers were evaluated more favorably. The English-listeners returned the perceived favor by attempting to speak in French in a later task.

In a less bilingual community, accent convergence and divergence was found for different groups of Welsh-born adults in Bourhis and Giles (1977). Welsh is an endangered language spoken by about half a million people in Wales. A group of Welsh adults who attended both Welsh language and Welsh culture classes were found to diverge from an out-group English speaker by adopting a Welsh-accented English dialect. The English interviewer had questioned the vitality and function of the Welsh language in modern day. The second group of Welsh adults participating in the experiment also attended Welsh language classes, but only on business time with the explicit goal of furthering their careers. These adults were found to converge with the English interviewer. The perceived changes in the accents of the adults were determined on an 11-point scale by two judges who were not linguistically trained and naive to the experiment<sup>1</sup>.

Despite the longstanding view of social factors influencing convergence within CAT, early models of exemplar-based theories of speech perception suggested that phonetic convergence phenomena are an automatic cognitive reflex of the system (Goldinger 1998) as opposed to being socially motivated. While there does exist some evidence for the automaticity of this reflex, it becomes clear as we review work below that a talker's social knowledge and desires mediate the strength and nature of convergence in language.

### **2.2.2 Laboratory phonology and exemplar-based models**

This section is roughly divided into sections based around authors that considered exemplar models and those that did not. Several of those that did not consider exemplar

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<sup>1</sup>Babel (2008) attempts to replicate the Bourhis and Giles study using New Zealand and Australian Englishes. This study is discussed in more detail below.

theories (or any particular linguistic theories, for that matter) were studies conducted in a discipline outside of linguistics (like sociology and psychology).

### **Phonetic convergence without exemplar models**

Research on phonetic convergence has used several different methodologies over the years to determine the degree and direction of accommodation. Only recently have studies begun to explore the segmental effects of phonetic imitation (Shockley et al. 2004, Nielsen 2008, Pardo In press). The earliest studies examined broader acoustic measures and relied more on perceptual judgments from listeners. Earlier studies also considered the psychological and social factors that affected the direction of talkers' convergent or divergent behavior. Natale (1975a) examined convergence of mean vocal intensity between interacting partners in two experiments. In the first experiment, twenty-one male subjects conversed one-on-one with the interviewer; the subject and experimenter were not visible to one another. The intensity level of the interviewer was instrumentally manipulated three times through the course of the experiment. Subjects generally converged toward the intensity level of the interviewer, but there were a fair amount of individual differences. The second experiment explored intensity convergence in conversations between 50 same-sex dyads who could not see each other. Prior to participation, participants completed the Marlowe-Crown Social Desirability test (Crown and Marlowe 1964), which evaluates an individual's desire to be accepted by society. Dyads met for three conversations, each separated by one week. Mean vocal intensity was determined by the average of the peak-recorded intervals every ten seconds. Convergence was measured as a reduction in the difference in mean vocal intensity for a dyad between participants. Individual subjects' scores on the social desirability test

were positively correlated with their contribution toward their dyad's convergence. The effect of convergence was longitudinal and cumulative; that is, over time subjects converged more. In another study, Natale (1975b) investigated convergence of temporal patterns in speech. Thirteen same-sex conversational dyads were recorded on two occasions. Participants completed the Marlowe-Crown Social Desirability test before engaging in the dyadic conversations. Regression analysis demonstrated that a participant's score on the social desirability test predicted the extent to which they converged with their interlocutor. Interestingly, this result was only significant for the second conversation. Increased familiarity and social engagement with a conversational partner prompted convergence of temporal patterns, but the convergence was not immediate.

More recently, Carahaly (2000) examined the ability of listeners to identify the sexual orientation of 40 talkers in two conversational contexts. In one context, participants talked with a same-sex straight conversational partner, while in the other context had a same-sex gay conversational partner. Participants were aware of the sexual orientation of the conversational partner before recording. In the listening task, participants rated the talkers' sexual orientation, among other personal attributes. Listeners were most accurate in identifying the sexual orientation of a talker in the context of the gay partner. Although Carahaly does not discuss her results in terms of phonetic accommodation, her finding suggests a possibility: in the gay partner context, gay interviewees were converging and straight interviewees were diverging from the speech style of the interviewer to modify social distance.

Gregory and colleagues have conducted a series of investigations that explore

how convergence affects the quality of a conversation. Gregory and Hoyt (1982) used five archived one-on-one conversations between Gregory and men stationed at an air force base. The topic of conversation was race relations in the air force. From each conversation excerpts were taken from both the interviewer and the interviewee. Intensity, pause duration, pause frequency, turn-taking duration, and turn-taking frequency were measured. The results illustrate that conversational partners converge toward each other. Gregory and Hoyt note that the conversation with the lowest levels of convergence was filled with misunderstandings and miscommunication. They conclude that “cultural homogeneity facilitates adaptation” in conversations (p. 43).

Using 12 interviews from the same corpus of conversations and an additional 11 interviews from an Egyptian Arabic database, Gregory et al. (1993) examined convergence in F0 across dyads. Long-term-average spectra (LTAS) were averaged from selections taken from the conversations. Gregory, Webster, and Huang predicted that fundamental frequency was the acoustic component on which talkers would converge and, therefore, with their set of male talkers, they examined only acoustic information from a 62 to 192 Hz band. The method of analysis used correlation coefficients to compare actual and virtual conversations to one another. For both the American English and Egyptian Arabic data sets, actual conversations had significantly higher match scores than the virtual pairings, indicating that convergence had occurred. The American English interviews were subject to another task. Listeners were asked to rate various qualities of the individuals participating in the interviews and the caliber of the interview itself. Each listener heard only one interview, evaluating the interviewer, interviewee, and interview on a 7-point scale using

34 different indices. The indices were divided into three semantic sets: evaluation (e.g., important/unimportant), potency (e.g., loud/soft), and affect (e.g., constant/erratic). A MANOVA examined the relationships between the semantic characterizations of the interviews and the convergence scores. The three interviews with the highest correlation scores had significant results for the potency factors; the averaged spectra from the interviews correlated with the potency ratings. Listeners rated interviews more favorably when convergence occurred.

Convergence of talkers' F0 was examined again by Gregory and Webster (1996) using 25 interviews from the *Larry King Live* television program. Using the same method as Gregory et al. (1993), Gregory and Webster found that F0 convergence took place between King and all 25 of his interviewees. In this investigation the researchers explored the direction of the convergence; that is, whether King was accommodating to his guests or whether the guests were converging toward King. The social status of the guest was used as a variable with the hypothesis that King would accommodate toward high status guests while lower status guests would accommodate to him. The amount of variation in F0, grossly calculated as the standard deviation, was taken for each talker and entered into a factor analysis. Gregory and Webster's hypothesis was borne out: King accommodated toward guests with high social status and less with those with lower status. The results from the F0 data illustrate that Larry King modulates his F0 less when he is interacting with talkers of a lower social status.<sup>2</sup> In a third experiment undergraduate students compared the social status of the 25 guests to determine how the perceived prestige of the guest compared with

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<sup>2</sup>Of course, since this is a nationally aired television show all of the guests are of rather high status. But, for example, Dan Quayle and Garrison Keillor were on the lower status end with Mike Wallace and Elizabeth Taylor on the higher end based on King's convergence level.

the convergence patterns. Students generally appeared to have the same social judgments as Larry King. The results suggest those perceived more favorably by students were the talkers Larry King accommodated to.<sup>3</sup>

Gregory et al. (1997) examined how filtering the audio signal influences talkers' ability to converge and engage in quality conversations. Thirty pairs of talkers were placed in different rooms and given the task of completing a game together. During the task, the speech of a single member of each of the pairs was either high-pass filtered at 550 Hz, low pass filtered at 1000 Hz, or unaltered. Their conversational partners heard filtered speech in the high- and low-pass conditions, but the researchers recorded unfiltered speech from both participants. Long-term average spectra matching routines were executed on the recorded files. Convergence was found in the unfiltered and low-pass filter conditions. No convergence was found in the high-pass condition where the frequency region for F0 had been removed. Groups of listeners rated the conversations for quality using the indices in Gregory et al. (1993). Generally, judgments were more negative for conversations that had been filtered, although the low-pass group did receive slightly more favorable ratings than the high-pass group. Gregory et al. (1997) illustrate that low frequency energy plays a significant role in phonetic convergence and that listeners use this information to evaluate the quality of a conversation.

Using a modified version of the same experimental design as Gregory et al. (1997), Gregory et al. (2001) sought to determine how visual information influences convergence of talkers' F0. One group of pairs was given the opportunity to monitor their conversational

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<sup>3</sup>It is likely that the strength of the social perception results would have increased if Larry King had made the explicit social judgments. It seems unlikely that undergraduate college students would have the same opinions of individuals as Larry King would.

partner over the visual channel while the control condition was limited to oral communication. In this set of experiments, members of a conversational pair are in different rooms but are hooked up to closed-circuit television that enable them to see their interlocutor. In addition to calculating F0 convergence in the high-pass filter, low-pass filter, and unfiltered conditions, experimenters counted the frequency and durations of looks to the television monitor. Analysis of long-term average spectra of the conversational partners found phonetic convergence for the low-pass and unfiltered pairs. Researchers found that the filtered participant in the high-pass filter group looked up less than the unfiltered partner. Gregory et al. (2001) reason that the filtered partner is likely attempting to accommodate their speech, but because the partner is not hearing the talker's fundamental frequency, he is not responding to the attempted accommodation strategy. In turn, this causes the filtered talker to become submissive and self-conscious. Using a factor analysis with a measure of F0 variance as in Gregory and Webster (1996), the researchers determine that the unfiltered partners in the high-pass condition behave more dominantly with less F0 variation. Finally, listeners rated the audio of the interactions. Listeners rated conversations with the visual channel with fewer social/emotional ratings than the conversations from the audio-only condition.

The results from the two Gregory et al. experiments regarding the lack of convergence in high-pass filtered speech is curious. Gregory and colleagues were apparently unaware of the missing fundamental frequency phenomenon, as it is presumed that the missing F0 information was what accounted for the lack of convergence. Listeners – humans, cats, and other creatures – are, however, able to calculate fundamental frequencies

from the harmonics of the audio signal despite the actual frequency region of the fundamental being removed. So what explains the lack of convergence? It is possible that by removing important acoustic and linguistic information with the high-pass filter, talkers were no longer as engaged with their fellow talker because the conversation felt less natural. Or, perhaps, calculating the missing F0 interfered with talkers' abilities to converge because of asynchronies in perceiving, processing, and planning speech routines. At any rate, it is interesting to note that the absence of information below 500 Hz effectively prevented talkers from converging in two of these experiments. Although it certainly cannot be concluded that F0 is the only aspect of the speech stream that is involved in phonetic accommodation.

Lastly, gendered patterns of linguistic convergence were considered by Bilous and Krauss (1988). In this paper, they test the stereotype that men always dominate women in conversation by exploring the conversational patterns of same-gender and mix-gender dyads. In single-gender dyads, women interrupted more often, but in mixed-gender dyads they accommodated their interruption level to that of the men. Men had longer utterances in single-gender dyads, but converged below the level of women in the mixed-gender dyads. In terms of number of pauses, men had more in single-gender dyads than women, but fewer in the mixed-gender condition. The frequency of back-channel talk and laughter was higher for women in both mixed- and single-gender groups. Men converged toward women in mixed-gender for the back-channel talk and laughter variables, but women also increased the frequency of both in the mixed-gender conditions as well. These are the only two variables where there is divergence by female talkers.

One salient aspect about the research reviewed in this section is that the data

under analysis were from spontaneous conversations. The type of analysis used to analyze imitation at the utterance level is unable to focus in on particular words or segmental features that may be the target of accommodation. In the following section we will review imitation research that has focused on the word-level, bringing us down to a lower level of linguistic representation.

### **Phonetic convergence within exemplar models**

Early versions of exemplar-based models of speech perception predict that phonetic convergence is the automatic result of cognitive reflexes. Exemplar-based models posit that detailed traces or linguistic chunks are stored during speech perception. This proposition is backed by convincing evidence. It has been long known among phoneticians that social information is encoded in speech (Ladefoged and Broadbent 1957) and it has become increasingly recognized that social factors are integral components of talkers' phonologies as well (cf. Docherty and Foulkes 2000). Moreover, it has been shown that listeners retain detailed talker-specific knowledge for further language processing. For example, in a word recognition task, Palmeri et al. (1993) demonstrated that talker-specific information was retained in long-term memory and used to determine whether words presented in the experiment were 'old' or 'new'. Goldinger (1996) presents copious amounts of data further supporting this concept. In addition, he found that manipulating the subjects' level of processing (i.e., attention paid to stimulus) affected the level of detail in the memory traces.

A simple exemplar-based model that incorporates speech perception and production functions as follows (see Pierrehumbert (2001, 2003) for a detailed presentation of these ideas): Upon hearing a word, episodic traces associated by the talker voice or word are acti-

vated in memory. The more familiar a voice or the higher frequency the word, the higher the number of activated traces because of increased experience. Goldinger (1997:46) clarifies, “even if an *exact match* to the [word] exists in memory, all similar activated traces create a ‘generic echo,’ regressing toward the mean of the activated set.” It is the mean of the activated set that is selected for production. Goldinger’s theory clearly predicts that upon hearing low frequency words or unique voices fewer traces will be activated with the end result being *more* phonetic convergence in these contexts. Thus, from a memory systems approach, Goldinger sees convergence as the inevitable result of an episodic lexical access system, not as a social phenomenon.

To test this, Goldinger (1998) examined how word frequency and recency interact in a lexical shadowing task. The methodology he developed in this experiment has been used in subsequent work investigating phonetic convergence. Prior to participation, participants read a word list composed of the words that would be used in the lexical shadowing task. The words were divided into four sets: high, middle high, middle low, and low frequency. In the task, participants shadowed words produced by a talker heard over headphones either immediately in the immediate shadowing condition or at a delay of 3000-4000 milliseconds in the delayed shadowing condition.<sup>4</sup> After the task, participants were asked to read the word list a second time. A group of listeners were recruited for an AXB similarity task to determine the patterns of convergence. Goldinger found differences in the levels of

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<sup>4</sup>This type of immediate shadowing is different from the type of shadowing described in Marslen-Wilson (1985). Marslen-Wilson draws a distinction between close shadowers (those who can respond before 300 ms) and distant shadowers (those whose responses average over 500 ms). Goldinger’s participants would all fall into the distant shadowers group. What is remarkable about the group of close shadowers is that these talkers are able to commence articulation prior to perceiving the entire word. This demonstrates that listeners have continuous uptake of segmental information and that this information can be put into the speech production mechanism very quickly.

phonetic convergence based on the lexical frequency of the shadowed word, the number of repetitions presented over the course of the experiment, and the delay at which the participant was instructed to utter the word. In the immediate shadowing condition, all word types were judged to have converged for all talkers with increasing word frequency inhibiting convergence. However, in the delayed shadowing condition, only middle low and low frequency words showed convergence patterns. In a second experiment, listeners were presented with non-words that varied in the number of times they were presented to simulate word frequency effects. Non-word production converged in the immediate shadowing task across all frequency levels, with infrequency non-words converging to the greatest degree. The same pattern was found in the delayed condition although the degree of convergence was not as strong as in the immediate shadowing condition. A third experiment also made use of non-words, but varied the talker voice between the training and test sessions. Phonetic convergence was detected by listeners in both same- and different-voice conditions, but the same voice condition induced larger imitation effects in both immediate and delayed shadowing conditions.

In another task, Goldinger and Azuma (2004b) revealed that effects of episodic memory traces are activated in orthographic representations. In this experiment, participants read a word list composed of 160 words from four frequency groups. One day later participants entered the training phase of the task where they heard four talkers produce the words which were repeated a variable number of times. Upon hearing the word, they had to match it to the same word on a grid on a computer. After one week participants were invited back to re-read the word list. After the passing of another week, participants

were given a visual recognition memory test with the original 160 words and an additional 160 frequency matched items. A group of listeners completed an AXB classification task to determine which token sounded like a better imitation of the target. The results illustrated that recognition is best for low frequency words and increases with repetition. At twelve repetitions, however, the frequency effects disappear and all words are equally recognizable. Perceived imitation was strongest for low frequency words, but in this case the frequency effect continued to increase with repetitions.<sup>5</sup>

To determine what aspects of the acoustic signal are converging, Goldinger (1997) reports the results of a pilot study involving acoustic measurements. Average pitch, intonation, and word duration were measured. All participants deviated from their baseline average pitch toward the pitch of the talker they were exposed to in the shadowing task. In post-hoc analyses, Goldinger found that lower frequency words did indeed have more acoustic matching than higher frequency words. The interaction between frequency and recency on phonetic convergence indicates that convergence is influenced by language processing at a level apart from social factors.

By proposing that an odd or unfamiliar voice will activate fewer memory traces, Goldinger implicitly acknowledges social factors may be involved in phonetic convergence. The idea behind this is that an odd-sounding voice would not have as much competition from the exemplars of other voices, allowing the population average to be dominated by the presented token. But, the lack of social considerations in his model make Goldinger's earliest models of exemplar-based speech perception untenable. This obvious critique is made

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<sup>5</sup>This result suggests that spoken and orthographic language representations are stored jointly. How to reconcile this finding with Caramazza's (1997) proposal that independent lexemes exist for orthographic and phonological representations is well beyond the scope of this dissertation, but an interesting conundrum nevertheless.

by Magnuson and Nusbaum (2007). Magnuson and Nusbaum found that when acoustic differences between two talkers were minimal – such as synthesized male voices with F0s at 150 Hz and 160 Hz – listeners processed the two voices as a single voice and produced no latency effects in a blocked talker trial unless they were explicitly told that there were to be two voices. They argue that to account for this effect, along with previous research findings illustrating that talker-specific characteristics can be retained in memory, we need an analytic exemplar model that allows for active control in the perceptual system. They suggest Johnson (1997) and Goldinger’s later exemplar model based on Adaptive Resonance Theory (ART; Grossberg 1980), endorsed by Goldinger and Azuma (2003). Johnson (1997) presents an exemplar-based model of speech perception that incorporates attention weights into a formula that calculates auditory similarity prior to categorization. Goldinger and Azuma (2003) illustrate the ease with which a speech perception unit can fluctuate between the syllable and phoneme by manipulating a participants’ expectations. The ability to achieve such malleable perceptual events is modeled using ART.

ART is a neural and cognitive model that accounts for how the brain continues to adapt and learn in a constantly changing environment (Grossberg 1999). The model is formulated to account for both visual and auditory perception and is founded on pattern behaviors displayed by neurons in the brain. Grossberg (2003) describes a process whereby attention, expectations, and pre-learned stereotypes can influence speech perception. Resonance states form when physical bottom-up acoustic information is matched with top-down knowledge. Top-down knowledge consists of chunks at all levels of linguistic representation: phoneme, word, or larger more sentential like phrases. Information in the bottom-up sig-

nal that does not match the higher level knowledge can be inhibited, thereby completely ignored. Attention is focused on parts of the signal that have been previously learned as important. This allows for language-specific bottom-up tracking which is necessary as speakers of different languages attend to different cues in the acoustic signal (Wagner et al. 2006).

Using Goldinger’s paradigm, Namy et al. (2002) also investigated speech accommodation across men and women. This study probed whether men and women converge in the presence of minimal social interaction. A stated purpose of the experiment was to examine whether differences in perceptual abilities “operat[e] on the level of the perceptual sensitivity to indexical features” (p. 424). The methodology of this experiment followed the protocol used in Goldinger (1998). Four talkers (2 male, 2 female) read a list of twenty words. Eight males and 8 females constituted the shadowing group; these subjects read the word list and subsequently shadowed the productions from the four talkers. Finally, 32 male and 32 female listeners participated in an AXB forced-choice task to determine which token sounded more like the talker’s original production. Results show that female shadowers accommodated to the talkers more than male shadowers (54% vs. 51%). In addition, shadowers generally accommodated more to male talkers. Post-hoc analyses show that female shadowers accommodated more to male talkers (57%) than to female talkers (51%), while male shadowers accommodated equally to both male and female talkers. The analysis on the perception results revealed that female listeners detect more instances of shadowing than male listeners. Namy et. al. interpreted their results as suggesting that a perceptual reflex underlies phonetic convergence since there would have been no social

motive for accommodation in the experiment. They claim that the increased levels of convergence for women suggests that women are better at imitating the indexical properties of the talkers. This, they argue, is the result of different socialization practices for women, such that they are taught to attend to and accommodate toward indexical features in the voices of talkers.

Some of the most influential recent work on phonetic convergence is reported in Pardo (2006). Pardo examines phonetic convergence in same-gender dyads involved in jointly completing a map task. Paired participants were assigned positions as the *giver* or *receiver* of a series of map instructions. The giver has a completed map while the receiver has an empty map; the role of the giver is to guide the receiver along a path on the map. Participants read word lists before and after the task containing place names in the map to serve as stimuli in the perception study. Pardo also employed Goldinger's (1998) paradigm; the X tokens in the AXB similarity task were taken from the recordings of an individual's conversational partner in the map task. Dyads were perceived to have converged on 62% of the trials. In the AXB similarity task, listeners judged male dyads to converge more than women (75% vs. 58%). Women were found to converge toward the speaker who was receiving instructions. Men patterned oppositely; they converged toward the speech of the male talkers giving instructions. Pardo concludes that particular social factors dependent on the situational context of a conversation facilitate the bridge between speech perception and production. Because of the research illustrating convergent behavior across languages within a single Brazilian Portuguese and American English bilingual (Sancier and Fowler 1997), Pardo attributes convergence phenomena to an entrainment process and not an exemplar-

based language system. Sancier and Fowler report on the increase of a talker's VOT in Portuguese after having spent extensive time speaking English in the United States. Cross-linguistic syntactic alignment is described in Hartsuiker et al. (2003). Spanish-English bilinguals were found to produce more English passive sentences after being primed with Spanish passives in a picture description task. Hartsuiker et al. (2003) provide a shared-syntax model of language organization that accounts for their results along with other disparate work on code-switching and the mental representation of language in bilingual speakers. If multilingual speakers do store categories and representations jointly, as argued by Hartsuiker et al. (2003), then exemplar-based language systems may retain explanatory power in phonetic convergence.

Pardo (In press) reports on the acoustic analyses conducted on the speech of the same-gender dyads along with presenting results from the mixed-gender dyads whose data are not discussed in Pardo (2006). Like same-gender dyads, mixed-gender pairs converged, albeit to a lesser extent; listeners perceived mixed-gender pairs to have converged on 53% of the trials. Pardo conducted linear regressions with F0 and duration data to determine the cues on which listeners based their judgments. The acoustic measures were taken as the difference of F0 and duration between each pair for each AXB trial. These values accounted for 41% of the variance for the female talkers, but only 7% of the variance for the male data. In pre- and post-task sessions, participants in Pardo's study also read a list of hVt words. The first and second formants of /i/, /u/, /æ/, and /ɑ/ were measured and compared across the pre- and post-task readings. Talkers were found to diverge or converge, depending on the talkers' role (receiver or giver) and the vowel identity. The high vowels

converged and low vowels diverged. Givers were found to centralize their vowel space more than receivers. Overall, Pardo found that the low vowels centralized for the givers and accounted for the divergent patterns. What is perhaps most interesting about this finding is that these formant values did not come from the place names in the map task, but from vowels in words recorded in the post-task session. Accommodation strategies being applied to these vowels suggests that the talker's entire phonological system was affected by the exposure to the map task partner.<sup>6</sup>

Naturally, linguists should be very concerned with the phonetic details involved in speech accommodation. In this light, some work has been done on the imitation of lengthened VOT. Shockley et al. (2004) demonstrated that talkers imitate the lengthened VOT in American English voiceless stops. Nielsen (2008) expanded on VOT imitation in American English. Nielsen (2006, 2007a,b) has found an interaction between imitation and generalization across the system that suggests at least part of phonetic accommodation process is an automatic reflex which affects the linguistic system at the levels of the word, phoneme, and feature. The methodology used in Nielsen's work employed a comparison of baseline and test productions that are separated by an auditory exposure phase. In the exposure phase, the VOT of the talker's /p/ is lengthened in disyllabic /p/-initial words. In the test production set, /k/-initial words are introduced into the word list. Nielsen revealed that not only do participants imitate the increased VOTs for /p/-initial words, but they generalize the lengthened pattern to a segment sharing the same [+spread glottis] feature: the /k/-initial words. A word-specificity effect is maintained as the increased VOT

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<sup>6</sup>It is necessary to make the caveat that it appears the vowel distributions for the receivers and givers differ already in the pre-task reading of the hVt words; Pardo does not address this issue.

effects are strongest in the /p/-initial words heard in the exposure phase. Nielsen (2007a,b) presented another group of listeners with /p/-initial words with shortened VOTs. Listeners in this group did not imitate the shortened /p/ VOTs, nor did they apply any such pattern to the /k/-initial words introduced in the test phase. Nielsen reasons that the shortened VOTs encroach on the phonetic space of the unaspirated “voiced” /b d g/ stops in English. This work demonstrates that while imitation may be automatic, it is limited by the linguistic system such that imitation does not eliminate a meaningful phonemic contrast. Another study that demonstrates language knowledge affects imitation is Nye and Fowler (2003). Participants shadowed sentences that varied in terms of their phonotactic approximation to English. Shadowing was more reliable in the 1st order approximation of English as opposed to the 12th order of approximation. This result suggests that language-specific phonotactic knowledge guides imitative faithfulness.

Recently, Mitterer and Ernestus (2008) argue that imitation is phonological (and not phonetic) using data from a speeded shadowing task (like that of Marslen-Wilson (1985)) in Dutch. In the experiment participants were presented with either an alveolar or uvular variant of /r/. The group of participants was equally split between individuals who were habitual users of the alveolar trill and those that were habitual users of the uvular trill. In general participants retained their habitual pronunciations and did not imitate the place of articulation. Other stimuli had either a voiced or unaspirated stop in onset position. The voiced stops differed with respect to the number of pre-voicing glottal cycles. Participants imitated the presence or absence of pre-voicing, but the difference in *amount* of pre-voicing was not imitated. Mitterer and Ernestus conclude from these results that the

relationship between speech perception and production is linked by abstract phonological representations.

I recently attempted to replicate Bourhis and Giles (1977) with New Zealand and Australia interactions (Babel 2008). Forty-two New Zealanders participated in a lexical shadowing task with an Australian talker producing monosyllabic words with vowels from the lexical sets (Wells 1982) KIT, DRESS, TRAP, BARN, and THOUGHT. Participants were divided between two conditions: one in which their national identity was insulted and one in which their national identity was complimented. After the speech task, participants completed an Implicit Association Task (IAT; Greenwald et al. 1998) designed to measure individuals' biases toward New Zealand and Australia. The Euclidean distance between each participants' pre-task and shadowed productions were measured. A step-wise linear regression model with the linguistic factors word, word frequency, and vowel and the non-linguistic factors age, gender, IAT score, and experiment condition were fit to the data. Only the vowels from the lexical sets DRESS and TRAP and the IAT score were included in the model; they accounted for 18% of the variance. Participants' IAT scores were negatively correlated with their degree of convergence ( $t[40] = -3.291, p < 0.01, Pearson's R = -0.46$ ). This means that participants who scored as pro-Australian on the IAT were more likely to imitate the Australian talker. One important finding of this work is that convergent behavior was found in both conditions. Overall, only three instances of accent divergence were found in the data. Another significant implication of this work is the discovery that in speech accommodation, talkers imitate the spectral characteristics of vowels.

The ability to control the cognitive, social, and psychological mechanisms that

determine the degree of convergence is argued to be a skill that develops with age. Street and Cappella (1989) examine the development of accommodation strategies in children. In their experiment, thirty-seven children 3 to 6 years of age participated in dyadic conversations with an adult female. Children accommodated toward the adult in speech rate and turn-taking pause duration. The degree of accommodation was predicted not by a child's age, but a child's level of involvement, responsiveness, and their syntactic ability. Other research, however, has found that four year-old children, but not five year-olds, match the duration of words produced by adult voices in a rapid shadowing task (Ryalls and Pisoni 1997). While the socially mediated aspects of phonetic convergence must develop as a child acquires necessary levels of psychological maturity and social cognition, Westermann and Miranda (2004) present a model where motor neurons that are activated for the perception and production of speech develop during the babbling phase of child language acquisition. These mirror neurons are presumably the starting point from which the perception-production link in speech behavior is initiated for both phonetic convergence and the acquisition of new sounds in second language learning and beyond. Kuhl and Metzloff (1996) demonstrate that infants as young as 12 weeks imitated the point vowels /i/, /a/, and /u/ in response to an image of female talker producing the same vowels. This is interpreted as evidence for a perceptual-motor loop that develops into an auditory-articulatory map for use later in life.

To recap, the research reviewed in this section demonstrates that social factors influence patterns of phonetic convergence and imitation. Social and psychological attitudes influence an individual's degree of convergence. This was shown in the earliest studies by Giles and his colleagues, as well as by Natale. Work by Gregory and collaborators indicates

that social status influences the direction of the accommodation and that naive listeners rate a conversation more highly if the participants converged.

The aspects of the acoustic signal that undergo convergence are not yet completely understood. With preliminary data, Goldinger (1997) argued that talkers were converging on fundamental frequency. Gregory and colleagues present evidence that F0 is an aspect of speech that converges. The fact that talkers failed to calculate the missing fundamental frequency and converge is, nonetheless, surprising. Pardo (In press) found that while female talkers converged with respect to F0 and duration, men did very little. She found that /i/ and /u/ tended to converge while /æ/ and /ɑ/ diverged when comparing pre- and post-task readings of words not involved in the task. Givers and receivers of map directions had different degrees of vowel centralization with givers contributing to the divergent low vowel data. Inadvertently, Ryalls and Pisoni (1997) revealed that four year-old children converged on duration in a lexical repetition task while adults and five year-olds did not. Crucially, Shockley et al. (2004) and Nielsen (2006, 2007a,b) found that participants imitated lengthened VOT in aspirated American English stops.

Research reviewed in this section began to address how lower-level linguistic knowledge (words and phonemes) are affected in phonetic convergence. Much of this work, however, has used gross perceptual measures to examine convergence. Acoustic measures are still a novel method in determining phonetic imitation, and in using acoustic analyses researchers can find exactly what aspects of the acoustic signal may be imitated.

### 2.2.3 A psycholinguistic model of accommodation

It is worth reviewing the automatic interactive alignment model proposed by Pickering and Garrod (2004b) that is intended to account for all types of linguistic alignment in dialogue. In Pickering and Garrod (2004b) the interactive alignment model is proposed and contrasted with a more traditional autonomous transmission account of spoken language which views the processes of speech perception and production as distinct and unrelated. Pickering and Garrod's understanding of speech perception is not limited to the perception of acoustic information and lexical access, but total comprehension of linguistic content from the talker. Every level of linguistic representation – the situation model, semantics, syntax, the lexicon, phonology, and phonetics – is connected within an individual, and each level of representation between the listener and the talker is connected. An automatic priming process that percolates through the levels of representations of the interlocutors aligns speech. The use of a representation by a talker leads to the activation of that representation in the listener.

Pickering and Garrod (2004b) commit to the concept that this priming is a direct aspect of the perceptual-behavioral link, like that proposed by Dijksterhuis and Bargh (2001; see Section 1.2 above). This model is used in psycholinguistics not only to account for speech accommodation, but also in speech reduction processes that relate to contextual probability. When interlocutors are aligning speech processes, planned reduction is permitted if predictable linguistic alignment is shared (cf. Pluymaeker et al. 2005).

The interactive alignment model received ample and deserving criticism for omitting the exact nature of the priming mechanism (Goldinger and Azuma 2004a, Kaschak

and Glenberg 2004) and for failing to consider the myriad social factors that influence the level of alignment across speakers (Brennan and Metzing 2004, Brown-Schmidt and Tanenhaus 2004, Krauss and Pardo 2004). Kaschak and Glenberg (2004) argue that an automatic priming mechanism must function via a memory-based language processing system. Using a model with a memory base that automatically selects recently perceived or produced chunks for retrieval predicts the alignment patterns reported in Pickering and Garrod. Grossberg's Adaptive Resonance Theory (ART, described above in Section 2.2.2; Grossberg 1980) is suggested as the mechanism whereby priming occurs in the Pickering and Garrod model. The resonance chunks and the dynamics of the system allow for the holistic nature of priming in dialogue across all linguistic representations (Goldinger and Azuma 2004a).

Brennan and Metzing (2004) disagree with the automaticity of the alignment process and argue for a more flexible process that allows a talker to consider the needs and history of a listener. Likewise, Brown-Schmidt and Tanenhaus (2004) admit that language users can be very "egocentric", but Pickering and Garrod ignore the fact that talkers often take great care in choosing the best construction for the particular listener-specific knowledge base they are communicating with. Likewise, the model is criticized by Krauss and Pardo (2004) for ignoring how talkers accommodate to listeners who have clearly different knowledge bases. Krauss and Pardo also note that alignment is not the only outcome of talking; in many cases divergence may occur.

Pickering and Garrod (2004a) fine-tune their model after considering criticisms such as those mentioned in the previous paragraphs. They agree that a memory-based language system such as ART may be a good choice for their model. Crucially, the authors

restate their claims about the automaticity of priming in light of the evidence that surfaced in the commentaries. They take a step back and acknowledge that social factors are involved in alignment, but maintain that the process is still unconscious and lacks any explicit decision making process.

## 2.3 Speech variation

In recent years it has become exceedingly clear that sociolinguistics has an important place in phonetics and phonology. Work in laboratory phonology has illustrated that social information such as age, gender, and class are crucial to the parsing of the speech signal. The intersection of these subfields, in addition to their relevance to phonetic convergence, are developed in more detail below in Section 2.3.1 which discusses style-shifting in speech production.

This work is important for this dissertation in two respects. For one, research on speech variation presents some of the empirical data showing that talkers and listeners store multiple linguistic representations, accessing different productions and percepts as they negotiate socially appropriate language use. As we will see in the following chapters, these multiple representations play an important role in imitative behavior. The second reason relates to the issue of social automaticity. Sociolinguists see contextually varied language use as conscious acts on the part of the speaker. This dissertation tests the idea that socially influenced behavior in speech production is the result of implicit and uncontrollable social biases.

### 2.3.1 Speech accommodation and style-shifting

Labov's (1963) pioneering work on the social stratification of linguistic variables in Martha's Vineyard set the stage for the examination of how social structures motivate linguistic variation, which is a basic principle of sociolinguistic variation. Sociolinguistic variation is characterized in a community as any number of variables that occur to differentiate speakers socially. There are differing views on what constitutes stylistic variation, however. Eckert (2001) defines style as any number of linguistic variables that indicate or mark a social identity either on an individual level or beyond. The so-called "third wave" of sociolinguistics focuses on the talker's ability to style-shift; that is, to modify their social position according to the demands of a situation by altering their linguistic patterns. Others view stylistic variation as the sociolinguistic variation that is demonstrated by a single speaker (Labov 2001a, Bell 2001). That speaker, for example, is not changing their position within the social hierarchy, but rather is presenting a particular version of their dynamic social identity at a given moment. Under this view, it is often claimed that community-level sociolinguistic variables must exist before such variables enter the style-shifting repertoire of a speaker (Bell 1984:159). What we are concerned with here is not the theory surrounding style, but how intra-speaker communication induces stylistic variability.<sup>7</sup>

Audience design (Bell 1984) is a model which attempts to account for stylistic variation. In audience design, a speaker considers not only the addressee in the selection of the proper variable for an utterance, but also the individuals who are assuming the roles of auditor, overhearer, and eavesdropper. Bell stresses that the audience serves an

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<sup>7</sup>Style is a tremendously broad topic in sociolinguistics and is, clearly, not covered exhaustively in this section. For a fuller description of stylistic phenomenon see the chapters in Eckert and Rickford (2001).

active role in the design of an utterance. Furthermore, he notes the role of the addressee is particularly active in audience design, as shown in work by Giles and colleagues on CAT. The automaticity of the audience design model is not adequately addressed by Bell, but it is acknowledged that a full understanding of a speaker's social and psychological networks, biases, and values are necessary to predict all of the linguistic patterns that are accountable through audience design (Bell 1984:169).

In terms of what information speakers use to determine how to craft their speech, Bell (1984:167) considers three possibilities: (1) Speakers examine an addressee's personal traits and design their style accordingly; (2) Speakers gauge the general speech style of an addressee and design accordingly; or, (3) speakers listen for specific linguistic variables and select their stylistic variants to reflect their findings. Research has revealed evidence for all three options, but the degree to which each possibility motivates design is unanswered by Bell. The first option recalls Thakerar et al.'s (1982) finding that conversation pairs converged toward the stereotyped speech style of the other member of the pair; we will consider data below that shows listeners perceive stereotyped linguistic features of social groups and not the actual information present in the physical signal. If we can remove the implied intentionality from the third possibility, it sounds much like accommodation. It seems Bell's theory is describing nearly the same phenomenon as CAT and exemplar models of speech perception and production.

Bell (2001) expanded the theory of audience design by adding a notion of "referee design" as a mechanism in parallel with the "audience design" mechanism that gave the theory its name. Referee design is defined as the change in a speaker's style that affiliates

the speaker with a particular social group as prompted by the audience (p. 163). Bell argues that a comprehensive theory of style-shifting must consider the two principal driving forces: audience design and referee design. While a talker is crafting from a stylistic repertoire that heeds the needs of the audience, a speaker feels the pressure to maintain a level of faithfulness to their social network and larger social affiliations.

The collaborative relationship between a talker, the audience, and the social group pressures in the development of style across the course of an interaction is an integral aspect of Bell's theory. This echoes the collaborative nature of the understanding process outlined in Clark and Wilkes-Gibbs (1986). Schober and Clark (1989) also underscore the importance of the addressee as opposed to the overhearer in the understanding of novel information. In a task where addressees had to organize novel images into an order directed by the speaker, addressees were more accurate at arranging these in the proper order than overhearers who had been privy to the conversation since the beginning. There is a clear benefit to participating in the development of understanding; observing does not supply the same amount of information. This suggests that despite the importance of the greater audience in the design of the style, the addressee may well have the most influence on a talker's style.

The importance of the addressee in the design of style is illustrated in Sharma (2005) with speakers of Indian American English. Phonological stylistic variation for non-native speakers of American English appeared more often in "discourse-prominent and salient positions" (Sharma 2005:209). Speakers who style-shifted into marked American English phonological forms were more likely to positively evaluate the American English dialect and consider the acquisition of an American identity a valuable resource. When

attention was drawn to an utterance, the style was shifted away from standard American English and into a style shared more intimately between Sharma and her interviewees, indicating audience design as a function of attention in interviews. Moreover, the shift toward a less standard style contradicts Labov (1966). The increased attention fostering stronger accommodation toward the fellow interlocutor echoes the argument made above that salience encourages convergence.

Investigations of style-shifting and audience design underscore the fact that talkers have multiple speech production targets available when selecting an utterance. Target selection is highly influenced by the interlocutor. This work within sociolinguistics has focused either on talkers' use of these variants as a means to intentionally deploy social meaning. Sociolinguists view this use of language as controlled and conscious (Labov 2001a, Eckert 2001). In the next section I describe a traditional method from social psychology that can be used to probe how unconscious bias may relate to speech production.

### **2.3.2 Speech and Race**

The social themes in this dissertation are less concerned with traditional macro-level sociolinguistics variables. I am more concerned about implicit measures of social cognition and how interracial interactions may interact with cognitive processing (Richeson and Trawalter 2008, Richeson and Shelton 2007). For example, Richeson and Trawalter (2005) found White participants performed worse on a Stroop Task after completing an Implicit Association Task (IAT) and interacting with a Black experimenter and not a White experimenter. The larger the pro-White bias, the worse the performance on the Stroop

task.<sup>8</sup> Black participants had similar behavior when interacting with a White experimenter, as opposed to the Black experimenter.

The methodology of the experimental task is presented in detail in Chapter 3 and was briefly described in Chapter 1. But I will quickly review the basic outline here before going in more depth about social cognition: White participants were exposed to single word productions from either a Black talker or a White talker. The task of the participants was to identify each word by saying it out loud. Participants were randomly assigned to either the Black talker or the White talker; they were also randomly assigned to either a condition with a still digital image of the talker (the Social Conditions) or a picture-less condition (the Asocial Conditions). In addition to the basic manipulation that White participants are interacting with either a Black or White talker, measures of subtle racial bias were also taken. This was done in order to consider the deeper motivation for a participant's behavior in the shadowing task. A problem with incorporating methodologies that probe social behavior is that the information must be collected experimentally since individuals are not always forthright about their social values, identities, and ideologies. The IAT is an experimental paradigm developed by Greenwald et al. (1998) that examines social cognition by measuring implicit biases. In this study, it is predicted that the IAT will measure the implicit racial biases that prime participants' behavior in the shadowing task. Specifically, the type of question being explored with this task is will a White participant who did not converge with the Black talker have a stronger IAT effect than a White participant who did converge with the Black talker? An advantage of the IAT is that it provides gradient

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<sup>8</sup>The Stroop task asks participants to identify the font color of printed words. The test words in the task are actual color names. The increased latency in reaction time associated with identifying the font color when reading a color name (i.e., when "red" is printed in a green font).

measures as opposed to binary social variables. It should be noted that psychological measures like the IAT do not represent an individual's static perspective on the social world. Dasgupta and Greenwald (2001) illustrate that exposing participants to positive images of Black people reduced participants' White bias – even ingrained biases are flexible.

This dissertation is particularly relevant to sociolinguistic theories about the relationship between African American English and more mainstream varieties of American English. Since the 1970s, researchers have argued that the speech of Blacks and Whites in urban settings is diverging due to segregation and racism (Fasold 1981, Labov and Harris 1986, Fasold et al. 1987). Bailey and Maynor (1989) demonstrate that syntactic differences between the speech of urban Black and White children differ more than rural Black and urban White children differ. In their study, listeners judging the race of adults' and childrens voices were more accurate for the childrens voices. This suggests that the speech patterns of Black and White children are more distinct than that of Black and White adults. Together, Bailey and Maynor interpret their data as supporting the idea that Black and White varieties of English in urban environments are diverging. This dissertation experiment has the potential to offer experimental evidence toward this controversy. A failure to converge phonetically in the experimental task may indicate divergence in real world situations is due to social and psychological factors.

Carpenter et al. (2008) have illustrated that Jamaican children also have specific listener expectations for different racial phenotypes in speech perception. Admittedly, the view of race considered in this dissertation is unsophisticated. An attempt was made to match the African American and European American talkers as much as possible. The

talkers in this dissertation were selected because they worked in the same office on the UC Berkeley campus, approximated each other in age, and had spent the majority of their lives in California. The exact racial phenotype of the talkers is of little importance. What matters for the two talkers in this experiment is that they simply differ in racial identity. With only two talkers of differing racial characteristics, what is being examined is how gross racial categories influence speech behavior. Although the race manipulation in this experiment is simplistic, there have been no prior studies on phonetic convergence that manipulate race in any way. Therefore, in addition to making a contribution to phonetic theory, this dissertation also makes a (small) step toward understanding the sociolinguistics of race.<sup>9</sup>

## 2.4 Brief Summary

A considerable amount of work has been done on phonetic accommodation. There has also been a good amount of research devoted to how social factors may influence speech accommodation. However, to date, the social factors considered with phonetic accommodation have largely been major demographic factors or related to the quality of the interaction. Another major gap within the work on phonetic accommodation comes from the methods used to determine imitation and convergent behavior; it is unknown what within the phonetic structure is imitated. What is needed is a study that examines the acoustic detail of imitation and incorporates implicit social measures. The methodology for such a study is

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<sup>9</sup>Race is being used in this dissertation as a social difference between two talkers that in all likelihood function in concert with myriad other social characteristics that distinguish the talkers. The thesis investigated here is whether or not phonetic convergence may be determined by social information, not just whether *race* as a social construct modifies typically occurring convergent speech behavior.

described in Chapter 3.

## Chapter 3

# Methodology

To determine whether vowels are imitated and whether social cognition would affect degree of imitation, I ran an experiment in which speakers repeated words that they heard over headphones. This will be called the *speech production task* or the *shadowing task* and is described in Section 3.1. Section 3.2 provides details on the analysis of the acoustic data. The data from the speech production task were acoustically analyzed (to extract the vowel formants) and the acoustic measurements were used to compute a DIFFERENCE IN DISTANCE measure. Participants also completed an Implicit Association Task to measure their racial bias; this is described in Section 3.3. The IAT score was used in the statistical modeling along with the acoustic data. Before the results are presented in Chapter 4, this chapter concludes with a set of predictions in Section 3.4.

## 3.1 Shadowing Task

### 3.1.1 Stimuli

Low frequency monosyllabic words were taken from the English CELEX database using the COBUILD logarithmic spoken lemma frequency count (Baayen et al. 1993). All words had raw frequency counts of  $\leq 1$  uses per million (CobSLog  $\leq 0.301$ ). Fifty low frequency words containing the vowels /i æ ɑ o u/ were selected as stimuli (see Table 3.1). The vowels /æ ɑ o u/ were chosen because their positions within the vowel space are very much dialectally and socially conditioned (Labov 1994, Clopper and Pisoni 2004). In California English /o/ and /u/ are fronted, having a higher F2 than in many other North American English dialects. The low vowels /æ/ and /ɑ/ have lower F2s in California English than other dialects. While the participant population for this experiment is primarily Californian, or at least from the Western US, the exact location of these vowels varies within the Californian population, and participants from other dialect regions of the US are also represented in the subject population. The high front vowel /i/, however, does not vary significantly either socially or dialectally. Trudgill (1981) argues that attention and social salience are key explanatory factors in phonetic convergence. If Trudgill's assessment is accurate, then participants should not converge on the /i/ vowel as it is not subject to meaningful variation. In contrast, the other four vowels vary considerably within and across dialects. For example, different US dialects are at different stages in the process of back vowel fronting. As /o/ and /u/ undergo a sound change, more innovative variants are available to speakers in particular social contexts (e.g., style shifting), while more conservative variants are also accessible. This larger available set of productions leads to the prediction,

i	æ	ɑ	o	u
breeze	bask	clock	close	bloom
cheek	bat	clot	coat	boot
deed	mask	cot	comb	doom
freak	nag	pod	foal	dune
key	smash	sock	hone	glue
peel	snap	sod	mote	hoop
sneeze	tap	spawn	soap	pool
teal	vat	stock	toad	tool
teethe	wag	tot	tone	toot
weave	wax	wad	woe	zoo

Table 3.1: Stimuli used in shadowing task. All words have raw frequency counts of  $\leq 1$  per million in spontaneous speech as determined by Baayen et al. (1993).

following Trudgill (1981), that it will be /o/ and /u/ that exhibit the strongest effects of imitation because participants have a wider variety of stored representations.

These words are presented in Table 3.1. The words were presented to participants as a list before entering the sound-insulated room to ensure they knew how to pronounce the words. Participants were instructed to pronounce *close* as in ‘close the door’ and not as in ‘a close call’. The /ɑ/ column may contain words that in other varieties of English have /ɔ/; California English, however, has merged /ɑ/ and /ɔ/ (Hinton et al. 1987).

Two male participants volunteered as model talkers for the experiment. Both talkers worked in the same office at the University of California, Berkeley and were in their early thirties. One talker was Caucasian American and one was African American. The White talker had spent his entire life in the San Francisco Bay Area. The Black talker was born in Mississippi, but spent his childhood in Hawaii. He moved to California as a teenager and has lived there since. Listening to the two talkers, it is clear they both speak California English. Both talkers were native speakers of American English with no speech, language, or hearing disorders and were compensated \$10 for their time.

The audio-stimuli for the experiment were recorded in a sound-insulated booth with a head-mounted AKG C520 microphone positioned three inches from the talker's mouth. The words in Table 3.1 were randomly presented on the computer monitor four times each through E-prime experimental software (Schneider et al. 2002). The most natural and clear sounding of the four tokens of each word was selected for use in the experiment. The talkers were also digitally photographed for the visual stimuli; see Figures 3.1 and 3.2.<sup>1</sup>



Figure 3.1: Picture of the Black talker whose voice and image were used in the experiment.



Figure 3.2: Picture of the White talker whose voice and image were used in the experiment.

The talkers were given no instruction on how to read the words other than to

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<sup>1</sup>There are, of course, other visual and physical differences between the talkers. The Black talker has facial hair and dreadlocks, while the White talker has shorter hair and is wearing a sport coat. Participant perception of these talker differences may be subsumed under the Attractiveness Rating measure. Participant response to the racial difference is measured with the Implicit Association Task described in 3.3.

produce them clearly and naturally. The formant frequencies for the vowel in each word that are used as stimuli in the shadowing task for each talker is shown in Figure 3.4. The average vowel differences between the talkers are evident in the formant frequencies of the individual words. Formant frequencies vary for different words reflecting influence of neighboring consonants (Stevens and House 1963).

The most obvious differences between the Black and White talkers are the positions of the low vowels. For /æ/ the White talker has a characteristic nasal split; in nasal environments /æ/ is more tensed and has a lower F1. This pattern in /æ/ exists in the Black talker's tokens as well, but the difference between the two allophones of /æ/ is not quite as demarcated. The White talker is also exceptional in having an /ɑ/ with a very low F2. This type of retracted /ɑ/ is typical of the California vowel shift. Figure 3.3 shows the average formant location of the vowels from the 50 selected words from each talker.

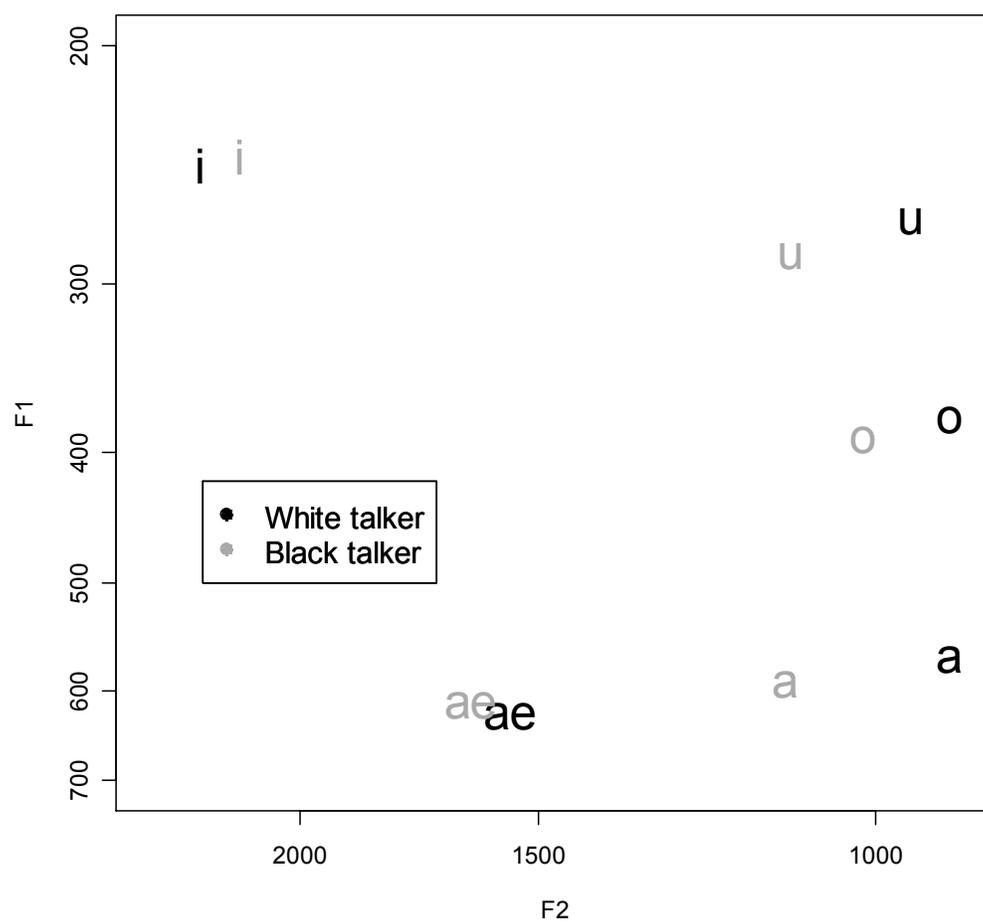


Figure 3.3: Mean formant values taken from tokens used as stimuli for the shadowing task.

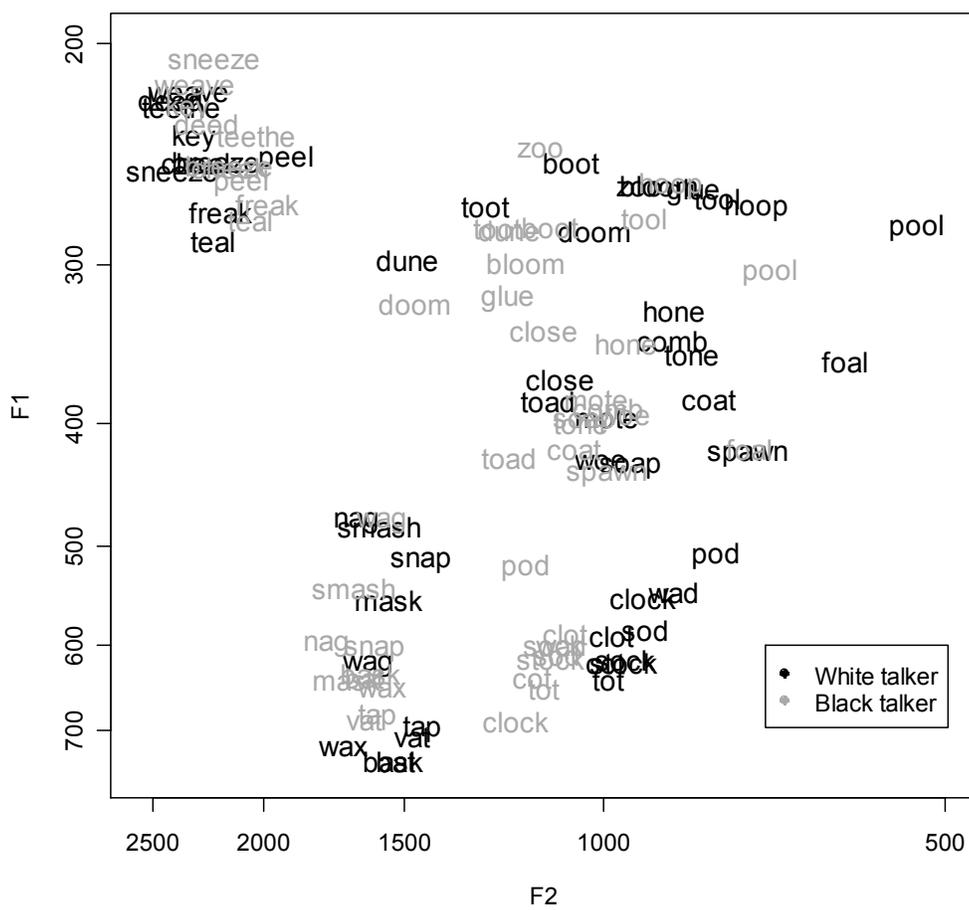


Figure 3.4: The F1-F2 location of the vowel nuclei for each word stimulus for the two talkers.

### 3.1.2 Participants

One hundred and seventy-eight participants recruited from the University of California, Berkeley community completed the task. Of these, 24 were Black. Two of the Black participants were removed for failure to complete the task accurately, leaving 22 Black participants. Because this is not enough data to make any generalizations, this data will not be discussed further. Of the 154 White participants (87 female, 64 male), the data from four participants were excluded from the analysis. Two of these participants did not complete the task accurately.<sup>2</sup> The other was removed because it was discovered after the task that she had profound hearing loss. The final participant was removed because she personally knew the model talker to which she had been assigned.

The participants whose data have been included in the analysis have no reported speech, hearing, or language disorders. All participants were compensated \$10 for their time.

### 3.1.3 Procedure

Participants in the shadowing task were tested individually and were assigned to one of six conditions. Information about the experimental conditions and the number of participants assigned to each is summarized in Table 3.2.

The paradigm for the speech production task is a simple shadowing paradigm (Goldinger 1998). The procedure in all six conditions was identical. Participants were seated in a sound-attenuated room at a computer workstation where the experiment was

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<sup>2</sup>Leaving the sound booth during experiment and speaking unnaturally are examples of failing to complete the task accurately.

	Voice	Picture	Male	Female
BLACK ASOCIAL CONDITION	Black	none	13	14
WHITE ASOCIAL CONDITION	White	none	13	14
BLACK SOCIAL CONDITION	Black	Black	14	14
WHITE SOCIAL CONDITION	White	White	13	16
BLACK/WHITE SOCIAL CONDITION	Black	White	3	13
WHITE/BLACK SOCIAL CONDITION	White	Black	8	16

Table 3.2: The six experimental conditions and their design. Voice refers to the racial identity of the talker whose voice was used in the condition. Picture refers to whether there was a digital image of the talker presented in the condition, and if so, what the racial identity of the talker was. The Male and Female columns refer to the number of participants in each group who were assigned to each Condition.

presented using E-Prime Experimental software (Schneider et al. 2002). Participants wore a head-mounted AKG C520 microphone positioned about 2 inches to the side of the mouth and AKG K240 headphones. Word productions were digitally recorded to the hard drive of a PC at a 44K sampling rate. A standing microphone connected to a button box was positioned in front of the computer to measure the onset of vocalization in order to record response latencies. The first block was a Pre-task Block that collects participants' baseline productions of the words. The words were presented randomly in 36 point font in the middle of the screen. Participants were instructed to read the words as naturally and clearly as possible. In the test blocks, the randomized word list was presented binaurally at 65 dB (SPL) over the headphones. The test blocks were comprised of three shadowing blocks where words were repeated twice per block for a total of six repetitions for each word. Each trial began with the screen turning from white to red 500 ms before the presentation of an audio file. Participants were informed that upon hearing the word, they were to repeat it as clearly and naturally as possible. In the Social Conditions a talker photo (sized 466 X 366 pixels) was presented on the screen for the duration of the shadowing portion of the task.

Finally, the post-test block was identical to the baseline block where participants read the words from the screen. The experiment took less than half an hour to complete.

Upon exiting the sound booth participants in the Asocial Conditions were asked to identify the race of the model talker. Those in the Social Conditions were asked to rate the model talker’s attractiveness on a scale of 1 to 10. Both the Black and White talker were overwhelmingly identified as White by participants (25/27 “White” responses for Black talker, 27/27 “White” responses for White talker).<sup>3</sup> The talkers’ perceived attractiveness ratings varied considerably (Male participants: Black talker  $M = 4.8$ ,  $SD = 2.6$ , White talker  $M = 4.1$ ,  $SD = 2$ ; Female participants: Black talker  $M = 5.8$ ,  $SD = 1.6$ , White talker  $M = 2.9$ ,  $SD = 1.2$ ). These values were used as predictors in the Social Conditions in the statistical models. Participants completed a final non-speech task before leaving the lab. This was the Implicit Association Task described in Section 3.3 below.

In Chapter 4 only the results from the Asocial Conditions and the congruent Social Conditions (Black/Black and White/White) are discussed. The crossed Social Conditions were removed from the analysis for two reasons: (1) too few male participants were recruited for these conditions, and (2) preliminary analysis of the female data revealed behavior that was not mirrored in any of the other conditions.

## 3.2 Data Analysis

A Praat (Boersma and Weenink 2005) script automatically identified pauses by marking boundaries at regions preceding and following over 600 ms of low intensity energy

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<sup>3</sup>Two male participants identified the Black talker as Asian. During debriefing after the session was completed these two participants said they felt the label ‘Asian’ was neutral, which was why they suggested this as the talker’s race.

(< 59 dB). These boundaries were hand-corrected so as to roughly mark the onset and offset of the vowel. A second Praat script extracted the mean first and second formants from a series of Gaussian windows spanning the middle 50% of the vowel with a 2.5 ms step size. Outliers were identified as those tokens where the F1 or F2 was more than three standard deviations away from the mean. This was based on the group mean for each formant for each vowel and was done separately for the male and female data. Outliers were then removed from the dataset. Formant values were normalized to the Bark scale before proceeding with data analysis using the formula given in Traunmüller (1990). The Bark scale is a vowel intrinsic nonlinear transformation of the frequency scale that better approximates the way in which the auditory system responds to frequencies compared to raw Hertz values.

We are interested in evaluating how a participant's productions change as a result of auditory exposure to the model talker. As a measure of how productions changed, we need a measure of how much a particular word production changes through the course of the experiment. To this end, the Euclidean distance was calculated from each participant production to the model talker production of the same word from the talker to which they had been assigned within the two dimensional formant space. The equation for finding the Euclidean distance is shown in (1). For each participant production, the first and second formants are entered into the equation along with the formant values for the same word for the model talker that the participant was exposed to. The Euclidean distance is a way of calculating distance 'as the crow flies'; it calculates a straight line between two locations within a two-dimensional space.

(1)

$$\sqrt{(word_{modeltalker,F1} - word_{participant,F1})^2 + (word_{modeltalker,F2} - word_{participant,F2})^2}$$

From the set of distance calculations we have a measure of the acoustic distance between the model talker’s productions and the participants’ productions (400 productions per talker). To calculate *how much* a participant modified their production as a result of being exposed to the model talker we need to compare the ORIGINAL DISTANCE of a participant’s baseline productions to those of the Shadowing and Post-task blocks. Therefore, the ORIGINAL DISTANCE for each word was subtracted from the distance for each following instance of that word. The value calculated from each instance of subtraction is the DIFFERENCE IN DISTANCE. A negative DIFFERENCE IN DISTANCE value demonstrates that the phonetic distance between the participant and the model talker shrank and that some degree of phonetic accommodation took place. A positive value indicates an increase in phonetic distance (i.e., divergence). A value of 0 demonstrates that there was no change as the result of auditory exposure to the model talker. This DIFFERENCE IN DISTANCE value is used as the dependent measure in the statistical analysis described in Chapter 4.

### 3.3 Implicit Association Task

#### 3.3.1 Stimuli

Twenty Black names and 20 White names, along with 20 semantically “good” words and 20 semantically “bad” words were used in constructing the stimuli for the IAT task. They are listed in Table 3.3. Equal numbers of male and female names were used

African American names	Caucasian American names	Good words	Bad words
Aaliyah	Abby	caress	abuse
Aijia	Amy	cheer	agony
Alonzo	Carson	diamond	awful
Andrew	Claire	freedom	cancer
Dominique	Cody	friend	crash
Ebony	Colin	gentle	death
Jada	Connor	glorious	evil
Jamal	Dustin	happy	failure
Jazmine	Emily	health	filth
Latonya	Hannah	honest	horrible
Marquis	Heather	joy	hurt
Maurice	Jack	laughter	jail
Raven	Jake	love	murder
Shanice	Jenna	loyal	nasty
Temeka	Katherine	lucky	poverty
Terrance	Katie	paradise	rotten
Terrell	Logan	peace	sickness
Tiara	Luke	pleasure	terrible
Trevon	Madeline	rainbow	tragedy
Tyrone	Scott	wonderful	vomit

Table 3.3: Names and words used as stimuli for the Implicit Association Task.

for both racial groups. The names used in these experiments were taken from the names and words used in Greenwald et al. (1998), Dasgupta and Greenwald (2001), Jelenec and Steffens (2002). The level of familiarity of the names was not controlled for as Dasgupta et al. (2000) found that stimulus familiarity in a Black and White IAT design did not significantly affect the results.

### 3.3.2 Participants

All participants completed the IAT immediately after the speech shadowing task.

### 3.3.3 Procedure

The task was administered at a computer workstation with a 5-point equal interval button-box. Instructions for the task were presented on the computer monitor and were provided orally. Participants were told to use the rightmost button on the button-box to categorize with the label on the right-side of the computer monitor and the leftmost button to categorize with the label on the left-side of the computer monitor.

There are 5 blocks in this task. The first block is target-concept discrimination. The targets BLACK and WHITE were presented on opposite sides of the monitor. Individual names were then randomly presented (e.g. TREVON or EMILY) in the middle of the screen. The participants' task is to categorize the names as BLACK or WHITE as quickly as possible using buttons on the button-box while making minimal errors. After each trial and in this block and all remaining blocks of the task, the monitor displays 'correct' or 'incorrect' once the participant has logged his or her response. The second block is associated attribute discrimination. Here, the attributes *good* and *bad* are presented on opposite sides of the monitor in place of BLACK and WHITE. Attribute words are presented randomly (e.g. rainbow or cancer) in the middle of the screen. Participants categorize the words as semantically good or bad words using the button box. The third block is a combined test block. The layout of the computer monitor for a test block is shown in Figure 3.5. Labels for the name categories and word attributes are presented at the top corners of the screen. In the center, either a name or a word is randomly presented and must be categorized. Participants are instructed to ignore the target-concept when categorizing words and ignore the attributes when categorizing names. Names are presented in all capital letters and words

are presented in all lowercase letters to facilitate the process. Block 4 was just like Block 1, except that the labels BLACK and WHITE were presented on different sides of the screen (so, if BLACK was on the right-side of the screen in Block 1, it was on the left side in Block 4). Participants then categorized names as BLACK or WHITE as they did in Block 1. Block 5 is the reversed combined task; the reversed order of the target-concepts (BLACK and WHITE) are matched up with the original order of good and bad such that if BLACK was originally presented above good and WHITE with bad, this pattern is reversed and Black is presented with *bad* and White with *good*. The experiment was counterbalanced so half of the participants initially were exposed to BLACK paired with good and WHITE paired with bad and half were initially presented with BLACK paired with bad and WHITE paired with good.



Figure 3.5: Participant view of a trial in either Block 3 or 5 of IAT depending on participants' assigned condition.

### 3.3.4 IAT Data Analysis

Participants' scores were calculated using the updated methods described in Greenwald et al. (2003). After removing outliers, the mean was calculated for each participant based on correct responses for each block. One standard deviation was also calculated for each block. Then, each response error was replaced with the block mean and a 600 ms penalty. Means were then re-calculated for each block and the difference between these two blocks was computed. Finally, to get the IAT score, the difference was divided by the standard deviation previously calculated. These values were used as predictors in the statistical models for the Black Social Condition described in Chapter 4.

## 3.4 Predictions

Having reviewed the background literature in Chapter 2 and the task design in the current chapter, some hypotheses regarding who imitates and what is imitated can be formulated. Trudgill (2008) argues that phonetic accommodation is automatic to the point of happening all of the time. Under this hypothesis, everyone should imitate all vowels and the social measures (IAT scores and Attractiveness Ratings) should not affect the results, since he considers identity formation to follow accommodation and not be a prior influence. Communication Accommodation Theory (CAT; Shepard et al. 2001) clearly claims that social biases determine the degree of accommodation; this theory makes no prediction regarding which vowels are imitated, but both IAT scores and Attractiveness Ratings are hypothesized to affect the amount of imitation. Exemplar-based models predict amount of imitation would be determined by a participant's previous experiences and what

has been amassed in their exemplar stores (Goldinger 1998, Pierrehumbert 2001); from this no specific hypotheses can be posited since there is no way to gauge the distribution of tokens in an individual's memory. Popular psycholinguistic models of accommodation like the interactive alignment model (Pickering and Garrod 2004b), acknowledge that social factors may play a role in imitation and defer to work on nonlinguistic accommodation by Dijksterhuis and Bargh (2001). From this model, we can predict that the social measures will influence degree of imitation, but not whether there will be differences in which vowels are accommodated.

The socially sensitive theories make no explicit prediction regarding perceived attractiveness of an interlocutor, or regarding an interlocutor's racial group. I intuit that when attracted to an individual or when favorably viewing a member of a racial group, one would want to decrease the social distance. Therefore, for socially sensitive theories, the predictions for the social measures are as follows: participants who rate the talker as more attractive will be more likely to imitate the acoustic characteristics of the talker's vowels and participants who score with a pro-Black bias will be more likely to imitate the vowels of the Black talker. In all cases it is assumed that imitation decreases the social distance between the participant and the model talker.

There are also predictions for other factors within the design. For vowels, Trudgill (1981) predicts that socially salient linguistic variables are more susceptible to imitation (see discussion above in Section 2.1). By this account we would expect /æ a o u/ to be imitated (and not /i/), as these are all undergoing sound changes in California English. In terms of participant gender, we can also make a prediction. Both model talkers for the experiment are

male. We would, therefore, expect that male participants would accommodate more since exact imitation of the male model talkers may actually be possible for male participants. There is also a prediction for the Block. In exemplar-based theories, the more activation there is of a token, the stronger the effect it will have on production. So, there should be more imitation in the last shadowing block (Block 6) than in the first shadowing block (Block 4). Also, after auditory exposure has ceased in the post-task block (Block 7), we predict the effect of accommodation to decrease.

## Chapter 4

# Results & Discussion

This chapter describes the statistical modeling used to analyze the data and presents their results. It concludes with a discussion and theory behind the socially and phonetically selective nature of the imitation patterns. The discussion also provides a brief proposal of the particular processing mechanisms involved that may allow for such imitative behavior.

The full design of the experiment was incredibly complicated with a 2 (Voice: Black talker or White talker) X 3 (Picture: Black talker, White talker, or none) X 5 (Vowel: i æ α o u) X 4 (Block: Shadowing Blocks 4, 5, 6 and Post-task Block 7) X 2 (Gender: Male or Female) factorial design. Within this design, vowel and block were within subject variables. In addition, IAT scores and Attractiveness ratings are part of the design for the Black Social Condition, and Attractiveness ratings were part of the White Social Condition. With so many factors, it is challenging to comprehend such a single model. Therefore, separate analyses of subsets of the full data set are necessary to facilitate interpretation of the

results.

One simple way to uncomplicate the analysis is to analyze the data from male and female participants separately. Within each of the male and female subsets, the within-participant variables Vowel and Block can be fully explored. More complexity in the analyses can be avoided by looking at these variables sequentially and systematically across pairs of cells. Therefore, the analyses are organized in the following way. Section 4.2.1 examines the two Asocial Conditions. This analysis explores whether one particular talker's voice elicited more imitation than the other. Results for the Social and Asocial Conditions with the Black talker's voice are given in Section 4.2.2; this analysis examines how visual information specifically about the Black talker affects imitation. Section 4.2.3 does the same for the data from the White Asocial and White Social conditions, asking the question of how visual information about the White talker influences imitative behavior. The analyses in Sections 4.2.4 and 4.2.5 incorporate the social measures. The next two Sections are for the Social Conditions only and incorporate the social factors IAT and Attractiveness. In Section 4.2.4, using data from the Black Social Condition, the question of how IAT scores and Attractiveness ratings affect accommodation to the Black talker is addressed. For the White Social Condition, Section 4.2.5 has a mixed model with the Attractiveness Ratings where we can see how this measure affected accommodation. Section 4.2.6 provides an analysis of the overall amount of imitation for male and female participants. Finally, a discussion of the results is given in Section 4.3. In addition to summarizing the results, Section 4.3 provides a theory that accounts for the findings.

## 4.1 Mixed Effects Modeling

The main approach to the data analysis was mixed effects modeling. Mixed effects models were hand-fit using the `lmer()` package for R (R Development Core Team 2008) described in Baayen (2008).<sup>1</sup>

The details of the models are provided in each sub-section of Section 4.2 (Results) below. Mixed models combine random effects – like participant and word – that are randomly sampled from a larger population with fixed effects – like Block, Condition, Vowel, IAT score, etc. – that are the variables selectively chosen for testing in the experimental design. The dependent measure in all of the models was the DIFFERENCE IN DISTANCE measure. The calculation for this metric was described in Chapter 3. Models were hand-fit for particular combinations of data so as to address particular questions and hypotheses. In an effort to avoid over-fitting the models, simpler models for each data set were also constructed. Simple models were compared to more complex models using a likelihood ratio test. In all cases the more complex models with the larger degrees of freedom were justified.<sup>2</sup>

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<sup>1</sup>The `lmer()` package does not provide  $p$ -values for the models' resulting  $t$ -values. In each analysis below,  $p$ -values were estimated using the `pvals.fnc()` that is associated with the larger `languageR()` package described in Baayen (2008).

<sup>2</sup>Age of participant was a fixed effect that was originally entered into all of the models. In no case did it result in a better fit and it is not discussed further.

## 4.2 Results

### 4.2.1 Asocial Conditions

Productions from the two Asocial Conditions – one with the Black talker and the other with the White talker – were analyzed using a mixed model. This was done to determine whether one talker’s voice elicited more imitation than the other. Subject and word were used as random factors in the model while Block, Vowel, and Condition were entered as fixed effects. Block (Shadowing Blocks 4-6, Post-task Block 7), Vowel (i, æ, a, o, u), and Condition (Black Asocial and White Asocial) were entered into the model as potential main effects and interactions.

When predictors are categorical with multiple levels, the model assumes a default reference level. The reference level is clear when the category has two variables, like Condition where the choice within the model is White Asocial or Black Asocial. For fixed predictors with more than one category, the model selects a reference level as a default. So, for example, all experimental blocks are compared against the first shadowing block (Block 4). For vowels, the reference level is /a/. These reference levels hold for all of the models presented in this chapter.

#### Male participants

The output for the mixed effects model for productions in the two Asocial Conditions for the male participants is presented in Table 4.1. This table reports the coefficients of each fixed predictor and the interactions. Overall, this model accounts for 11.1% of the variance in the data.

Figure 4.1 presents the data for the two Asocial Condition across Blocks. In this figure, the DIFFERENCE IN DISTANCE measure on the  $y$ -axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates acoustic divergence within the vowel. This figure is suggestive of a trend toward cumulativity where imitation gets progressively stronger throughout the shadowing portion of the task. Overall, there is the general impression that there is more imitation in the last shadowing block (Block 6) than in the previous two shadowing blocks (Blocks 4 and 5). However, the model summarized in Table 4.1 finds that a main effect for Block 6 is just beyond the level of significance [ $\beta = -0.07$ ,  $t(8206) = -1.8$ ,  $p < 0.1$ ] and there were no other Block main effects. The mixed effects model also reports a significant effect for Condition [ $\beta = -0.26$ ,  $t(8206) = -4.6$ ,  $p < 0.001$ ]. There are also two-way interactions between Condition x Block 7 and Condition and each of the vowels. Three-way interactions between Condition x Block 7 x /o/ and Condition x Block 7 and /i/ were also found. The coefficients for these interactions are reported in Table 4.1.

In order to further elucidate patterns, the data were also subjected to repeated measures of analysis of variance.<sup>3</sup> The DIFFERENCE IN DISTANCE measure was entered as the dependent variable. Block, Vowel, and Condition were treated as independent variables and Subject was entered as the error term. There were main effects of Block ( $F(3, 34) = 7.1$ ,  $p < 0.001$ ) and Vowel ( $F(4, 64) = 10.04$ ,  $p < 0.001$ ), and a single interaction between Condition x Vowel ( $F(4, 64) = 3.5$ ,  $p < 0.05$ ). Post-hoc tests found that Blocks 4, 5, and

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<sup>3</sup>Tukey's Honest Significant Difference test was used in post-hoc testing. Post-hoc repeated measures Tukey tests were conducted by building a general linear model `lme()` using the R package `nlme` and then running the command `glht()` within the `multcomp` package. This was the method of pair-wise comparison for all of the data reported in Chapter 4.

6 (the Shadowing Blocks) exhibited more imitation than Block 7 (Post-task Block) (Blocks 4/7,  $p < 0.01$ ; Blocks 5/7 and 6/7,  $p < 0.001$ ). This means that male participant imitation did not compound across the duration of the Shadowing task. The degree of imitation was held relatively constant throughout exposure to the model talker. In the ANOVA, there was no effect of Condition, as had been reported in Table 4.1.

Figure 4.2 presents data for each vowel and block collapsed across the Black Asocial and White Asocial Conditions. The DIFFERENCE IN DISTANCE measure on the  $y$ -axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. This figure demonstrates preferential imitation for the low vowels /a/ and /æ/. The behavior for the rounded back vowels /o/ and /u/ is less predictable, but there is clearly some convergence within these vowels for male participants in the Shadowing Blocks. Participants' production of /i/ changes little throughout the course of the experiment. As reported above, the ANOVA returned a main effect for Vowel. Post-hoc pair-wise comparisons found that the low vowels were imitated to the exclusion of all others. The vowels /a/ and /æ/ were imitated more than /i/ (/a/,  $p < 0.001$ ; /æ/,  $p < 0.001$ ), /o/ (/a/,  $p < 0.05$ ; /æ/,  $p < 0.01$ ), and /u/ (/a/,  $p < 0.05$ ; /æ/,  $p < 0.01$ ).

In order to see the actual direction of the imitative patterns, we can turn our attention to Figures 4.3 and 4.4. These are formant plots of the vowel convergence for the male participants in the Asocial Conditions. The formant values are plotted in the Bark

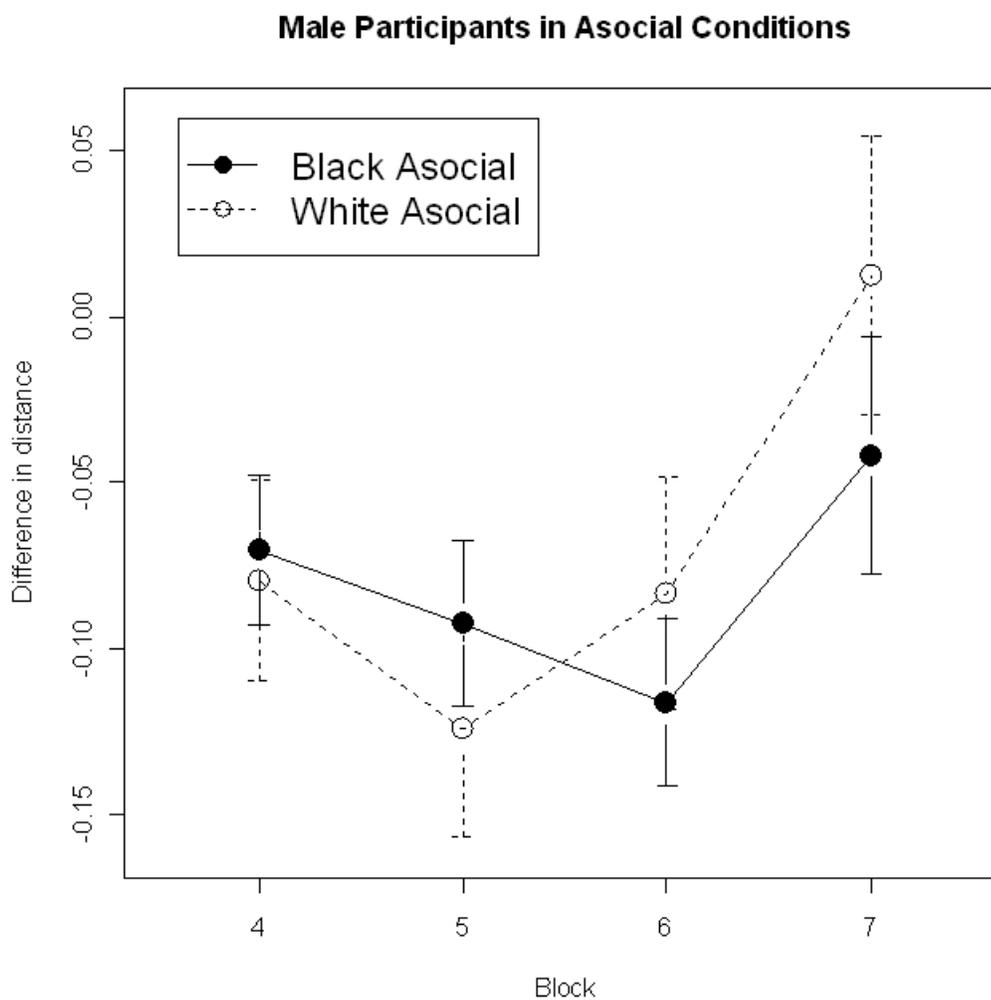


Figure 4.1: Spontaneous phonetic imitation in the Asocial Conditions for the male participants by Condition. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates acoustic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

scale. In both figures, the mean values for each of the vowels for the model talkers are plotted in black. Male participants' mean vowel productions for the Pre-task Block (Block 2) are presented in light gray and their productions from the final Shadowing Block (Block

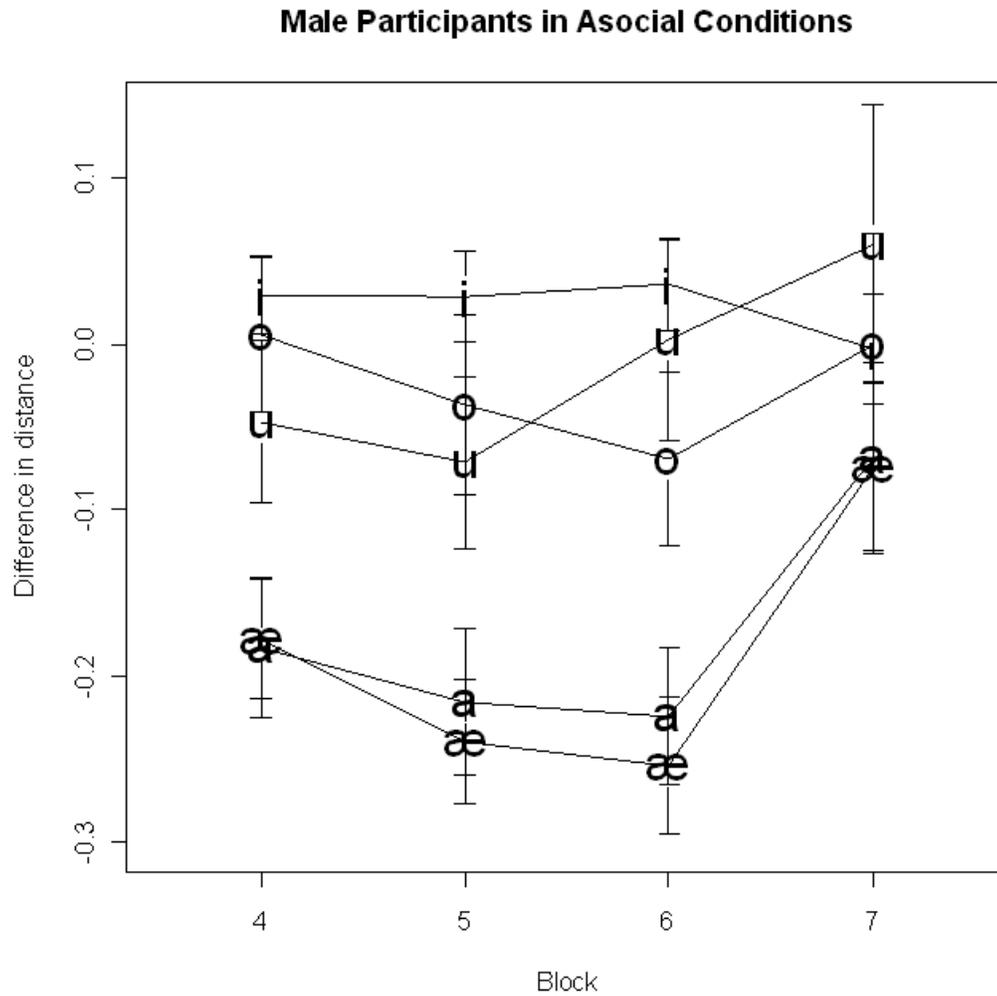


Figure 4.2: Spontaneous phonetic imitation in the Asocial Conditions for each vowel for the male participants collapsed across Condition. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

6) are plotted in dark gray. The DIFFERENCE IN DISTANCE values revealed that the phonetic distance between participants and the model talker to which they were exposed decrease, but the direction is unspecified. More detail about participants' response to the auditory

stimulus, however, can be gained from the vowel plots. Figure 4.3 and 4.4 demonstrate some interesting details of imitation. Notice for /ɑ/ in Figure 4.3 for the Black Asocial Condition, male participants are raising the F2 (and lowering their F1) to approximate the Black talker's /ɑ/. For the participants' /ɑ/ in the White Asocial Condition in Figure 4.4 we see a lowering of F2 (and lowering of F1) in the process of spontaneously imitating his speech. In addition to this pattern, we see large shifts in participants' productions of /æ/ in the direction of the model talkers' /æ/. For the male participants we see shifts both within the F1 and F2 dimensions of the vowel space.

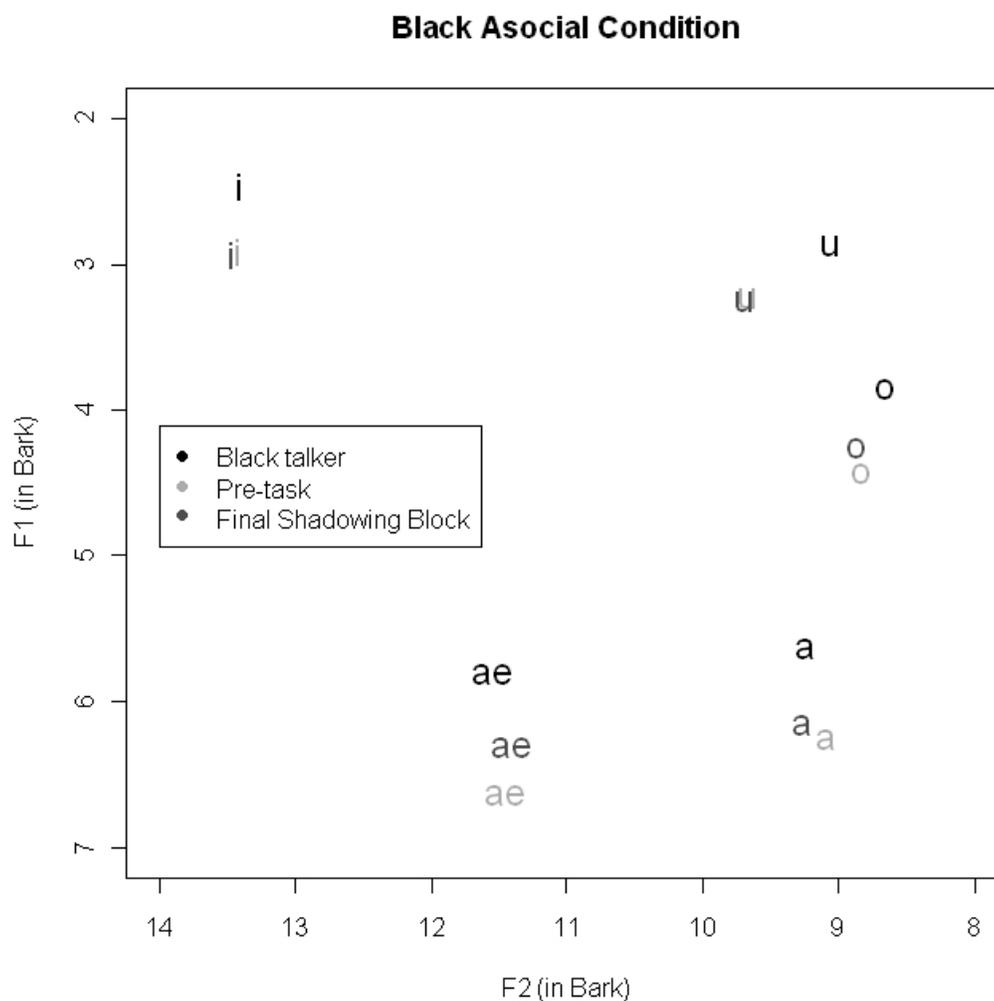


Figure 4.3: Formant plot displaying the spontaneous phonetic imitation in the Black Asocial Condition for the male participants. Formant values are plotted in the Bark scale. The Black model talker's mean vowels are plotted in black. Male participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
Block 5	-0.0194052	0.0410142	-0.473
Block 6	-0.0730409	0.0410142	-1.781.
Block 7	0.0197195	0.0502339	0.393
/æ/	-0.0923375	0.0491064	-1.880.
/i/	0.0535158	0.0492735	1.086
/o/	0.0368249	0.0496815	0.741
/u/	-0.0328904	0.0491403	-0.669
White Asocial Condition	-0.2601857	0.0562418	-4.626***
Block 5 : /æ/	-0.0630178	0.0578348	-1.090
Block 6 : /æ/	-0.0119582	0.0578913	-0.207
Block 7 : /æ/	0.0432592	0.0707888	0.611
Block 5 : /i/	0.0153164	0.0581767	0.263
Block 6 : /i/	0.0504283	0.0580890	0.868
Block 7 : /i/	-0.0462686	0.0710874	-0.651
Block 5 : /o/	-0.0001682	0.0587736	-0.003
Block 6 : /o/	-0.0131402	0.0587734	-0.224
Block 7 : /o/	-0.0182832	0.0720356	-0.254
Block 5 : /u/	0.0339983	0.0579185	0.587
Block 6 : /u/	0.1074392	0.0578903	1.856.
Block 7 : /u/	0.0655107	0.0710884	0.922
Block 5 : White Asocial Condition	-0.0298397	0.0610615	-0.489
Block 6 : White Asocial Condition	0.0684430	0.0610587	1.121
Block 7 : White Asocial Condition	0.2085844	0.0750335	2.780**
/æ/ : White Asocial Condition	0.2098722	0.0608648	3.448***
/i/ : White Asocial Condition	0.3465310	0.0607313	5.706***
/o/ : White Asocial Condition	0.3252074	0.0612799	5.307***
/u/ : White Asocial Condition	0.3682474	0.0605861	6.078***
Block 5 : /æ/ : White Asocial Condition	0.0765837	0.0860454	0.890
Block 6 : /æ/ : White Asocial Condition	-0.0442294	0.0861371	-0.513
Block 7 : /æ/ : White Asocial Condition	-0.1114707	0.1055737	-1.056
Block 5 : /i/ : White Asocial Condition	0.0347385	0.0860073	0.404
Block 6 : /i/ : White Asocial Condition	-0.0038763	0.0859985	-0.045
Block 7 : /i/ : White Asocial Condition	-0.2198679	0.1054452	-2.085*
Block 5 : /o/ : White Asocial Condition	-0.0200021	0.0866800	-0.231
Block 6 : /o/ : White Asocial Condition	-0.0455015	0.0866503	-0.525
Block 7 : /o/ : White Asocial Condition	-0.2263670	0.1063035	-2.129*
Block 5 : /u/ : White Asocial Condition	-0.0552634	0.0858063	-0.644
Block 6 : /u/ : White Asocial Condition	-0.0347244	0.0857856	-0.405
Block 7 : /u/ : White Asocial Condition	-0.1628811	0.1053389	-1.546

Table 4.1: Fixed Effects for the Asocial Conditions for male participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

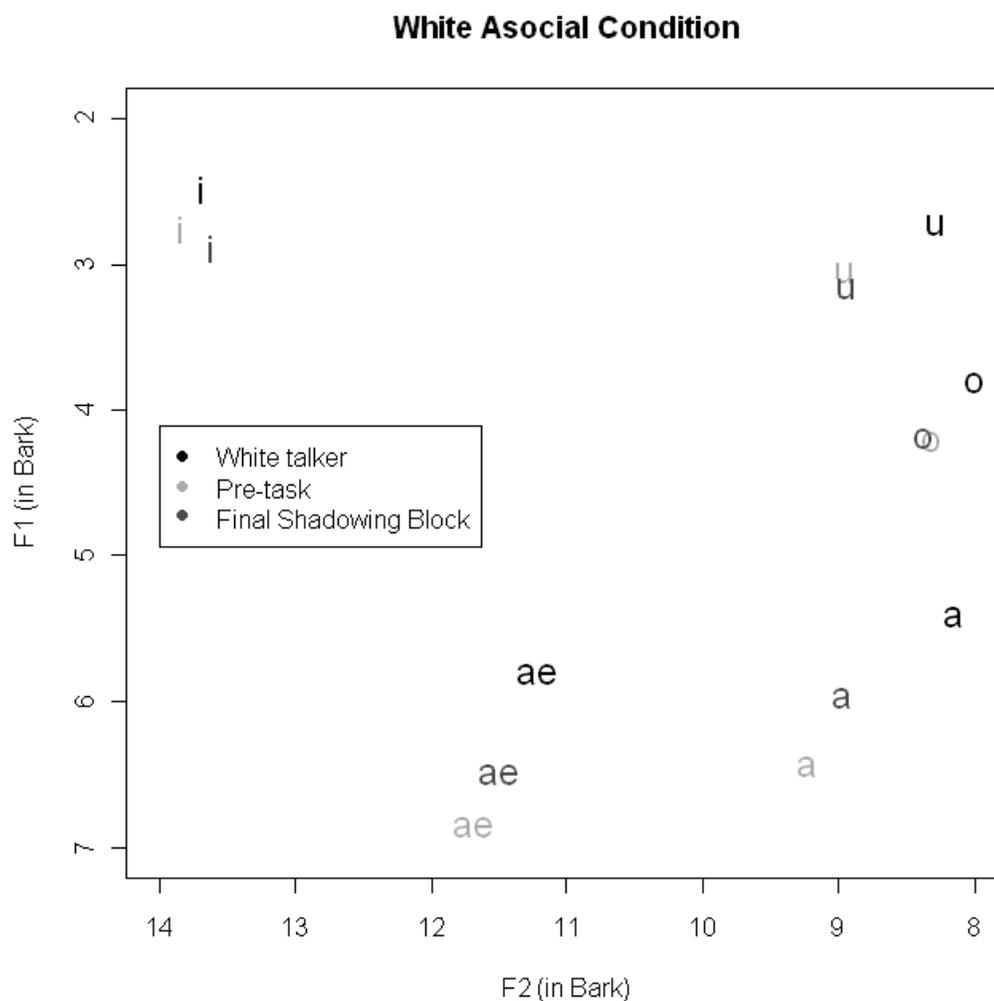


Figure 4.4: Formant plot displaying the spontaneous phonetic imitation in the White Asocial Condition for the male participants. Formant values are plotted in the Bark scale. The White model talker's mean vowels are plotted in black. Male participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

## Female participants

The output for the mixed effects model for productions in the two Asocial Conditions for the female participants is presented in Table 4.2. This table reports the coefficients of each fixed predictor and the interactions. Overall, this model accounts for 13.9% of the variance in the female Asocial data.

We see in the model summarized in Table 4.2 that there are significant main effects for the experimental Blocks [Block 5:  $\beta = -0.11$ ,  $t(9883) = -2.3$ ,  $p < 0.05$ ; Block 6:  $\beta = -0.17$ ,  $t(9883) = -3.4$ ,  $p < 0.001$ ; Block 7:  $\beta = -0.15$ ,  $t(9883) = -2.5$ ,  $p < 0.05$ ]. The interactions between Block x Condition do not approach significance. These results can be seen in Figure 4.5 where the amount of imitation is presented for each Block and Condition. The DIFFERENCE IN DISTANCE measure on the  $y$ -axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates acoustic divergence within the vowel.

This figure nicely demonstrates an important result for the female participants: the effects of auditory exposure are cumulative. Female participants in the Asocial Conditions increased the amount of imitation of the model talker throughout the Shadowing Blocks. We reach the maximum amount of imitation in Block 6, the final shadowing block. In Block 7 the degree of imitation decreases, as evident in the vertical jump along the  $y$ -axis in Figure 4.5. This value, however, remains below 0. What this means is that the effects of imitation persisted into the Post-task Block.

To further explore the relationships between the variables, a repeated measures

ANOVA with DIFFERENCE IN DISTANCE as the dependent variable, Block, Condition, and Vowel as predictors, and Subject as the error term was conducted. There were main effects of Block ( $F(3, 57) = 8.4, p < 0.001$ ) and Vowel ( $F(4, 80) = 21.3, p < 0.001$ ). There was also a significant interaction between Block x Vowel ( $F(12, 324) = 4.5, p < 0.001$ ). In post-hoc testing, the significant differences were found between Blocks 4 and 6 ( $p < 0.001$ ), verifying the observed effect of cumulativity for the female participants. There were also significant differences between Blocks 5 and 7 ( $p < 0.05$ ) and Blocks 6 and 7 ( $p < 0.001$ ).

Figure 4.6 shows the extent to which vowels are preferentially imitated. For female participants the low vowels /a/ and /æ/ are cumulatively imitated across Blocks. At the same time /o u i/ show little change across blocks. Auditory exposure to the model talkers' /a/ and /æ/ modified the production of these vowels, but the production targets of the other three vowels were not affected by the model talkers' auditory targets. Recall from the Methodology Chapter that phonetic distance is calculated as the Euclidean distance – a measure which takes displacement within the first and second formant into consideration. As such, the directionality of imitation cannot be determined from Figures 4.6. The manner in which participants' productions shift toward those of the model talker is shown in Figures 4.7 and 4.8. These are formant plots of vowel convergence for the female participants in the Asocial Conditions. The formant values are plotted in the Bark scale. In both figures, the mean values for each of the vowels for the model talkers are plotted in black. Female participants mean vowel productions for the Pre-task Block (Block 2) are presented in light gray and their productions from the final Shadowing Block (Block 6) are plotted in dark gray. From these figures we can attain a better understanding of the direction

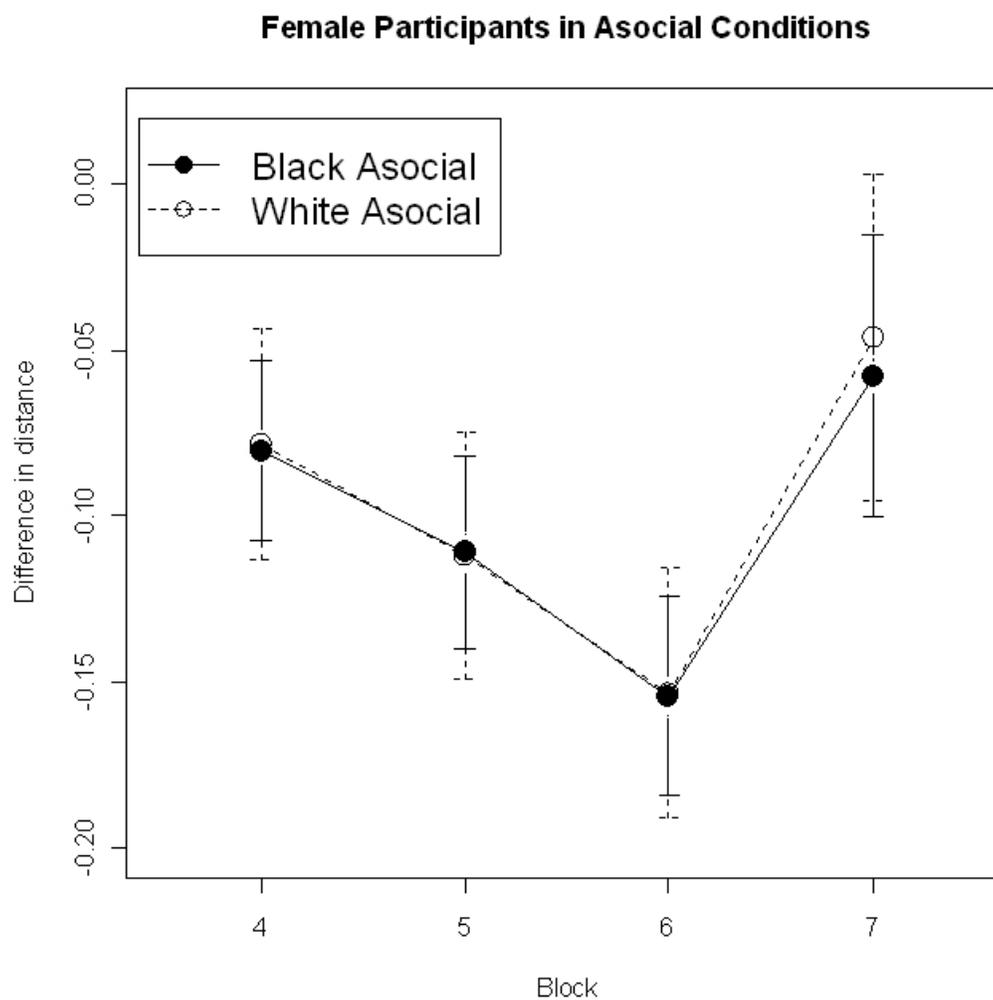


Figure 4.5: Spontaneous phonetic imitation in the Asocial Conditions for the female participants collapsed across vowel. The *Difference in Distance* measure on the  $y$ -axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4-6 are Shadowing Blocks while Block 7 is the Post-task Block. Responses in the Black Asocial Condition are shown with the filled circles. Responses in the White Asocial Condition are shown with the unfilled circles. The error bars represent 95% confidence intervals.

of phonetic imitation. The DIFFERENCE IN DISTANCE values revealed that the phonetic distance between participants and the model talker to which they were exposed did indeed

shrink. More detail about participants' response to the auditory stimulus, however, can be gained from the vowel plots. We see in these figures that the majority of female imitation comes within the F1 dimension of the low vowels. The mean values for /ɑ/ and /æ/ in the Final Shadowing Block are lower than in the female's pre-task productions.

After finding the main effect of Vowel in the analysis of variance, preferential imitation for low vowels was examined with post-hoc testing. Like the male participants, we find for the females that /ɑ/ and /æ/ are imitated to the exclusion of /i/ (/ɑ/,  $p < 0.01$ ; /æ/,  $p < 0.001$ ), /o/ (/ɑ/ and /æ/,  $p < 0.001$ ), and /u/ (/ɑ/ and /æ/,  $p < 0.001$ ).

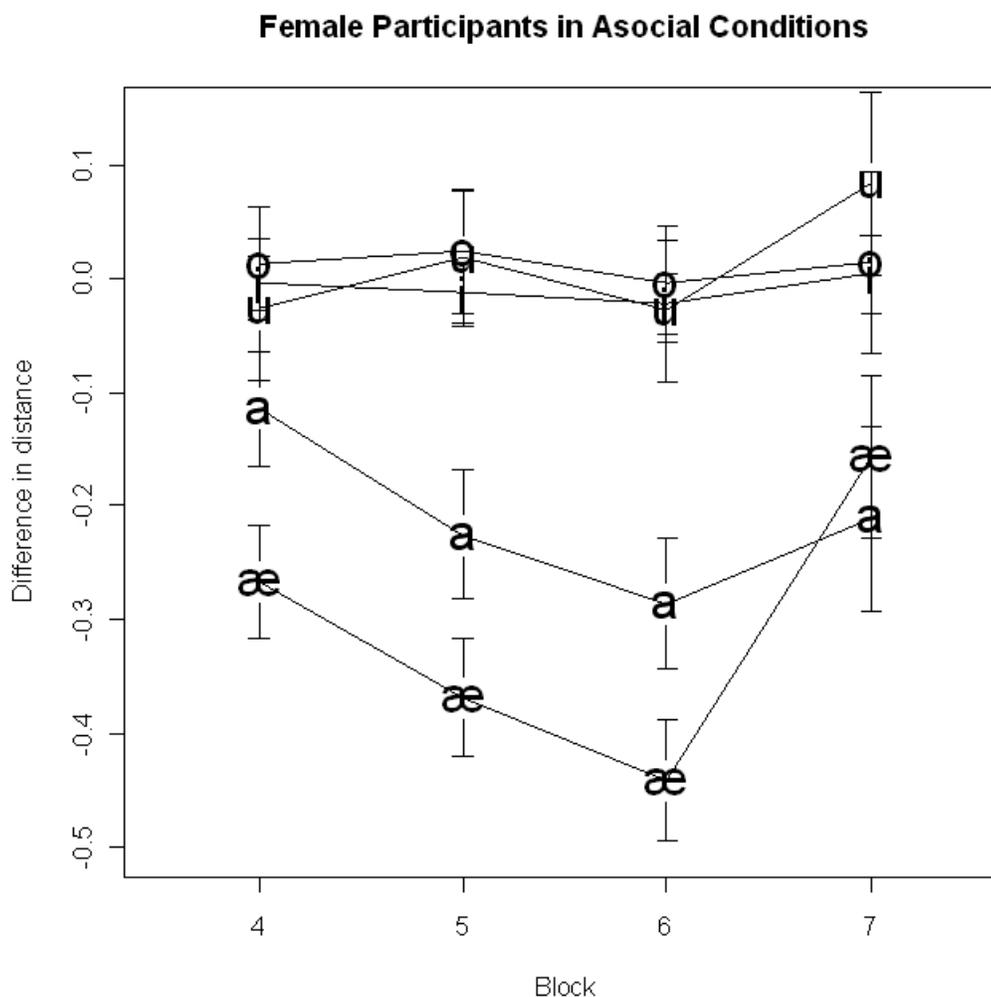


Figure 4.6: Spontaneous phonetic imitation in the Asocial Conditions for the female participants by vowel. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
Block 5	-0.109544	0.049164	-2.228*
Block 6	-0.166413	0.049471	-3.364***
Block 7	-0.153203	0.060129	-2.548*
/æ/	-0.211337	0.064303	-3.287**
/i/	0.022455	0.064204	0.350
/o/	-0.012751	0.064473	-0.198
/u/	0.017326	0.063958	0.271
White Asocial Condition	-0.148324	0.074405	-1.993*
Block 5 : /æ/	0.037134	0.069166	0.537
Block 6 : /æ/	0.001613	0.069412	0.023
Block 7 : /æ/	0.253945	0.084266	3.014**
Block 5 : /i/	0.089710	0.068959	1.301
Block 6 : /i/	0.154351	0.069208	2.230*
Block 7 : /i/	0.182935	0.084280	2.171*
Block 5 : /o/	0.107792	0.069464	1.552
Block 6 : /o/	0.139944	0.069712	2.007*
Block 7 : /o/	0.187703	0.084954	2.209*
Block 5 : /u/	0.157365	0.068590	2.294*
Block 6 : /u/	0.161015	0.068839	2.339*
Block 7 : /u/	0.250354	0.083911	2.984**
Block 5 : White Asocial Condition	-0.008292	0.070258	-0.118
Block 6 : White Asocial Condition	-0.014674	0.070510	-0.208
Block 7 : White Asocial Condition	0.112567	0.086044	1.308
/æ/ : White Asocial Condition	0.126906	0.070017	1.813.
/i/ : White Asocial Condition	0.183920	0.069829	2.634**
/o/ : White Asocial Condition	0.291227	0.070137	4.152***
/u/ : White Asocial Condition	0.149767	0.069633	2.151*
Block 5 : /æ/ : White Asocial Condition	-0.060304	0.099109	-0.608
Block 6 : /æ/ : White Asocial Condition	-0.012822	0.099381	-0.129
Block 7 : /æ/ : White Asocial Condition	-0.094700	0.120826	-0.784
Block 5 : /i/ : White Asocial Condition	0.035102	0.098731	0.356
Block 6 : /i/ : White Asocial Condition	0.005183	0.098909	0.052
Block 7 : /i/ : White Asocial Condition	-0.154197	0.120855	-1.276
Block 5 : /o/ : White Asocial Condition	0.031013	0.099107	0.313
Block 6 : /o/ : White Asocial Condition	0.029434	0.099352	0.296
Block 7 : /o/ : White Asocial Condition	-0.179805	0.121363	-1.482
Block 5 : /u/ : White Asocial Condition	0.004617	0.098429	0.047
Block 6 : /u/ : White Asocial Condition	0.025050	0.098628	0.254
Block 7 : /u/ : White Asocial Condition	-0.084401	0.120468	-0.701

Table 4.2: Fixed effects output for the mixed effects model composed of the data from the Asocial Condition for the female participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

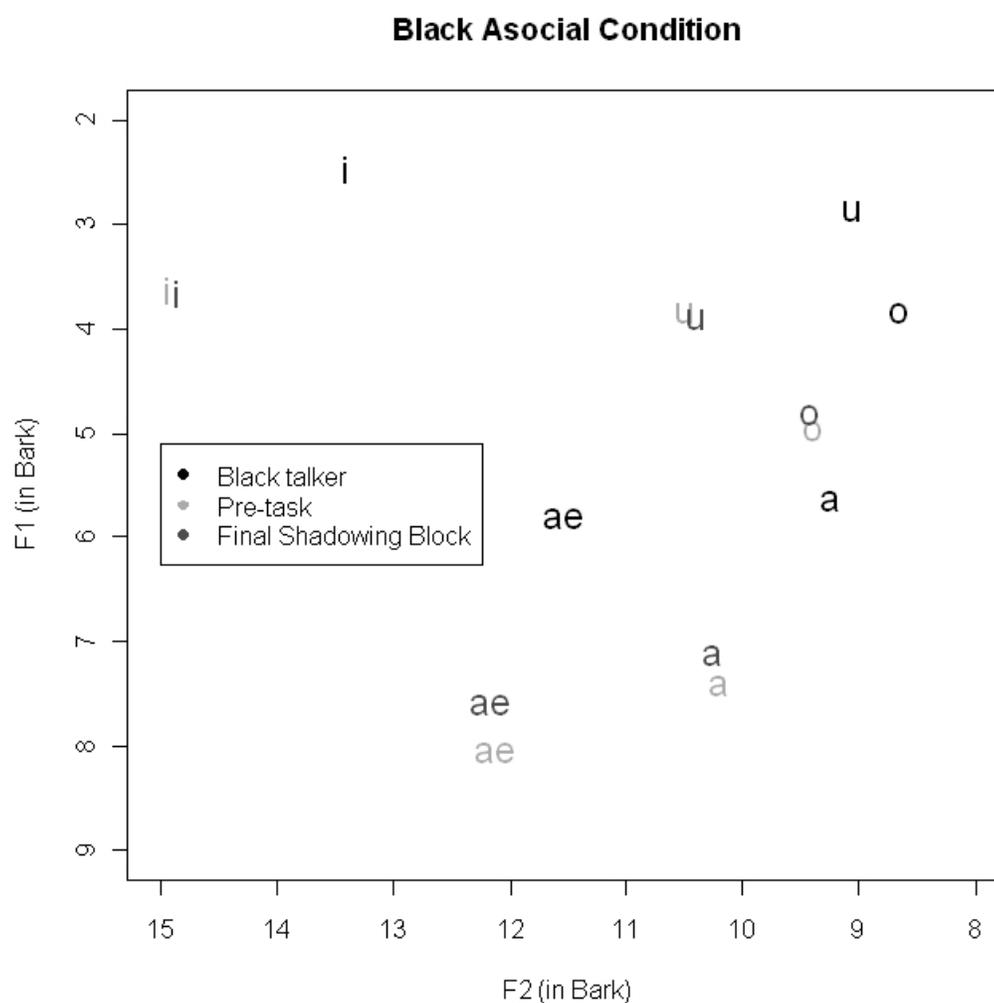


Figure 4.7: Formant plot displaying the spontaneous phonetic imitation in the Black Asocial Condition for the female participants. Formant values are plotted in the Bark scale. The Black model talker's mean vowels are plotted in black. Female participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

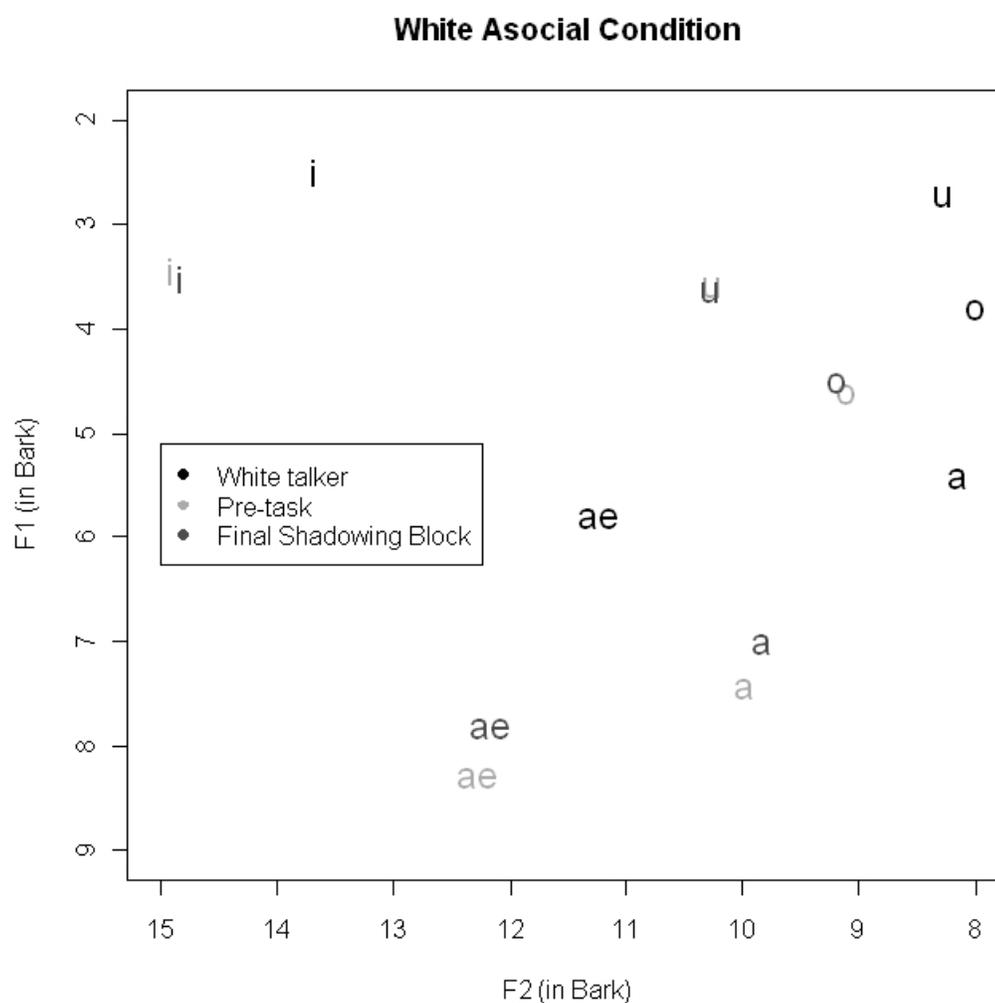


Figure 4.8: Formant plot displaying the spontaneous phonetic imitation in the White Asocial Condition for the female participants. Formant values are plotted in the Bark scale. The White model talker's mean vowels are plotted in black. Female participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

### 4.2.2 Black talker Conditions

The Black Social and Black Asocial Conditions need to be compared in order to determine how visual information about the Black talker affects imitation. The model in this section addresses the question of whether phonetic convergence occurs more in the presence or absence of visually presented social information about the talker. How Attractiveness ratings and implicit racial biases (participants' IAT scores) influenced the degree of imitation are modeled below in Section 4.2.4 using only data from the Black Social Condition.

Subject and word were used as random factors in the model while Block, Vowel, and Condition were entered as fixed effects. Block (Shadowing Blocks 4-6, Post-task Block 7), Vowel (i, æ, α, o, u), and Condition (Black Asocial and Black Social) were entered into the model as possible main effects and as interactions.

#### Male participants

The output for the mixed effects model for productions in the two Black talker Conditions for the male participants is summarized in Table 4.3. This table reports the coefficients of each fixed predictor and the interactions. This model accounts for 9% of the variance in the data.

In the model summarized in Table 4.3 we see that none of the variables are truly significant. Figure 4.9, however, highlights an interesting trend for Condition; male participants appear to imitate slightly more in the Black Social Condition in the first two shadowing blocks. When the data is examined using a repeated measures ANOVA with DIFFERENCE IN DISTANCE as the outcome variable, Block, Vowel, and Condition as predic-

tors, and Subject as the error, we find main effects for Condition ( $F(1, 1) = 4, p < 0.05$ ), Block ( $F(3, 40) = 3.2, p < 0.05$ ), and Vowel ( $F(4, 72) = 4.5, p < 0.01$ ). There were also significant interactions between Condition x Block ( $F(3, 40) = 3, p < 0.05$ ) and Condition x Block x Vowel ( $F(12, 288) = 1.9, p < 0.05$ ).

The main effect of Condition and the interaction of Condition x Block in the ANOVA is observable in Figure 4.9. Male participants imitated more in the Black Social Condition [ $M = -0.11$ ] than in the Black Asocial Condition [ $M = -0.09$ ]. What Figure 4.9 tells us is that this effect of Condition is being carried by the first two shadowing blocks. The effect disappears in the Blocks 6 and 7. Again, paired comparisons for the Block effect found no evidence for cumulativity. All shadowing blocks, however, exhibited more imitation than the post-task block (Blocks 4/7,  $p < 0.05$ ; Blocks 5/7 and 6/7,  $p < 0.001$ ).

The pattern of vowel imitation can be seen in Figure 4.11. The figure suggests that /æ/ is imitated the most, /i/ the least, and /ɑ o u/ are roughly equivalent with respect to the degree of imitation. In the post-hoc comparisons, this observation and the Vowel effect from the ANOVA were further explored. Only the comparison between /æ/ and /i/ returned significant ( $p < 0.001$ ), although the comparison between /ɑ/ and /i/ was just slightly beyond the level of significance ( $p < 0.1$ ).

Figure 4.3 shown above and Figure 4.11 shown below present the results of vowel imitation within the vowel space for the two Black talker Conditions. Recall that the analysis of variance for the Black talker Conditions reported more imitation in the Black Social Condition (Figure 4.11) than in the Black Asocial Condition (Figure 4.3). This pattern becomes visually apparent in these figures. In Figure 4.11 there is nearly complete

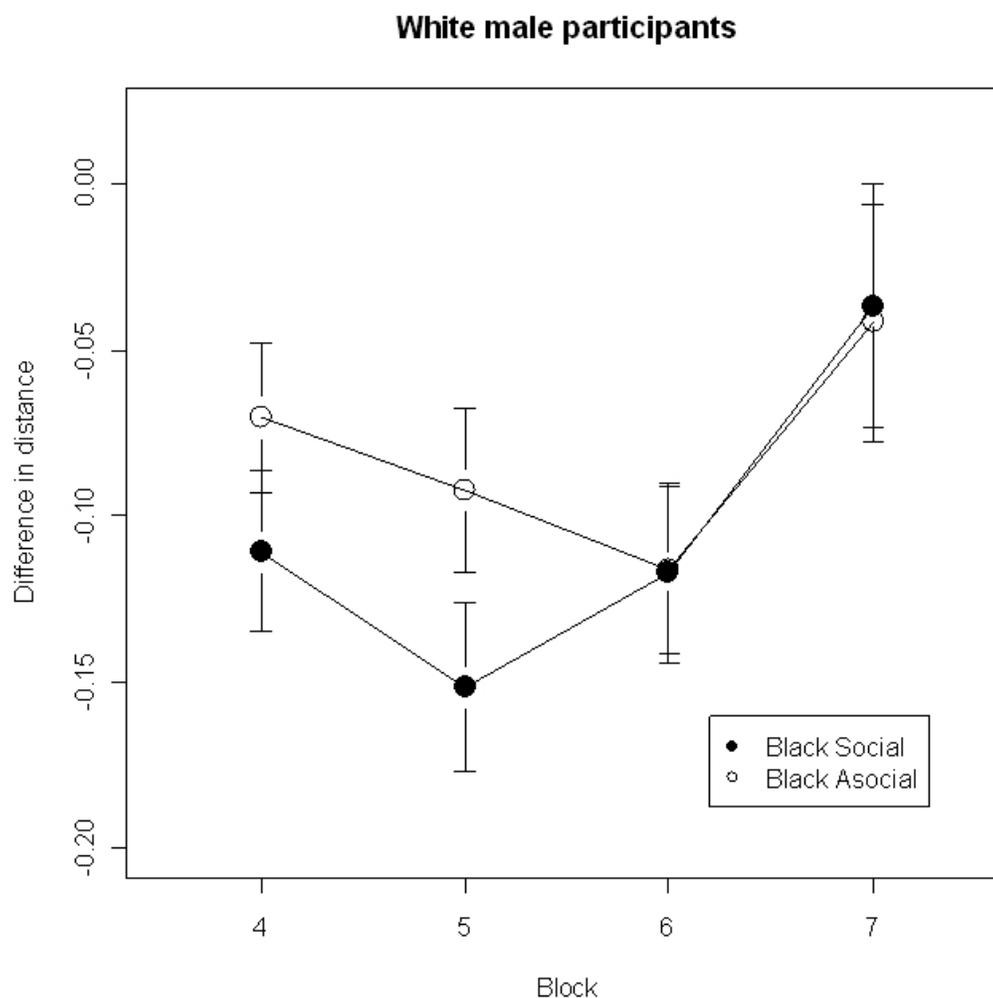


Figure 4.9: Spontaneous phonetic imitation in the Black talker Conditions for the male participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

overlap in participants' productions in the final shadowing block for /æ/ and /ɑ/. A large part of this imitation is within the F1 dimension, but for /ɑ/ there is also clear movement within F2. For /o/ we also see movement within both F1 and F2.

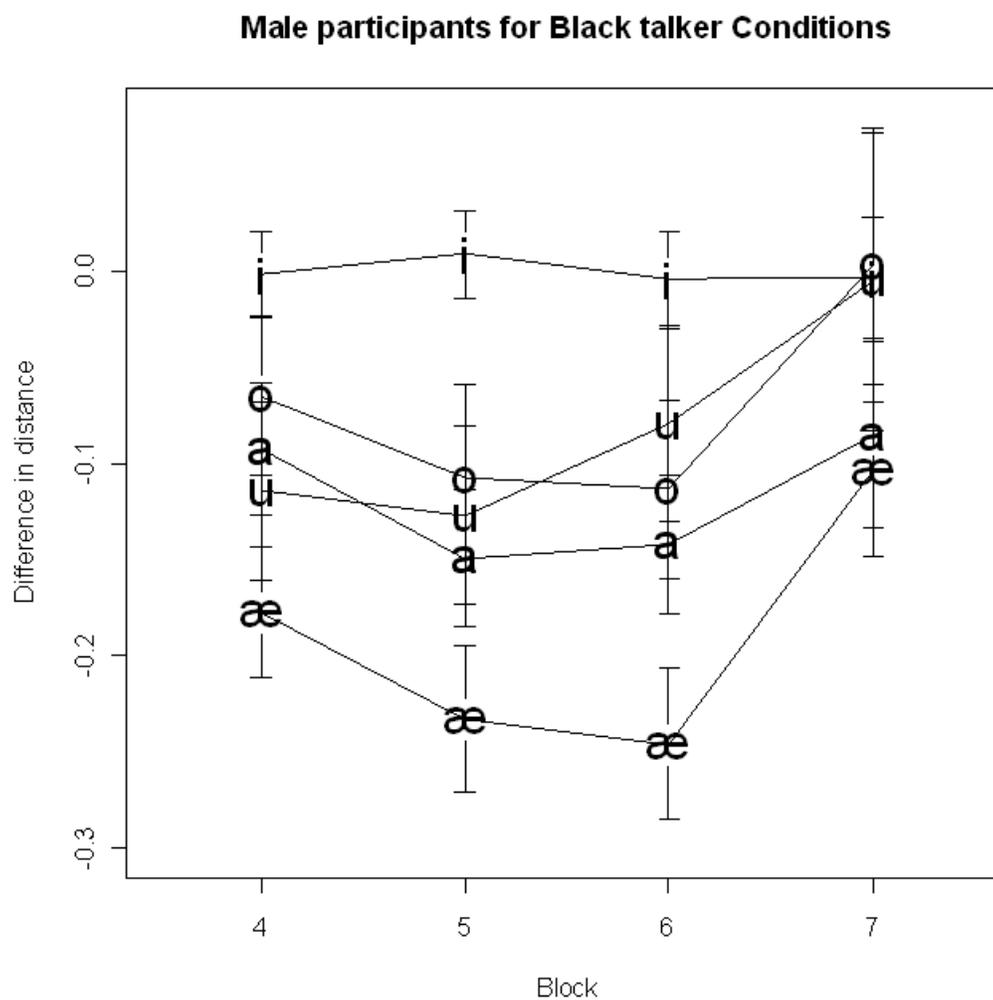


Figure 4.10: Spontaneous phonetic imitation in the Black talker Conditions for the male participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

### Female participants

The output for the mixed effects model for productions in the two Black talker Conditions for the female participants is summarized in Table 4.4. This table reports the

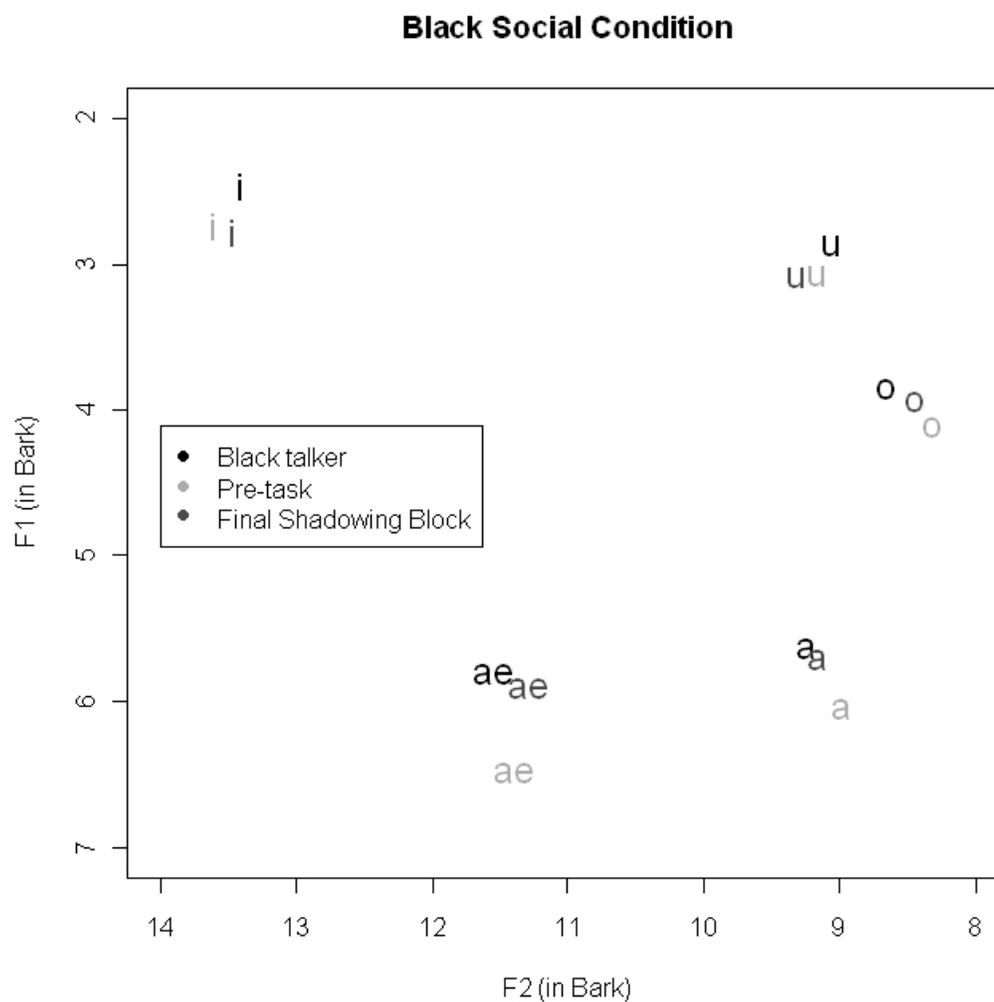


Figure 4.11: Formant plot displaying the spontaneous phonetic imitation in the Black Social Condition for the male participants. Formant values are plotted in the Bark scale. The Black model talker's mean vowels are plotted in black. Female participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

coefficients of each fixed predictor and the interactions. This model accounts for 10.2% of the variance in the data.

While the effect of Condition is not significant in the model, Figure 4.12 demonstrates the consistent trend that female participants imitated the Black talker's voice more

in the Asocial Condition. That is, when the Black talker's image was not presented as part of the task, there was more imitation.

The effect of experimental block is also apparent in Figure 4.12. As summarized in Table 4.4, each of the Blocks were significant predictors in the mixed model [Block 5:  $\beta = -0.11$ ,  $t(9839) = -2.3$ ,  $p < 0.01$ ; Block 6:  $\beta = -0.17$ ,  $t(9839) = -3.5$ ,  $p < 0.001$ ; Block 7:  $\beta = -0.15$ ,  $t(9839) = -2.6$ ,  $p < 0.001$ ]. The observation that female participants imitate more after longer periods of exposure to the talker holds true. A repeated measures ANOVA with DIFFERENCE IN DISTANCE as the dependent variable, Block, Condition, and Vowel as independent variables, and Subject as the error term was run. It revealed main effects of Block ( $F(3, 57) = 11.2$ ,  $p < 0.001$ ) and Vowel ( $F(4, 79) = 8.7$ ,  $p < 0.001$ ). There was also a significant interaction between Vowel x Block ( $F(12, 324) = 4.5$ ,  $p < 0.001$ ). In the post-hoc tests with Block, we again find how imitation compounds for female participants across shadowing blocks. Female participants imitated more in Block 6 than in Block 4 ( $p < 0.01$ ) and Block 5 ( $p < 0.05$ ). They also exhibited more imitation in Block 6 than in Block 7 ( $p < 0.01$ ).

Figure 4.13 demonstrates selective vowel imitation. Here we see a slight departure from what we have seen previously. Figure 4.13 suggests that /æ/ is imitated to the exclusion of other vowels. The main effect of Vowel in the ANOVA was followed up with post-hoc tests. Paired-comparisons confirmed the observation. They revealed that /æ/ elicits imitation, while none of the other vowels do; /æ/ was imitated more than /ɑ/ and /o/ ( $p < 0.01$ ) and /u/ and /i/ ( $p < 0.001$ ).

As reported above, for the Black talker Conditions, /æ/ exhibited the most im-

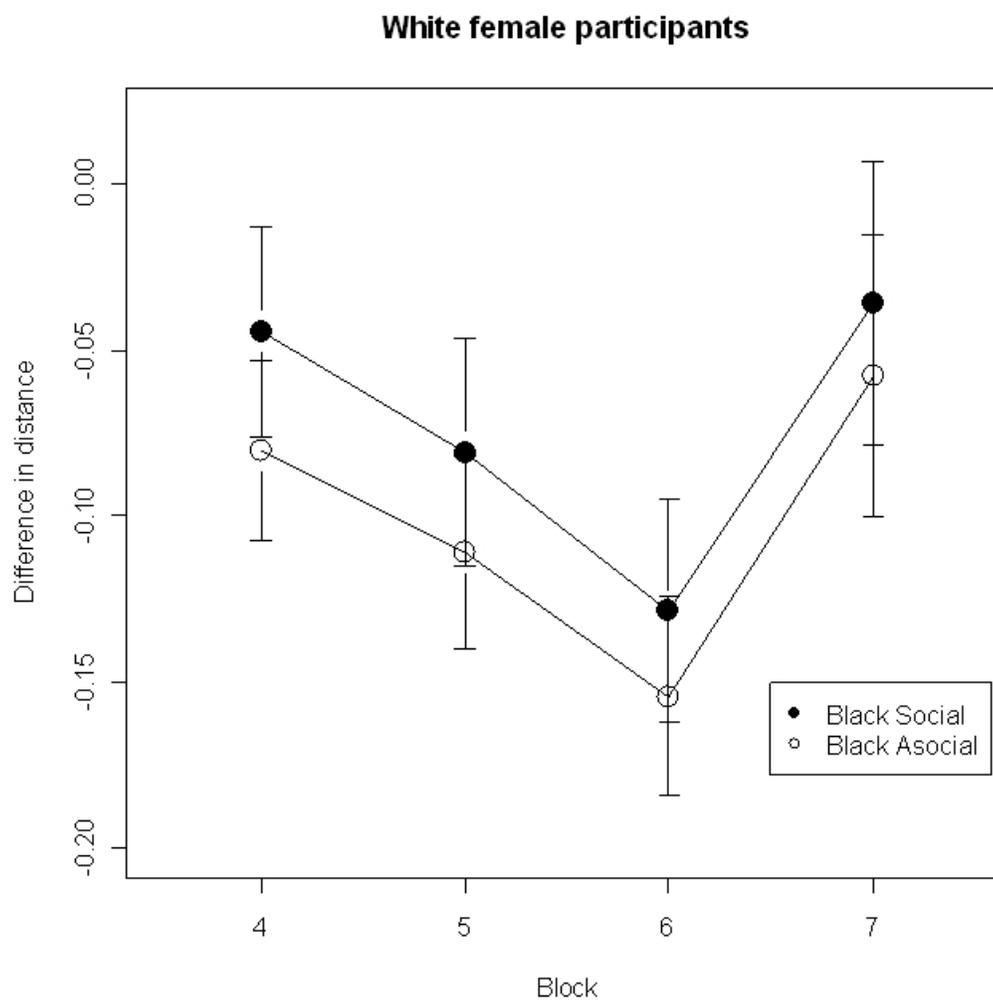


Figure 4.12: Spontaneous phonetic imitation in the Black talker Conditions for each vowel for the female participants collapsed across Condition. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

itation of all of the vowels. Figure 4.7 shown above displays the vowel specific behavior in a vowel plot for the Black Asocial Condition. The vowel patterns for the Black Social Condition are shown in Figure 4.14. Here it is apparent that for the female participants in

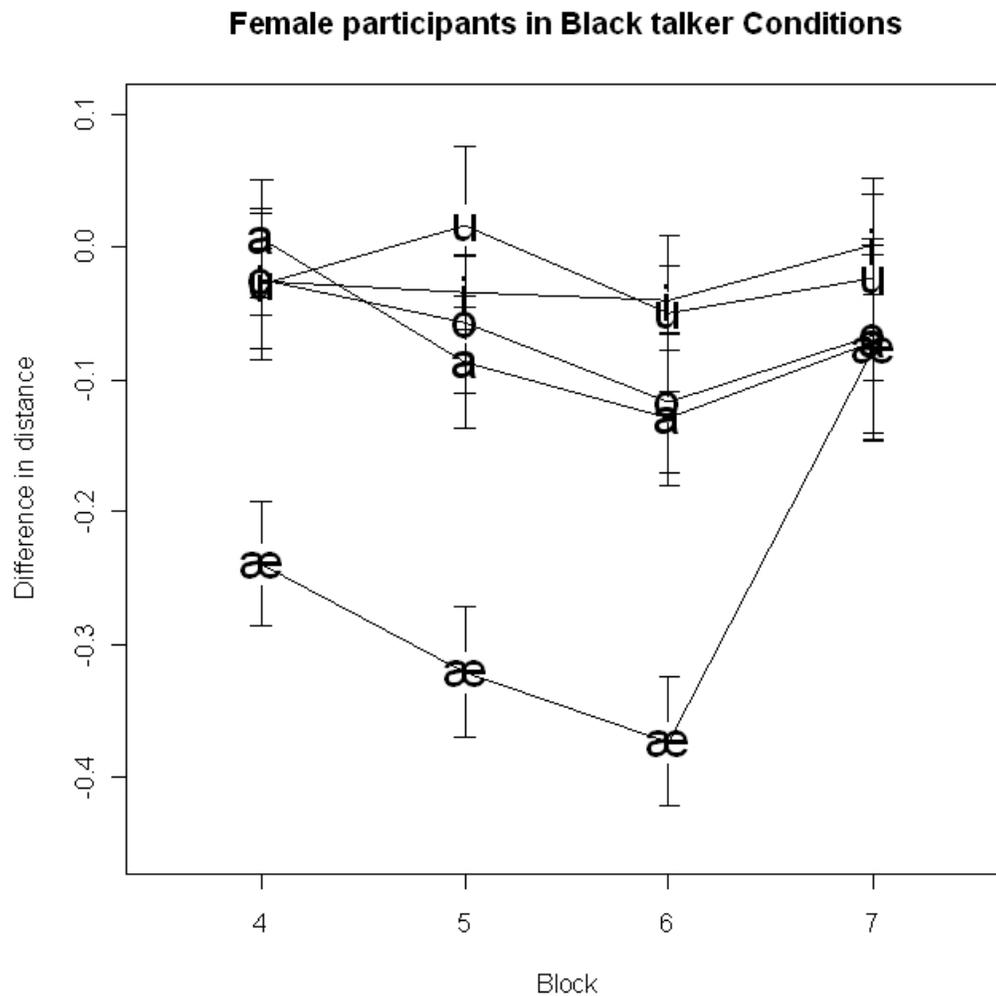


Figure 4.13: Spontaneous phonetic imitation in the Black talker Conditions for the female participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

this condition, the first formant of /æ/ is being lowered to approximate that of the model male talker.

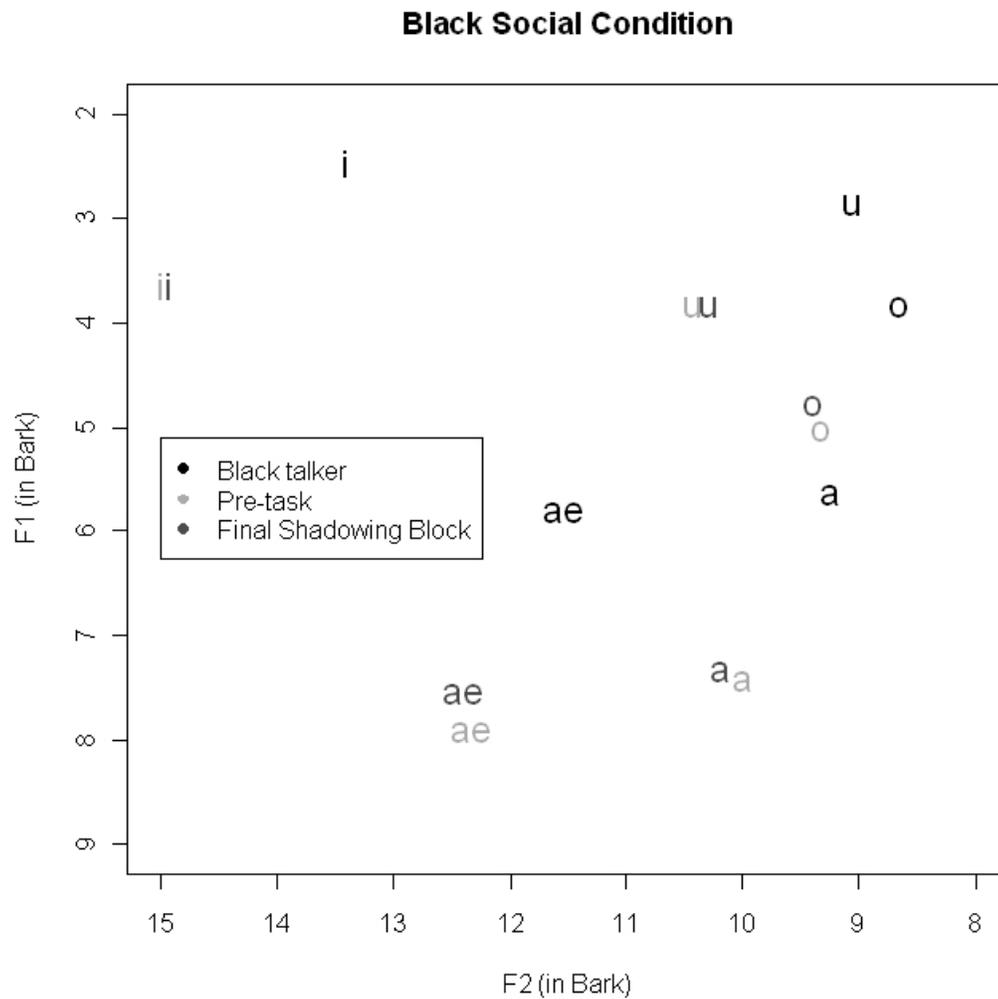


Figure 4.14: Formant plot displaying the spontaneous phonetic imitation in the Black Social Condition for the female participants. Formant values are plotted in the Bark scale. The Black model talker's mean vowels are plotted in black. Female participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
Block 5	-0.0194052	0.0386510	-0.5021
Block 6	-0.0730409	0.0386510	-1.8898.
Block 7	0.0200128	0.0473394	0.4228
/æ/	-0.0920066	0.0511827	-1.7976.
/i/	0.0530567	0.0513252	1.0337
/o/	0.0386719	0.0516754	0.7484
/u/	-0.0318755	0.0512116	-0.6224
Black Social Condition	-0.0579113	0.0482723	-1.1997
Block 5 : /æ/	-0.0629999	0.0545023	-1.1559
Block 6 : /æ/	-0.0112392	0.0545557	-0.2060
Block 7 : /æ/	0.0429050	0.0667100	0.6432
Block 5 : /i/	0.0155155	0.0548246	0.2830
Block 6 : /i/	0.0502452	0.0547420	0.9179
Block 7 : /i/	-0.0462388	0.0669914	-0.6902
Block 5 : /o/	-0.0001493	0.0553871	-0.0027
Block 6 : /o/	-0.0131402	0.0553869	-0.2372
Block 7 : /o/	-0.0193787	0.0678850	-0.2855
Block 5 : /u/	0.0339254	0.0545813	0.6216
Block 6 : /u/	0.1074392	0.0545547	1.9694
Block 7 : /u/	0.0643674	0.0669924	0.9608
Block 5 : Black Social Condition	-0.0755623	0.0547166	-1.3810
Block 6 : Black Social Condition	0.0468239	0.0547176	0.8557
Block 7 : Black Social Condition	-0.0249007	0.0670147	-0.3716
/æ/ : Black Social Condition	0.0168469	0.0546678	0.3082
/i/ : Black Social Condition	0.0772452	0.0548290	1.4088
/o/ : Black Social Condition	-0.0224789	0.0552536	-0.4068
/u/ : Black Social Condition	0.0217562	0.0544780	0.3994
Block 5 : /æ/ : Black Social Condition	0.1273990	0.0773461	1.6471.
Block 6 : /æ/ : Black Social Condition	-0.0162525	0.0774631	-0.2098
Block 7 : /æ/ : Black Social Condition	0.0455997	0.0946215	0.4819
Block 5 : /i/ : Black Social Condition	0.1034427	0.0776110	1.3328
Block 6 : /i/ : Black Social Condition	-0.0057520	0.0775920	-0.0741
Block 7 : /i/ : Black Social Condition	0.0757999	0.0948972	0.7988
Block 5 : /o/ : Black Social Condition	0.0299664	0.0781857	0.3833
Block 6 : /o/ : Black Social Condition	0.0278888	0.0781665	0.3568
Block 7 : /o/ : Black Social Condition	0.1579256	0.0957198	1.6499
Block 5 : /u/ : Black Social Condition	0.0205543	0.0771551	0.2664
Block 6 : /u/ : Black Social Condition	-0.0449148	0.0771745	-0.5820
Block 7 : /u/ : Black Social Condition	0.0712107	0.0946958	0.7520

Table 4.3: Fixed effects for the Conditions with the Black talker for male participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

Effect	$\beta$ Estimate	Standard Error	$t$ -value
Block 5	-0.1086440	0.0472476	-2.299*
Block 6	-0.1654352	0.0475430	-3.480***
Block 7	-0.1522879	0.0577849	-2.635**
/æ/	-0.2100330	0.0612410	-3.430***
/i/	0.0258172	0.0611456	0.422
/o/	-0.0104833	0.0614066	-0.171
/u/	0.0199022	0.0609072	0.327
Black Social Condition	0.1021552	0.0649609	1.573
Block 5 : /æ/	0.0358487	0.0664695	0.539
Block 6 : /æ/	0.0011119	0.0667067	0.017
Block 7 : /æ/	0.2543609	0.0809810	3.141**
Block 5 : /i/	0.0884468	0.0662714	1.335
Block 6 : /i/	0.1531226	0.0665107	2.302*
Block 7 : /i/	0.1818845	0.0809953	2.246*
Block 5 : /o/	0.1072382	0.0667563	1.606
Block 6 : /o/	0.1403367	0.0669948	2.095*
Block 7 : /o/	0.1876176	0.0816424	2.298*
Block 5 : /u/	0.1565793	0.0659167	2.375*
Block 6 : /u/	0.1609613	0.0661556	2.433*
Block 7 : /u/	0.2494390	0.0806400	3.093**
Block 5 : Black Social Condition	0.0335676	0.0677104	0.496
Block 6 : Black Social Condition	0.0622385	0.0680134	0.915
Block 7 : Black Social Condition	0.1561213	0.0830571	1.880.
/æ/ : Black Social Condition	-0.0677113	0.0674834	-1.003
/i/ : Black Social Condition	-0.1113764	0.0675578	-1.649.
/o/ : Black Social Condition	-0.0399574	0.0676280	-0.591
/u/ : Black Social Condition	-0.1042350	0.0672144	-1.551
Block 5 : /æ/ : Black Social Condition	-0.0522500	0.0953345	-0.548
Block 6 : /æ/ : Black Social Condition	0.0006579	0.0954574	0.007
Block 7 : /æ/ : Black Social Condition	-0.0298693	0.1164488	-0.257
Block 5 : /i/ : Black Social Condition	-0.0070687	0.0953762	-0.074
Block 6 : /i/ : Black Social Condition	-0.0670097	0.0955893	-0.701
Block 7 : /i/ : Black Social Condition	-0.1581834	0.1167693	-1.355
Block 5 : /o/ : Black Social Condition	-0.0966409	0.0954448	-1.013
Block 6 : /o/ : Black Social Condition	-0.1970371	0.0956803	-2.059*
Block 7 : /o/ : Black Social Condition	-0.3120244	0.1169818	-2.667**
Block 5 : /u/ : Black Social Condition	-0.0414154	0.0948818	-0.436
Block 6 : /u/ : Black Social Condition	-0.0973257	0.0951165	-1.023
Block 7 : /u/ : Black Social Condition	-0.3516227	0.1163021	-3.023**

Table 4.4: Fixed Effects for the Conditions with the Black talker for female participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

### 4.2.3 White talker Conditions

Like the Black talker Conditions, the White talker Social and Asocial Conditions need to be paired in a model to determine the extent to which visually presented social information about the talker affects the degree of imitation.

Again, participant and word were used as random factors in the model while Block, Vowel and Condition were entered as fixed effects. Block (Shadowing Blocks 4-6, Post-task Block 7), Vowel (i, æ, α, o, u), and Condition (White Asocial and White Social) were entered into the model as possible main effects and as interactions.

#### Male participants

The output for the mixed effects model for productions in the two White talker Conditions for the male participants is summarized in Table 4.5. This table reports the coefficients of each fixed predictor and the interactions. The model accounts for 12.7% of the variance in the data.

Figure 4.15 demonstrates the trend for male participants to imitate more in the social condition. This trend, however, exists in the final shadowing block and in the post-test block. This is a reversed trend for what we saw for the Black talker Conditions in Figure 4.9 where convergence was stronger in Shadowing Blocks 4 and 5 and disappeared in Blocks 6 and 7. The mixed effects model reported a significant effect for Block 7 [ $\beta = 0.23$ ,  $t(7774) = 4.1$ ,  $p < 0.001$ ]. From Figure 4.15 we can surmise that the three shadowing blocks differ very little in terms of amount of imitation.

An ANOVA with Block, Vowel, and Condition as predictors, DIFFERENCE IN DIS-

TANCE as the dependent variable, and Subject as the error term, returned with significant effects for Block ( $F(3, 31) = 10.5, p < 0.001$ ) and Vowel ( $F(4, 60) = 13, p < 0.001$ ). There was also a significant interaction between Block x Vowel ( $F(12, 252) = 2, p < 0.05$ ). Pairwise comparisons for the effect of Block found no differences in the amount of imitation between the shadowing blocks, but significant differences between all three shadowing blocks and the post-test block (Blocks 4/7,  $p < 0.05$ ; Blocks 5/7,  $p < 0.01$ ; Blocks 6/7,  $p < 0.001$ ).

Figure 4.16 visually presents the preferential imitation of vowels. Male participants' convergent behavior for the White talker's vowels clearly targets some vowels more than others. When the main effect of Vowel was followed up in post-hoc tests, we find that /ɑ/ was imitated more than /i o u/ ( $p < 0.001$ ) and /æ/ was imitated more than /i/ ( $p < 0.001$ ) and /u/ ( $p < 0.01$ ).

Having verified differences in degree of imitation between vowels, we can turn our attention to Figures 4.4 and 4.17 and observe the directions of the vowel changes within the formant plots space. The White model talker produced low vowels with a very low F2; the average F1 of these vowels was also lower than that of the male participants. In Figures 4.4 and 4.17 we see that male participants imitated these low vowels with respect to F1 and F2. We see lowering of both of these formants in the participant productions in response to the model talker's tokens.

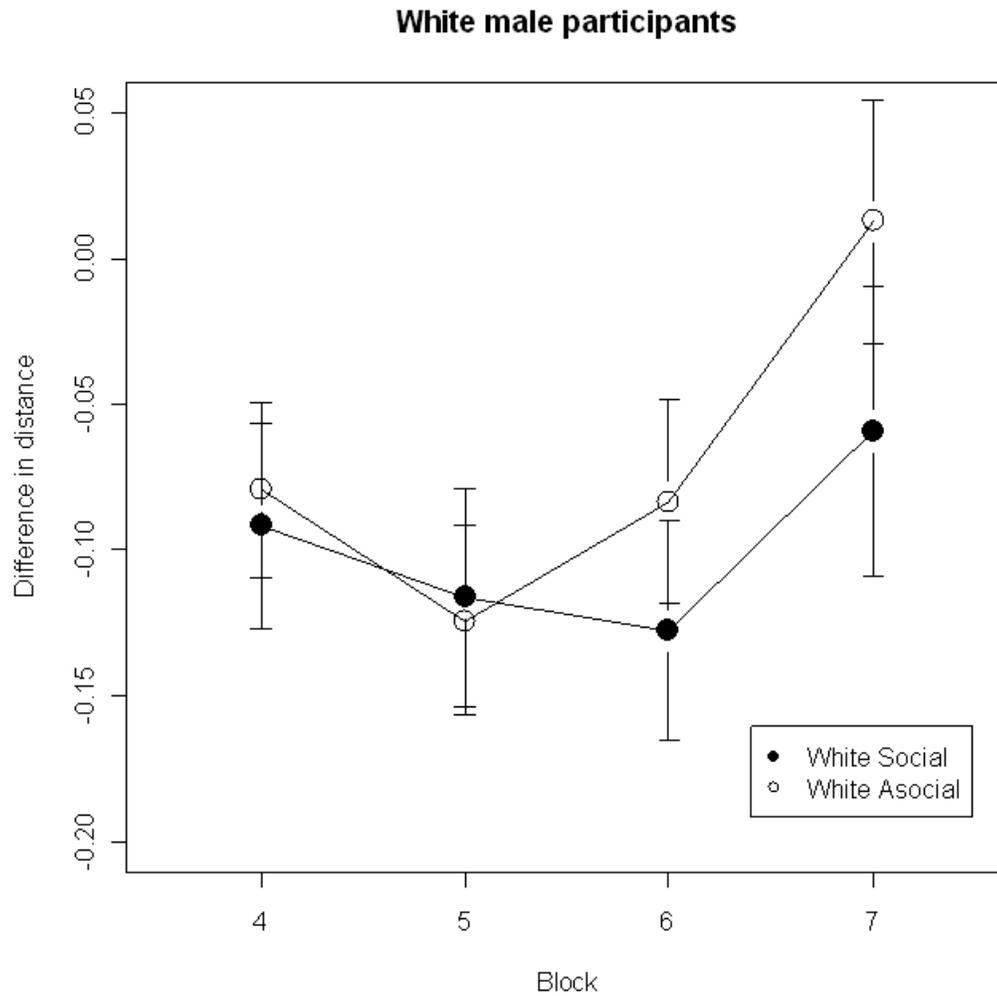


Figure 4.15: Spontaneous phonetic imitation in the White talker Conditions for the male participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
Block 5	-0.048432	0.053827	-0.900
Block 6	-0.004825	0.053822	-0.090
Block 7	0.227250	0.066324	3.426***
/æ/	0.120081	0.067513	1.779
/i/	0.402604	0.067170	5.994***
/o/	0.365226	0.067464	5.414***
/u/	0.337949	0.067122	5.035***
White Social Condition	0.049216	0.070160	0.701
Block 5 : /æ/	0.012943	0.075808	0.171
Block 6 : /æ/	-0.055802	0.075894	-0.735
Block 7 : /æ/	-0.066796	0.093201	-0.717
Block 5 : /i/	0.049236	0.075376	0.653
Block 6 : /i/	0.047074	0.075457	0.624
Block 7 : /i/	-0.264881	0.092672	-2.858**
Block 5 : /o/	-0.021289	0.075810	-0.281
Block 6 : /o/	-0.059125	0.075761	-0.780
Block 7 : /o/	-0.244307	0.093022	-2.626**
Block 5 : /u/	-0.022306	0.075332	-0.296
Block 6 : /u/	0.073194	0.075329	0.972
Block 7 : /u/	-0.096316	0.092499	-1.041
Block 5 : White Social Condition	-0.005918	0.074410	-0.080
Block 6 : White Social Condition	-0.111483	0.074526	-1.496
Block 7 : White Social Condition	-0.134351	0.091458	-1.469
/æ/ : White Social Condition	0.002186	0.074240	0.029
/i/ : White Social Condition	-0.051744	0.073896	-0.700
/o/ : White Social Condition	-0.196861	0.074437	-2.645**
/u/ : White Social Condition	-0.062475	0.073844	-0.846
Block 5 : /æ/ : White Social Condition	-0.019940	0.105089	-0.190
Block 6 : /æ/ : White Social Condition	0.120515	0.105320	1.144
Block 7 : /æ/ : White Social Condition	-0.040762	0.128930	-0.316
Block 5 : /i/ : White Social Condition	-0.033262	0.104612	-0.318
Block 6 : /i/ : White Social Condition	0.065939	0.104865	0.629
Block 7 : /i/ : White Social Condition	0.108689	0.128349	0.847
Block 5 : /o/ : White Social Condition	0.069660	0.105312	0.661
Block 6 : /o/ : White Social Condition	0.196747	0.105536	1.864.
Block 7 : /o/ : White Social Condition	0.234965	0.129309	1.817.
Block 5 : /u/ : White Social Condition	0.102733	0.104608	0.982
Block 6 : /u/ : White Social Condition	0.005663	0.104719	0.054
Block 7 : /u/ : White Social Condition	0.061808	0.128224	0.482

Table 4.5: Fixed effects for the Conditions with the White talker for male participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

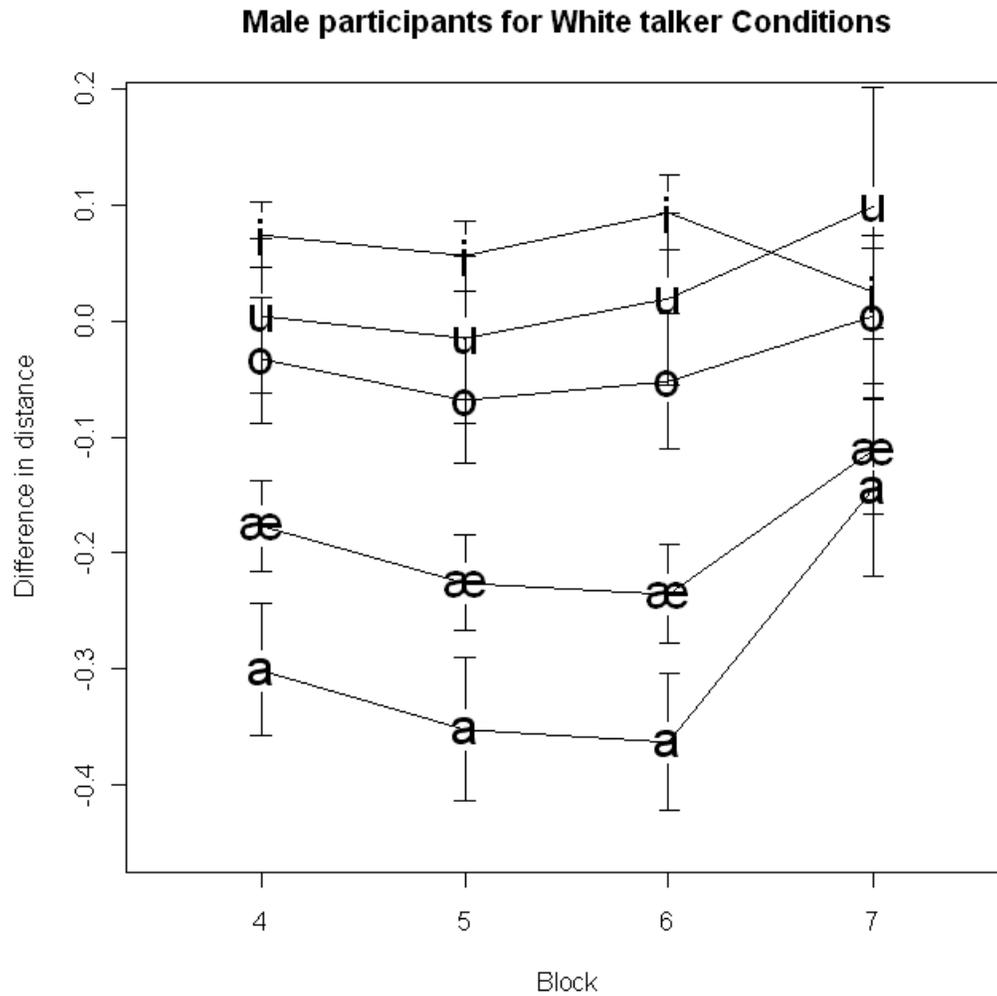


Figure 4.16: Spontaneous phonetic imitation in the White talker Conditions for the male participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

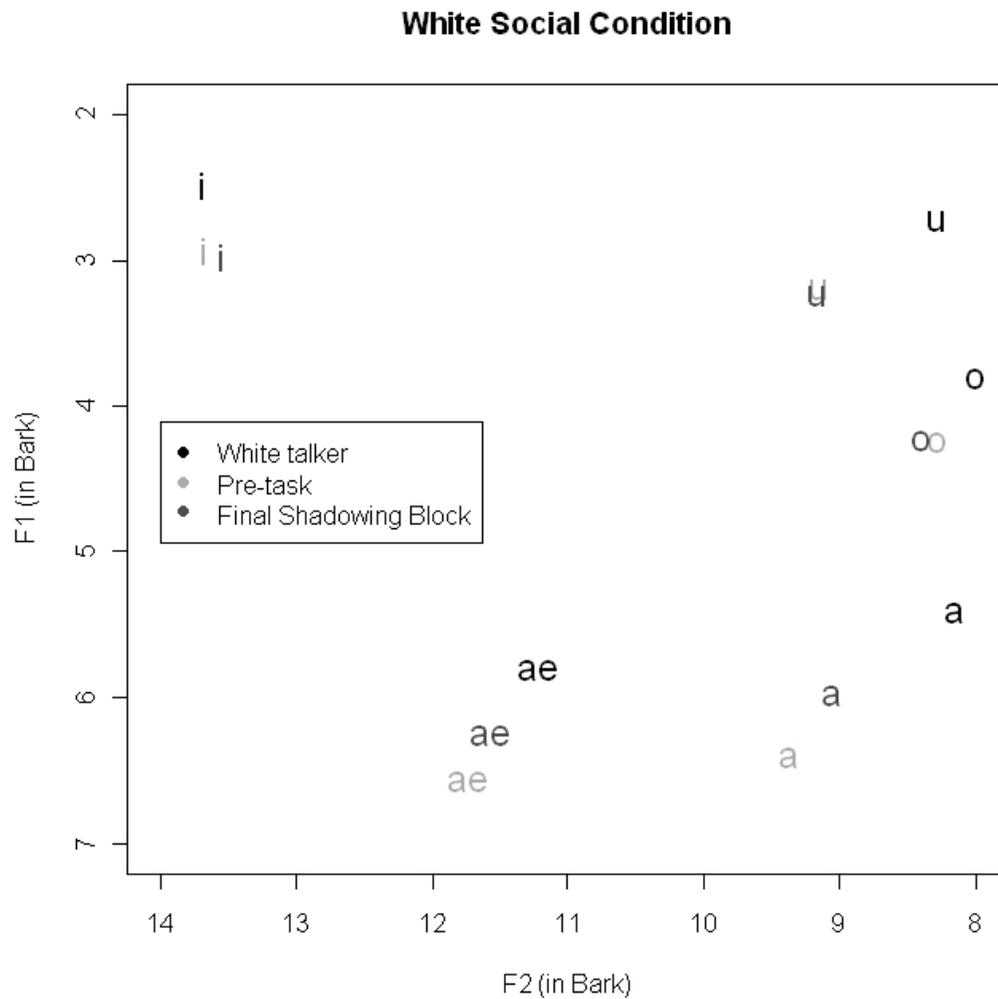


Figure 4.17: Formant plot displaying the spontaneous phonetic imitation in the Black Social Condition for the female participants. Formant values are plotted in the Bark scale. The Black model talker's mean vowels are plotted in black. Female participants' Pre-task (Block 2) vowel means are plotted in light gray and their productions from the Final Shadowing Block (Block 6) are plotted in dark gray.

### Female participants

The output for the mixed effects model for productions in the two White talker Conditions for the female participants is summarized in Table 4.6. This table reports the coefficients of each fixed predictor and the interactions. The model accounts for 13.1% of the variance in the data.

Again, as seen in the summary of the model in Table 4.6, Condition (White Social or White Asocial) was not a significant predictor of the degree of imitation. However, as we saw for the Black talker data, Figure 4.18 presents the trend that female participants imitate *more* in the absence of social information about the talker. There is more imitation in the Asocial Condition than the Social Condition with the White talker. The effect of experimental block is also apparent in Figure 4.18. There were significant effects of Blocks 5 [ $\beta = -0.12$ ,  $t(10231) = -2.3$ ,  $p < 0.05$ ] and 6 [ $\beta = -0.18$ ,  $t(10231) = -3.5$ ,  $p < 0.001$ ], as summarized above in Table 4.6. In the repeated measures ANOVA with DIFFERENCE IN DISTANCE as the dependent variable, Block, Condition, and Vowel as the independent variables, and Subject as the error term, Block ( $F(3, 60) = 6.1$ ,  $p < 0.01$ ) and Vowel ( $F(4, 82) = 9.2$ ,  $p < 0.001$ ) returned as significant effects. There were no significant interactions with this data set. When the effect of Block was examined in post-hoc tests, it was revealed that there was more imitation in both Block 5 and 6 compared to Block 4 (Blocks 4/5,  $p < 0.05$ ; Blocks 4/6,  $p < 0.001$ ). This again confirms the finding of cumulativity for female participants.

Selective vowel imitation for the White talker Conditions follows what was seen previously for female participants in the Asocial conditions. Figure 4.19 shows the vowel

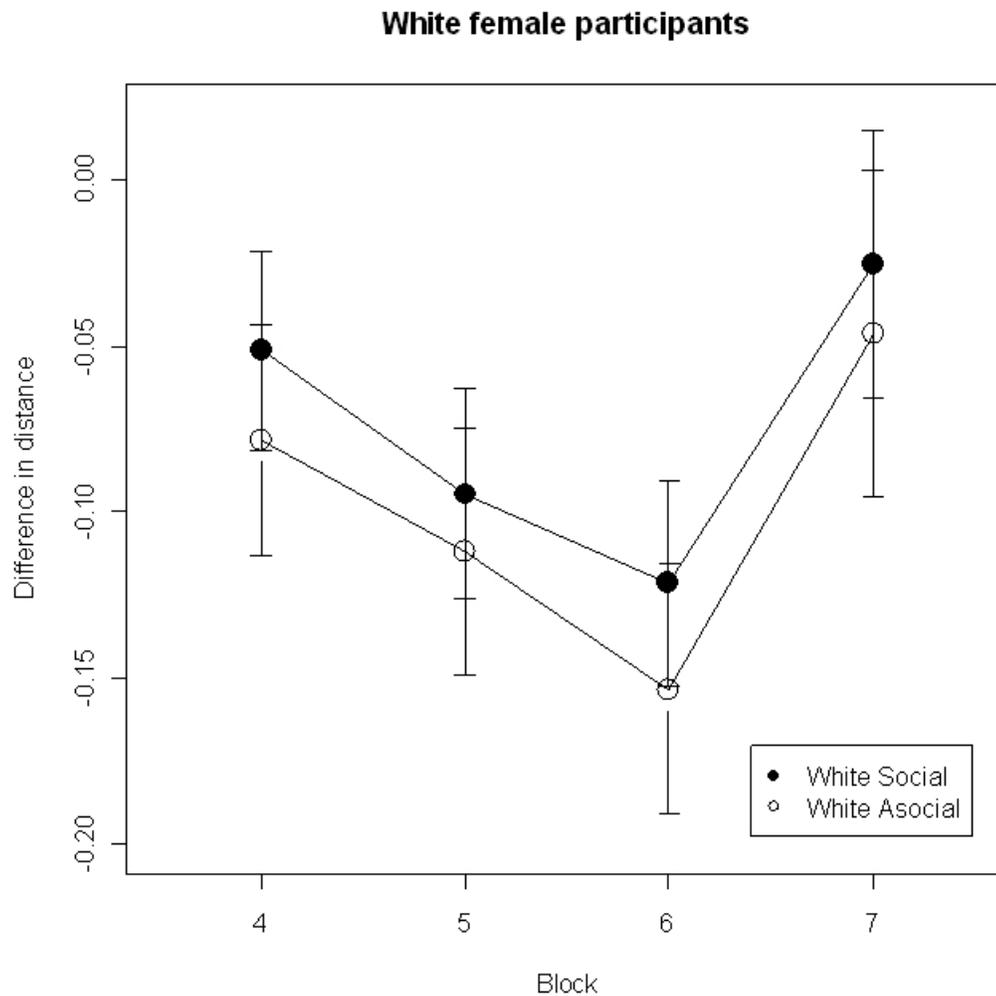


Figure 4.18: Spontaneous phonetic imitation in the White talker Conditions for the female participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

data for each block collapsed across the White talker Conditions. The DIFFERENCE IN DISTANCE measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model

talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The mixed model (Table 4.6) reports vowels /i o u/ as significant predictors. When the Vowel effect from the ANOVA was subjected to pairwise comparisons we find that /ɑ/ is imitated more than /i/ ( $p < 0.01$ ), /o/ ( $p < 0.001$ ), and /u/ ( $p < 0.01$ ). There is also more imitation for /æ/ than the non-low vowels /i/ ( $p < 0.01$ ), /o/ ( $p < 0.001$ ), and /u/ ( $p < 0.01$ ).

Referring back to Figure 4.8 and looking now to Figure 4.20, it is apparent again that the majority of imitation for female participants is within the F1 dimension of the low vowels /æ/ and /ɑ/.

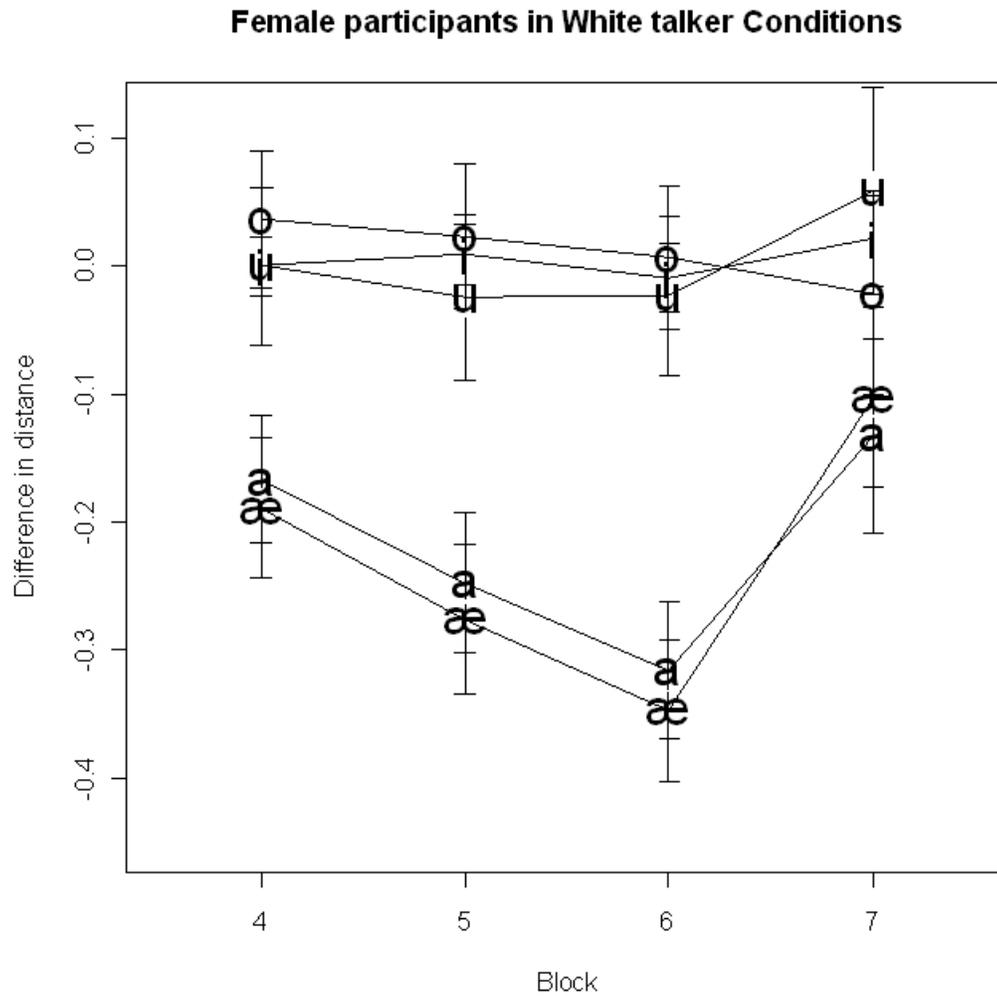


Figure 4.19: Spontaneous phonetic imitation in the White talker Conditions for the female participants. The *Difference in Distance* measure on the *y*-axis indicates the amount of phonetic imitation. A value of zero shows no change in vowel production as a result of auditory exposure to the model talker. A negative value demonstrates phonetic imitation and a positive value demonstrates vocalic divergence. Blocks 4, 5, and 6 are Shadowing Blocks while Block 7 is the Post-task Block. The error bars represent 95% confidence intervals.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
Block 5	-0.1178489	0.0521456	-2.260*
Block 6	-0.1804212	0.0521967	-3.457***
Block 7	-0.0410161	0.0639487	-0.641
/æ/	-0.0832439	0.0695822	-1.196
/i/	0.2068981	0.0694780	2.978**
/o/	0.2791269	0.0695483	4.013***
/u/	0.1682921	0.0695112	2.421*
White Social Condition	0.0474621	0.0763566	0.622
Block 5 : /æ/	-0.0236991	0.0737497	-0.321
Block 6 : /æ/	-0.0133030	0.0738912	-0.180
Block 7 : /æ/	0.1593013	0.0899680	1.771.
Block 5 : /i/	0.1247540	0.0734100	1.699.
Block 6 : /i/	0.1587588	0.0734132	2.163*
Block 7 : /i/	0.0288970	0.0899949	0.321
Block 5 : /o/	0.1393723	0.0734423	1.898.
Block 6 : /o/	0.1689417	0.0735449	2.297*
Block 7 : /o/	0.0084598	0.0900492	0.094
Block 5 : /u/	0.1610702	0.0733446	2.196*
Block 6 : /u/	0.1847390	0.0733813	2.518*
Block 7 : /u/	0.1656000	0.0898080	1.844.
Block 5 : White Social Condition	0.0661251	0.0712086	0.929
Block 6 : White Social Condition	0.0557803	0.0712192	0.783
Block 7 : White Social Condition	0.1377287	0.0872635	1.578
/æ/ : White Social Condition	0.1211463	0.0712024	1.701.
/i/ : White Social Condition	-0.0712158	0.0710193	-1.003
/o/ : White Social Condition	-0.1464361	0.0714011	-2.051*
/u/ : White Social Condition	0.0006323	0.0708903	0.009
Block 5 : /æ/ : White Social Condition	0.0266125	0.1009179	0.264
Block 6 : /æ/ : White Social Condition	0.0006518	0.1011161	0.006
Block 7 : /æ/ : White Social Condition	-0.1953692	0.1235207	-1.582
Block 5 : /i/ : White Social Condition	-0.0603554	0.1006100	-0.600
Block 6 : /i/ : White Social Condition	-0.0310936	0.1005935	-0.309
Block 7 : /i/ : White Social Condition	-0.0714586	0.1233646	-0.579
Block 5 : /o/ : White Social Condition	-0.1316522	0.1010478	-1.303
Block 6 : /o/ : White Social Condition	-0.0909841	0.1010634	-0.900
Block 7 : /o/ : White Social Condition	-0.1853009	0.1237095	-1.498
Block 5 : /u/ : White Social Condition	-0.1924278	0.1003374	-1.918.
Block 6 : /u/ : White Social Condition	-0.1063702	0.1003269	-1.060
Block 7 : /u/ : White Social Condition	-0.2615501	0.1229492	-2.127*

Table 4.6: Fixed effects for the Conditions with the White talker for female participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

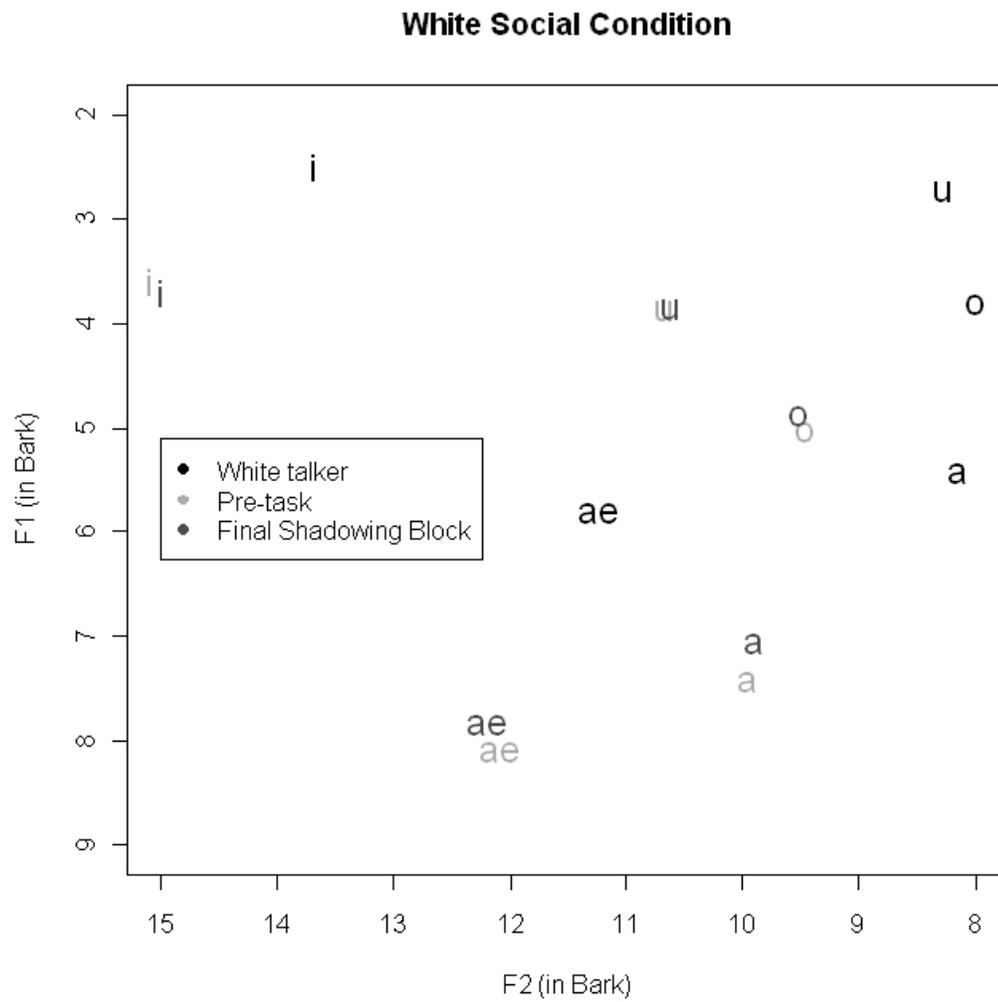


Figure 4.20: Spontaneous phonetic imitation in the White Social condition for the female participants.

#### 4.2.4 Black Social Condition

Using the productions from the Black Social Condition, we can construct a model of how participants' judgments of the talker's attractiveness and their racial bias scores (as measured by the Implicit Association Task) shape imitation patterns. Since it is assumed that any potential social effect would be intensified during the social "interaction" itself, only productions from the shadowed blocks were used in the model. For the Black Social Condition mixed models, participant and word were entered as random effects while Vowel (i, æ, a, o, u), Attractiveness ratings (a number on a scale of 1-10), and IAT scores (a continuous value measuring racial bias) were entered as fixed effects.

##### Male participants

The output of the mixed effects model for the male participants in the Black Social Condition for the social factors (IAT score and Attractiveness ratings) is given in Table 4.7. This model accounts for 12.2% of the variance in the data.

There was no main effect of IAT score on degree of imitation for the Black talker with male participants. For the Social data, in addition to constructing a mixed model, simple linear regressions were fit to each vowel category subset to look for more subtle effects. The intercept, slopes, and Adjusted  $R^2$  values for each vowel subset where IAT scores are fit to the DIFFERENCE IN DISTANCE measure are reported in the top half of Table 4.8. Only the models for for /æ/ and /o/ provided significant results, meaning the degree to which these vowels were imitated was affected by the degree of racial bias of the participant. A negative IAT score signals a pro-Black bias and a positive IAT score

Effect	$\beta$ Estimate	Standard Error	$t$ -value
/æ/	-0.172516	0.076489	-2.255*
/i/	0.450554	0.076622	5.880***
/o/	0.062833	0.076659	0.820
/u/	0.444626	0.075966	5.853***
IAT	0.046129	0.084969	0.543
Attractiveness	0.038056	0.011020	3.453***
/æ/ : IAT	0.345012	0.067053	5.145
/i/ : IAT	-0.073489	0.067542	-1.088
/o/ : IAT	0.120260	0.067292	1.787
/u/ : IAT	-0.085138	0.066973	-1.271
/æ/ : Attractiveness	-0.014598	0.008789	-1.661
/i/ : Attractiveness	-0.046602	0.008796	-5.298***
/o/ : Attractiveness	-0.019534	0.008779	-2.225*
/u/ : Attractiveness	-0.075983	0.008688	-8.746***

Table 4.7: Fixed Effects for the Black talker Conditions for the White male participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

indicates a pro-White bias. The negative  $\beta$  coefficients for /æ/ and /o/ indicate that male participants who scored with less of a pro-White bias (as none actually scored as pro-Black) were more likely to imitate the Black talker.

Surprisingly, the main effect of Attractiveness in the mixed model for the Black Social Condition for the male participants went in the opposite direction than what was expected. Male participants imitated more when they rated the talker as unattractive. This was true in the main effect of the Attractiveness ratings summarized in the mixed model in Table 4.7 ( $\beta = 0.04$ ,  $t(3796) = 3.5$ ,  $p < 0.001$ ). The simple linear models for the Attractiveness ratings of the Black talker are reported in the bottom half of Table 4.8. The models for /a o u/ were significant. For /a/ and /o/, the coefficients indicate the effect of the mixed model: male participants imitated these vowels more when they rated the model talker as unattractive. However, for /u/, the result was the opposite; there was convergence

<b>Implicit Association Task</b>			
Vowel	Intercept	$\beta$ Estimate	Adjusted $R^2$
/i/	0.03	-0.01	-0.001
/æ/	-0.41	0.34	0.07***
/ɑ/	-0.15	-0.03	-0.001
/o/	-0.2	0.13	0.01**
/u/	-0.15	0.04	-0.001
<b>Attractiveness Ratings</b>			
Vowel	Intercept	$\beta$ Estimate	Adjusted $R^2$
/i/	0.06	-0.007	0.003
/æ/	-0.27	0.01	0.002
/ɑ/	-0.34	0.04	0.04***
/o/	-0.19	0.01	0.005*
/u/	0.05	-0.04	0.02***

Table 4.8: Results of simple linear regressions examining relationships between the social factors IAT and Attractiveness Ratings and vowel subsets for male participants in the Black Social Condition. Symbols following the  $R^2$  values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

for /u/ when the talker was rated as attractive.

### Female participants

The output of the mixed effects model with the social factors (IAT score and Attractiveness ratings) and their interactions with the vowel categories as fixed effects is presented in Table 4.9 for the female participants who were assigned to the Black Social Condition. The model accounted for 16% of the variance in the Black Social data.

With respect to the IAT data, female participants’ behaved the same as the male participants. Like the male participants, there was not a main effect of IAT in the mixed model. However, when simple linear models are fit with DIFFERENCE IN DISTANCE as the dependent variable and IAT as the independent variable for individual vowel subsets, four of the five vowels produced significant results in the expected direction. Values from the

Effect	$\beta$ Estimate	Standard Error	$t$ -value
/æ/	-0.68114	0.15398	-4.424***
/i/	-0.54040	0.15508	-3.485***
/o/	-0.89789	0.15386	-5.836***
/u/	-1.95802	0.15399	-12.715***
IAT	-0.08203	0.13777	-0.595
Attractiveness	-0.12905	0.03273	-3.942***
/æ/ : IAT	0.16669	0.09454	1.763
/i/ : IAT	-0.11072	0.09548	-1.160
/o/ : IAT	0.24324	0.09437	2.577**
/u/ : IAT	0.47373	0.09428	5.025***
/æ/ : Attractiveness	0.06217	0.02235	2.782**
/i/ : Attractiveness	0.09272	0.02251	4.120***
/o/ : Attractiveness	0.13498	0.02233	6.046***
/u/ : Attractiveness	0.31808	0.02238	14.212***

Table 4.9: Fixed Effects for the Black Social Condition for the White female participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

simple linear models are summarized in the top half of Table 4.10. A negative IAT score indicates a pro-Black bias and a positive IAT score indicates a pro-White bias. Thus, for /i æ α o/ we find negative  $\beta$  values which indicate that female participants with a pro-Black bias were more likely to imitate the /i æ α o/ productions by the Black talker.

The mixed model for the Black Social Condition data revealed a main effect of Attractiveness rating [ $\beta = -0.13$ ,  $t(4083) = -3.9$ ,  $p < 0.001$ ] and interactions between the vowel categories and this rating. This data is summarized in Table 4.9. In Table 4.10 we see the results of simple linear regression conducted on vowel subsets for the Attractiveness Rating data for the female participants. For the vowels that returned significant results, there are mixed results. For /æ/ and /α/ – the vowels that were most imitated for female participants – we find that female participants are more likely to imitate these vowels when they have given the model talker a high Attractiveness rating. We find more imitation

<b>Implicit Association Task</b>			
Vowel	Intercept	$\beta$ Estimate	Adjusted $R^2$
/i/	-0.02	0.09	0.01**
/æ/	-0.34	0.26	0.03***
/ɑ/	-0.05	0.25	0.02***
/o/	-0.1	0.15	0.01*
/u/	-0.01	-0.09	0.001
<b>Attractiveness Ratings</b>			
Vowel	Intercept	$\beta$ Estimate	Adjusted $R^2$
/i/	0.03	-0.01	0.002
/æ/	0.17	-0.08	0.05***
/ɑ/	0.68	-0.12	0.09***
/o/	0.03	-0.02	0.0004
/u/	-0.79	0.13	0.07***

Table 4.10: Results of simple linear regressions examining relationships between the social factors IAT and Attractiveness Ratings and vowel subsets for female participants in the Black Social Condition. Symbols following the  $R^2$  values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

when the model talker is rated as Attractive; this is also the direction of the main effect of Attractiveness for the mixed model. For /u/ we nonetheless find that there is more imitation for this vowel when the model talker is rated as unattractive.

#### 4.2.5 White Social Condition

The only social measure we have as a potential predictor for imitation in the White Social condition is Attractiveness. Therefore, the model for the White Social condition used Participant and word as random effects while Vowel (i æ ɑ o u) and Attractiveness ratings (a number on a scale of 1-10) as fixed effects. Like in the Black Social Condition only productions from the Shadowing Blocks were used in the modeling of the data.

### Male participants

The output of the mixed effects model for the male participants in the White Social Condition for the social factors is shown in Table 4.11. This model only considered the Attractiveness ratings and not IAT scores. This model accounts for 15.5% of the variance in the data.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
/æ/	0.36505	0.08807	4.145***
/i/	0.76679	0.08822	8.692***
/o/	0.53738	0.08793	6.112***
/u/	0.82901	0.08774	9.449***
Attractiveness	0.06289	0.02098	2.997**
/æ/ : Attractiveness	-0.05509	0.01566	-3.517***
/i/ : Attractiveness	-0.09134	0.01567	-5.830***
/o/ : Attractiveness	-0.07488	0.01558	-4.806***
/u/ : Attractiveness	-0.12236	0.01556	-7.862***

Table 4.11: Fixed Effects for the White talker Conditions for the Male female participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

Again, we found a surprising result for the male participants with respect to the effect of Attractiveness ratings on vowel imitation ( $\beta = 0.06$ ,  $t(3433) = 3$ ,  $p < 0.01$ ). There was more imitation when the talker was rated as unattractive. When simple linear regression models were conducted on the vowels (see Table 4.12) we find significant results for /ɑ/ that go in the direction of the mixed model’s main effect. For the main effect and /ɑ/, there is more imitation when male participants rate the White talker as less attractive. However, with /i/ and /u/ the results are such that there is more imitation when the model talker is rated as attractive.

<b>Attractiveness Ratings</b>			
Vowel	Intercept	$\beta$ Estimate	Adjusted $R^2$
/i/	0.17	-0.03	0.03***
/æ/	-0.22	0.01	0.000
/ɑ/	-0.59	0.06	0.03***
/o/	-0.04	-0.01	0.0004
/u/	0.24	-0.06	0.02***

Table 4.12: Results of simple linear regressions examining relationships between the Attractiveness Ratings and vowel subsets for male participants in the White Social Condition. Symbols following the  $R^2$  values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

### Female participants

The output of the mixed effects model with the social factors (Attractiveness ratings) and their interactions with the vowel categories as fixed effects is presented in Table 4.13 for the female participants in the White Social Condition. This mixed model accounted for 10.3% of the variance in the data.

Effect	$\beta$ Estimate	Standard Error	$t$ -value
/æ/	-0.170605	0.093019	-1.8341
/i/	-0.005808	0.092538	-0.0628
/o/	-0.022734	0.092359	-0.2462
/u/	0.051812	0.091976	0.5633
Attractiveness	-0.077244	0.034959	-2.2096*
/æ/ : Attractiveness	0.071629	0.023404	3.0605**
/i/ : Attractiveness	0.071647	0.023314	3.0732**
/o/ : Attractiveness	0.064492	0.023257	2.7730**
/u/ : Attractiveness	0.046190	0.023019	2.0066*

Table 4.13: Fixed Effects for the White talker Conditions for the White female participants. Symbols following the  $t$ -values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

The mixed model for the White Social Condition data revealed a main effect of the Attractiveness rating [ $\beta = -0.08$ ,  $t(4652) = -2.2$ ,  $p < 0.05$ ] and interactions between the vowel categories and this rating. The coefficients reported in Table 4.13 are evidence that

female participants imitate more when the talker is rated as more attractive. The results of simple linear models for each of the vowel subsets are reported in Table 4.14. Only the model for /ɑ/ proved significant. The  $\beta$  value indicates the same pattern as the main effect of Attractiveness: the more attractive participants rated the talker, the more likely they were to imitate the spectral characteristics of /ɑ/.

<b>Attractiveness Ratings</b>			
Vowel	Intercept	$\beta$ Estimate	Adjusted $R^2$
/i/	0.01	-0.01	-0.001
/æ/	-0.15	-0.008	-0.001
/ɑ/	0.02	-0.08	0.03***
/o/	-0.002	-0.01	-0.001
/u/	0.07	-0.03	0.002

Table 4.14: Results of simple linear regressions examining relationships between the Attractiveness Ratings and vowel subsets for female participants in the White Social Condition. Symbols following the  $R^2$  values indicate the associated  $p$ -value: ‘\*\*\*’  $p < 0.001$ , ‘\*\*’  $p < 0.01$ , ‘\*’  $p < 0.05$ , and ‘.’  $p < 0.1$ .

#### 4.2.6 Degrees of Imitation

By analyzing these data with mixed effects models, we are unable to make particular observations and generalizations from the data. In this section, I will present some statistical summaries of the imitation behavior in order to reveal particularly interesting and relevant aspects of the data. One such observation can be seen in the above vowel plots: both male and female participants were acoustically more similar-sounding to the Black talker prior to participation in the experiment. Participants’ original distances from the model talkers are shown in Figure 4.21. Both male [ $t(1841) = -12.4, p < 0.001$ ] and female talkers [ $t(2597) = -10.2, p < 0.001$ ] begin as more similar to the Black talker than

the White talker.<sup>4</sup>

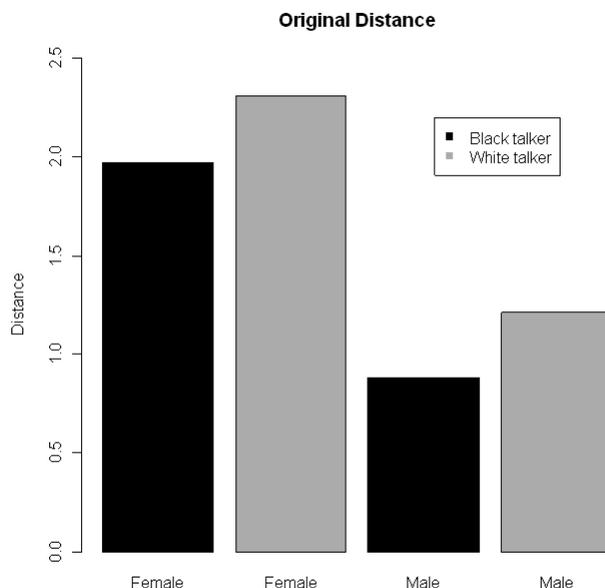


Figure 4.21: ORIGINAL DISTANCE of the participants to the model talkers. The phonetic distance of participants to the talker is on the  $y$ -axis. A value of 0 would mean there is no difference between the participant groups and the talker. The higher the Distance value, the more acoustically different the population is from the model talker. Both male and female groups of participants were significantly closer to the Black talker at the beginning of the experiment.

Given this fact about the inherent acoustic similarity of all participants to the Black talker, it might be predicted that all participants imitate the Black talker's voice more, considering that a closer approximation of his vowel space is more tenable. However, a  $t$ -test comparing whether participants converge on the vowels of the Black talker more than the White talker found no significant differences [female:  $t(20006) = -0.12$ , *n.s.*; male:

<sup>4</sup>There are two potential explanations for why this may be the case. One such possibility is that the Black talker was shorter than the White talker. His height, and therefore vocal tract length, may have closer approximated that of both the male and female participants in the experiment. A second possibility is that the Black talker had a less advanced California accent. While his speech was clearly indicative of a Western US dialect, the Black talker's /æ/ and /ɑ/ were much less retracted (i.e., higher second formants) than those of the White talker.

$t(14572) = -0.85, n.s.$ ]. This lack of a significant effect is shown in Figure 4.22. Figure 4.22 also demonstrates that pooled across Conditions, male participants did not imitate more than female participants [ $t(36706) = 0.72, n.s.$ ]. This finding is relatively surprising considering that male participants presumably actually had the physiological potential to fully imitate the spectral characteristics of the model talkers' voices. Female participants, on the other hand, could only approximate the vocal characteristics of the male model talkers. Despite this crucial fact, male and female participants imitated to the same overall degree.

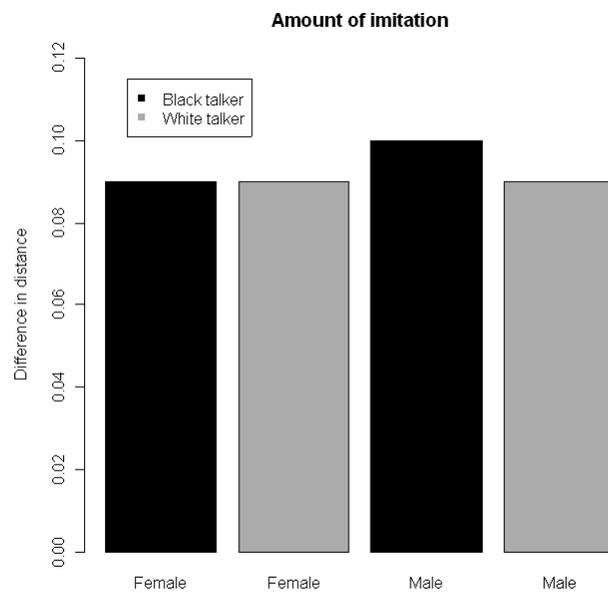


Figure 4.22: Amount of imitation of the participants to the model talkers. The phonetic distance measure on the  $y$ -axis indicates the amount the participant groups modified their phonetic distance in response to the model talker. A value of 0 would mean that participants did not change their vowel productions in response to the model talkers. The more imitation was observed, the higher the Distance value. There were no significant differences between any of the groups.

### 4.3 Discussion

In Chapter 2 two basic theories of speech accommodation were presented. The automatic theory claimed that accommodation was a reflex of the representation of language in memory. This theory predicts that imitation is unintentional and, according to Trudgill (2008), lacking social influence entirely. Under this theory it was hypothesized that participants would imitate the model talker all the time. A social theory of accommodation, such as Communication Accommodation Theory (CAT), argues that convergence and divergence are social tools used by talkers to manipulate the social distance between themselves and interlocutors. When participants rated the talker as attractive it was predicted that they would imitate more as a way to decrease the social distance. For the IAT scores, it was predicted that participants who scored with a pro-Black bias would accommodate to the speech of the Black talker more. The results of this experiment address these issues by demonstrating that spontaneous phonetic imitation is both phonetically and socially selective. The social measures that proved to be significant predictors in the statistical models tapped implicit social values. A major contribution of this work is to provide a push for sociolinguistics toward the concept that social values are ingrained biases and not elements that are purposefully deployed on the part of speakers of language, as is suggested by Labov (2001a) and Eckert (2001).

To summarize the findings reported above, phonetic imitation was found to be phonetically selective. Not all vowels changed as a result of exposure to the model talkers. In response to both the Black and White talkers female participants consistently imitated /ɑ/ and /æ/. This held true across all conditions with the exception of the Black Social

Condition. In this condition, female participants did not imitate /ɑ/. When females imitated these vowels, they did so primarily through shifts within the F1 dimension, lowering the F1 of the low vowels so as to approximate the vowel targets provided by the model talkers. The vowels /o/, /u/, and /i/ were essentially unchanged throughout the course of the experiment.

The figures displaying overall DIFFERENCE IN DISTANCE for the vowel categories across blocks for the male participants indicated there was some imitation of /o/ and /u/, but not enough to be significant in the statistical analyses. It is easily observed, nonetheless, that there was more imitation for these back round vowels for the male participants than for the female participants. For the male participants though the low vowels /ɑ/ and /æ/ were still the primary targets for imitation. These vowels were imitated more for the male participants than the other vowels. Like the female participants, the production of /i/ did not change for male participants. Of the vowels that were imitated, male participants shifted their productions with respect to both the first and second formants. The largest shifts appeared to be within the F1 dimension. The reasons for this are spelled out below.

Social effects were evident in several places in the data. Most obviously, IAT scores and Attractiveness ratings contributed to the mixed models and the simple linear regression models fit for the vowel category subsets by male and female participants. For both male and female participants in the Black Social Condition, participants who scored with pro-Black biases on the IAT were more likely to converge on the vowels of the Black talker. The effect of Attractiveness went in the expected direction for the female participants. In both the Black and White Social Conditions, when female participants rated the talkers as more

attractive, they were more likely to imitate the talker's vowels. For the male participants, the Attractiveness results were significant, but went in an opposite direction. The less attractive male participants rated the talkers, the more likely they were to imitate the vowels.<sup>5</sup> The results for the female participants are what we would predict under a social theory of accommodation: when you view a talker positively, you want to decrease the social distance and, thus, you accommodate. These results fall within the the predictions of CAT, but what distinguishes these results from a classic CAT view is that it is unlikely that participants were explicitly imitating as a way to decrease social distance. In descriptions of CAT, talkers are described as making choices and using strategies when they converge or diverge with an interlocutor (Shepard et al. 2001:34).

Other social effects in the data come about when comparing the Social and Asocial Conditions. For female participants there was a non-significant trend toward more imitation in the Asocial Conditions for both the Black and White talkers. The male participants, on the other hand, imitated more in the Social Conditions; this predictor was significant in the ANOVA for the Black talker.

(Trudgill 1981) predicted that socially salient variables will elicit more accommodation. The vowels /æ α o u/ are all undergoing sound changes in California English, and therefore have the potential to be socially salient, following Trudgill (1986). While there is

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<sup>5</sup>This is a very strange finding, particularly considering the consistency of the results for both the Black and white talkers. I think that this effect is likely due to the subject population. Presumably, the majority of the male participants were heterosexual. In all likelihood, these college-age students were surprised to be asked to rate the attractiveness of a talker and gave a response that was actually not based on the attractiveness of the talker. I would predict that using a female talker with male participants we would find the expected pattern where there is more imitation when shadowing a voice from a talker deemed to be attractive. Another possibility was suggested by Andrew Garrett. He suggested that this could be related to competition between men. Male participants could view an attractive male as a threat and be inclined to socially distance themselves from him.

no measure of what is truly socially salient in the minds of California participants, /o/ and /u/ are certainly highly parodied in depictions of California accents. By this account /o/ and /u/ should have shown effects of imitation. This was not the case in the current study.

The selective nature of imitation from both a phonetic and social perspective is evidence that purely automatic theories of imitation are wrong. Imitation is mediated to some extent by both social and linguistic factors. However, participants were unaware of their imitative behaviors and the fact that imitation targeted specific vowels suggests that spontaneous phonetic imitation is well beyond a talker's conscious control. This fact also shows that a social theory in the vein of sociolinguistics or CAT cannot be completely right. How communication accommodation theorists view imitative behavior as a choice is referenced above. Within sociolinguistics, stylistic variation is considered a "controlled device" by Labov (2001a:85) and "conscious manipulation" by (Eckert 2001:124). It is hard to imagine that groups of participants would purposefully (and consistently) imitate select vowels. Neither a purely automatic nor a social theory that involves a conscious mechanism for accommodation is supported by the results of this experiment. Instead the data support an automatic theory of accommodation where we assume that implicit social factors will play a role on an unintentional level, where we can understand spontaneous phonetic imitation as a behavior that makes use of participants' pre-existing phonetic repertoire. The importance of a talker's phonetic repertoire is in the following paragraph.

The results of the experimental conditions converge on the idea that talkers stay within their pre-existing phonetic repertoire when participating in shadowing tasks. Participants are selecting from pre-existing variants in order to approximate the auditory targets

of the model talker, but they are not encoding new speech production targets simply for this task. To reach this understanding, first let us consider the fact that there are spectral differences between prosodically accented and unaccented vowels (Lindblom 1963, Engstrand 1988). For example, in the sentence *The man IS going to the store*, as a content word *man* is stressed, but the prosodic focus of the sentence is on *is*, leaving *man* unaccented. However, in the sentence *The MAN is going to the store*, the subject *man* is both accented and stressed. The amount of formant expansion associated with accented and unaccented vowels is related to jaw movement (de Jong 1995), particularly within F1 (Summers 1987). Summers found greater differences in F1 for /æ/ than /ɑ/, which matches up well with the current finding for participants where we find the most imitation for /æ/. The tendency for the spectral differences between accented and unaccented vowels has been argued to be greater for low vowels overall, perhaps in relation to vowel sonority (Beckman et al. 1992). Again, this aligns itself nicely with our results.

This explanation for the results also dovetails nicely with the data reported in Section 4.2.6. In that section I reported male and female participants imitated to the same overall degree. While there is some sociolinguistic research that suggests that women exhibit more variation in their speech than men (Labov 1990, 2001b:366-384), there is no work (that I am aware of) that posits larger phonetic repertoires for individual women than men. That is, while women as a population group may have more variable speech, that does not imply that individual women have more production variants within a category.

Recently, Clopper and Pierrehumbert (2008) reported that shifts in vowel production toward more innovative forms in a regional dialect were found more often in contexts of

high semantic predictability. This is another type of stored word-level variant in a talker's production repertoire that could be accessed in a word repetition task. In the data reported here, we see changes in participants' vowel productions primarily in the direction of more innovative variants. For example, for male participants we documented shifts toward the model talkers' retracted low vowels and fronted back round vowels. Low vowels with lower F2 and back vowels with higher F2 are the novel variants in most dialects of American English (Hinton et al. 1987, Moonwomon 1991, Munson et al. 2006). Given this, the fact that no imitation was revealed for vowels other than /ɑ/ and /æ/ for female participants makes sense. Within a female talker's /u/ and /o/ repertoire, there will be few tokens with extremely low second formants (the kind of F2 needed to imitate a male talker).

In American English, the F2 of /u/ greatly depends on the consonantal environment. For example, in coronal environments /u/ has a higher F2. This, along with the general trend of fronting the back vowels in American English, gives /u/ a large category size. Take, for example, the distribution of /u/ in Subject 200's Pre-task vowel productions shown in Figure 4.23. We can see that /u/ extends from the canonical back area associated with the cardinal vowel to the higher F2 space occupied by /i/. A word like *dune* would have a vowel with a high F2, while a word like *pool* would occupy the low F2 /u/ cluster. A female participant like Subject 200 has /u/ tokens with comparatively lower F2s in her inventory that she could potentially employ in an effort to spontaneously imitate a model male talker who had lower F2s in his /u/ category in general. This, however, was not found to be true. Female participants only imitated low vowels. The failure of a participant to recruit the production plans for a vowel that would better satisfy an acoustic match of the

auditory target that would be taken from another lexical item is in favor of word-specific phonetics (Pierrehumbert 2002). Participants are only making use of variations in production plans from within a single word; they are unable to implement vowel variants from other words in the process of spontaneous phonetic imitation.

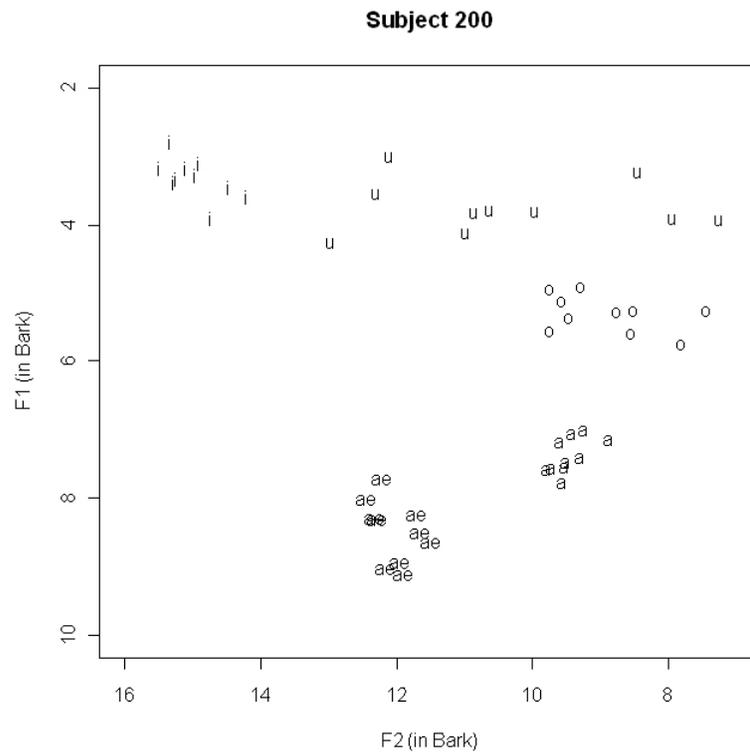


Figure 4.23: Pre-task vowel productions from Subject 200, a female participant.

Invoking word-specific phonetics in an explanation of the results contradicts previous work on imitation. As reviewed in Chapter 2, Nielsen (2008) finds that participants in a shadowing task with artificially lengthened VOT generalize the [long VOT] to other stop consonants that share the feature [-voice]. So, when participants were exposed to lengthened VOTs in /t/, they extended the lengthened feature to /k/ as well. While evidence for

abstraction and categorical behavior was found in Nielsen's study, it was not found here. There are a couple of reasons for why this might be the case. For one, it may be a fundamental difference between vowels and consonants. The feature categories of consonants may be organized in such a way that makes generalization across a set easier. Another possible reason may have to do with Nielsen's interpretation of the results. It is possible that the lengthened VOT on the /k/ words arose not within the lexicon or in activating phonemes in the early stages of phonological planning, but in the execution of the motor commands. In the course of producing /t/ words with lengthened VOT, the motor plans associated with maintaining an open glottis get carried over into /k/ words. The issue here is at what level of linguistic representation does convergence take place. Is it a generalization that takes place within an abstracted segmental representation or is it inertia of articulators in motor planning? Nielsen's data cannot answer this question, but it leaves open the possibility that her results and those reported here are not inherently conflicting. It is also worth noting that in Nielsen's data *more* imitation was found for the specific words with lengthened VOT to which listeners had been exposed than in the words to which the feature had been generalized.

A mention of the mechanisms at work in spontaneous phonetic imitation is in order. Exemplar-based models of speech production and perception appear at first glance to be able to account for these results. Above, however, I argue against automatic convergence as would be predicted by simple, asocial, exemplar-models. Since imitation is not a consistent consequence of auditory exposure, it is unlikely that production and perception work out of the same stores of exemplars. Although, the fact that auditory exposure can shift production

targets at all does imply a strong connection between the two processes. One important finding in this experiment speaks directly to the issue of exemplars and activation levels. In all of the Conditions for the female participants there was a cumulative effect of exposure. The DIFFERENCE IN DISTANCE decreased with each shadowing block such that the final shadowing block (Block 6) always exhibited the most imitation. Male participants shifted immediately upon exposure to the model talker. There were no differences between the three shadowing blocks for the male participants in any of the Conditions. Let's consider imitation the result of a production target being activated by auditory exposure. Male participants are more likely to have speech production targets that approximate the tokens of the male model talkers already in their repertoires. It takes little exposure then for those tokens to become activated. Female participants are unlikely to have many (if any) production targets available that closely match the acoustic structure of the male model talkers. In this case, it takes higher levels of activation – more auditory exposure – to highlight these production targets.

In the following and final Chapter, we review the purpose of this dissertation and reiterate the most valuable findings.

## Chapter 5

# Conclusion

The previous chapter, Chapter 4, presented the results of a study on phonetic accommodation and provided an explanation for the data based on the talker's pre-existing phonetic repertoires. Before summarizing these results and discussing their implications for phonetics (Section 5.2.1), sociolinguistics (Section 5.2.2), and psycholinguistics (Section 5.2.3), let's review the general goals of this dissertation. One was to test whether the spectral characteristics of vowels were imitated in phonetic accommodation. The second goal sought to examine whether implicit social cognition was at work in language production. The experimental design was described in Chapter 3 and the results were reported in Chapter 4. As summarized below, talker did indeed imitate vowels and measures of implicit social cognition contributed in predicting the results.

## 5.1 General summary

The empirical focus of this dissertation was the spontaneous imitation of acoustic detail in a word-repetition task. The fact that participants in an experimental task acquire vocal characteristics of a model talker or interlocutor has been well founded (Goldinger 1998, Namy et al. 2002, Pardo 2006). In terms of what was being imitated, previous work had found that participants imitate voice onset time (Nielsen 2008, Shockley et al. 2004), and there was some evidence that pitch was imitated to a certain extent (Goldinger 1997). Using broader acoustic measures, like measures of long-term average spectra and mel-frequency cepstral coefficients, other researchers have documented phonetic convergence as well (Delvaux and Soquet 2007, Gregory et al. 1993). Imitation of vowel formant frequencies had previously not been examined. This is the type of imitation that would prove significant for real-life cases of accommodation such as dialect shift within an individual or language change across a community of speakers.

In order to explore vowel imitation specifically, a lexical shadowing task using 50 low frequency monosyllabic words containing the vowels /i æ a o u/ was designed using two model talkers. The two talkers were both men in their early thirties who spoke California English. The two talkers differed, however, in that one was Black and one was White. Experimental conditions were blocked by talker, so that a single participant was only exposed to the voice of one model talker. Research participants first completed a Pre-task Block where they read the 50 words aloud. The purpose of this block was to obtain a baseline production for how participants produce the vowels in the word list. The main part of the task was a series of three Shadowing Blocks where participants were auditorally presented

with tokens from a model talker and instructed to identify the word that they are hearing by saying it out loud. From participant productions in the Shadowing Blocks we can examine how much participants have modified their vowel productions as a result of exposure to the model talker. The final stage of the speech production experiment was a Post-task Block where participants were again asked to simply read the word list aloud; from this block we are able to see how phonetic convergence persists after exposure to the model talker has ceased.

In addition to exposing participants to different talkers, in some conditions – the Social Conditions – participants were presented with still digital images of the model talker, while in the Asocial Conditions participants were only presented with an audio signal. It is important to test for differences in amount of imitation between these conditions because automatic theories of linguistic convergence posit that imitation happens automatically at all times, which means that under strong interpretations of these theories there is no room for social factors to influence the extent to which participants acoustically match a model talker. Other social measures were also obtained. Upon completing the speech production task, participants in the Social Conditions were asked to rate the attractiveness of the model talker they were exposed to. All participants also completed an Implicit Association Task (IAT; Greenwald et al. 1998) that provided an implicit measure of their racial bias to the racial categories Black and White. Following theories of accommodation suggesting that imitation will occur when speakers of language wish to decrease social distance, it was hypothesized that there will be more imitation when participants rate the model talker as more attractive. It was also predicted that participants in the Black Social Condition who

score with a pro-Black bias would be more likely to imitate the Black model talker.

To assess vowel imitation, a Praat script extracted the mean first and second formants of the middle 50% of each vowel. Formant values were normalized to the Bark scale prior to analysis. Using the Bark-scaled data, the Euclidean distance between each word from each participant and the same word produced by the model talker to which the participant was exposed was calculated. Then, the distance for each Shadowing and Post-task production was subtracted from the distance for the Pre-task productions providing a DIFFERENCE IN DISTANCE measure. Comparing values this way gives us a measure of how much a participant's vowel production changed as a result of auditory exposure to the model talker. A negative DIFFERENCE IN DISTANCE value indicates convergence while a positive value denotes divergence; this is important to understanding several of the figures presented in Chapter 4. Both mixed effects modeling and repeated measures by-subject ANOVAs were used to analyze the data using the DIFFERENCE IN DISTANCE as the outcome variable. Analyses were conducted separately for male and female participant data. Separate analyses were also done for particular subsets of the data so that particular hypothesis could be tested. For example, in the analysis for the Black talker data where we combine data from the Black Social and Black Asocial Condition we are asking how visual information about the Black talker affects imitation patterns.

The results of the experiment indicate that spontaneous phonetic imitation of the spectral characteristics of vowels is both phonetically and socially selective. This is evidence against an automatic theory of accommodation where imitation is an inevitable reflex of the organization or representation of the language system. We cannot, however, reject the

automatic aspect of imitation entirely. It may well be automatic in the sense that it is not a conscious act on the part of a speaker of language (cf. Bargh and Chartrand 1999), but phonetic imitation is mediated by both social and linguistic factors. In terms of phonetic selectivity, for both male and female participants there is significantly more imitation for the low vowels /ɑ/ and /æ/ than for /i o u/. The specifics of these results are discussed in more detail in Section 5.2.1. There was also a trend for male participants to imitate the back vowels /o/ and /u/ more than /i/, but this was not significant and was not a trend for female participants. The behavior when /ɑ/ and /æ/ were imitated varied across gender. Male participants imitated immediately upon exposure; there were no significant differences in terms of how much they imitated across the three shadowing blocks. Female participants, on the other hand, imitated more and more across shadowing blocks. There was more imitation in the final shadowing block (Block 6) than the first one (Block 4). This particular result is discussed more in Section 5.2.3.

Aspects of social selectivity in spontaneous phonetic imitation were also found. The details and broader implications of the social results are addressed in Section 5.2.2, and they are briefly summarized here as well. One major pattern involves male participants imitating more in the Social Conditions, while female participants seemed to imitate more in the Asocial Conditions, although the pattern for females was an insignificant trend. The results from the Attractiveness ratings and the IAT scores interacted in interesting ways with amount of imitation. For both male and female participants, those who scored with a pro-Black bias (or less of a pro-White bias, as the case may be) were *more likely* to imitate the voice of the Black talker. Implicit racial biases influenced the degree to which

participants accommodated to the vowels of the Black talker. How the Attractiveness ratings interacted with imitation differed across male and female participants. For female participants we found the overall effect that the more attractive the model talker was rated, the more his voice was imitated. Male participants, however, had the opposite pattern. The more *unattractive* the model talker, the more likely we were to find imitation. For both male and female participants their respective directions of imitation and attractiveness were the same for both the Black and the White talkers.

The details of *why* these particular results were found are debated in the following discipline specific summaries but, in sum, these results fall under a theory of word-specific phonetics (Pierrehumbert 2002) where participants make use of pre-existing production variants in a laboratory experiment. A larger range of potential representations for the low vowels are available to talkers as a result of differences in jaw height in accented and unaccented environments (de Jong 1995). The results indicate that speech production targets are influenced by ambient auditory exposure, and that, crucially, phonemes are not static linguistic entities, but malleable targets.

## 5.2 Discipline specific summaries

The topic of this dissertation cuts across many subdisciplines within linguistics. With this in mind I have summarized results and conclusions for phonetics (5.2.1), sociolinguistics (5.2.2), and psycholinguistics (5.2.3) in separate sections below.

### 5.2.1 Phonetics

This dissertation was motivated with a basic concern to understand *why do we sound like we do*. Physiological differences between individuals, of course, shape the majority of acoustic differences between talkers. An individual's speech though is still so variable that speakers struggle to accurately reproduce recordings of themselves (Vallabha and Tuller 2004). As we acquire our native language we learn the variety spoken by our peer group. Moreover, as we move from one dialect area to another, we modify our ways of speaking to reflect the speech patterns of our new community (Munro et al. 1999, Evans and Iverson 2007). So, a significant portion of this why do we sound as we do question can be answered with an explanation involving how ambient auditory exposure changes speech production.

The experiment reported in this dissertation sought to uncover details about how ambient auditory exposure elicited imitation of vowel formant frequencies. Phonetic convergence was only found for /æ/ and /ɑ/. These results are explained within a theory of word-specific phonetics (Pierrehumbert 2002) in which participants are making use of pre-existing speech production targets in order to approximate the speech of the model talker. It is logical that we would find more imitation for the low vowels than as they exhibit larger amounts of variation in accented and unaccented environments (de Jong 1995, Summers 1987). With more word-specific production variants available to a participant, they are able to select a production that is a better acoustic match for the model talker's production. This conclusion is also supported by other work demonstrating that phonemes exhibiting more variation within a community of speakers are more likely to be imitated (Babel 2008, Delvaux and Soquet 2007).

A highly important aspect of this result is that it demonstrates the labile nature of linguistic segments with respect to both their perceptual encoding and their variation in production. First, listeners must perceive the detailed acoustic structure of an utterance in order to have those details influence their production. Second, in speech production, participants alter the characteristics of the output without modifying the categorical identity of the segment they produce. The exact selection of a production variant is determined by auditory exposure.

A second important theoretical point for the phonetic focus of this thesis relates to what exactly participants are doing when imitating vowels. While this question cannot be completely resolved with the data we have here, it is worth discussing in brief. The basic question is: Are participants aiming for acoustic imitation or are they imitating a gestural target as a location within the vowel space? With the latter interpretation, a participant may interpret a vowel as being relatively low for that particular model talker and then imitate by producing a low vowel in their own phonetic vowel space. One reason why I do not think this is a viable interpretation of what participants are doing relates to data from the female participants. Consider the /æ/ vowel which received the greatest amount of imitation by female participants. If a female participant interprets the model talker's /æ/ as being relatively low for his vowel space (a highish F1) and thus imitates that relative lowness, she (in raising her F1) would actually diverge from the model talker following the way in which I measured imitation. Female participants all lowered their F1 in the process of imitation. Therefore, while it cannot be conclusive, the results suggest acoustic imitation and not articulatory imitation.

### 5.2.2 Sociolinguistics

There is an immense amount of variation in the speech signal. While some of this variation is phonetically motivated (e.g. Stevens and House (1963) and Öhman (1966)), a lot of it has to do with sociolinguistic variation. Users of language vary their use of language based on whether they are speaking formally or informally, to children or to adults, or influenced by some emotional state. This type of contextual variation occurs at all levels of language.

In this dissertation, I have focused on phonetic variation. Specifically, the experiment examined how a talker's production of a vowel will change as the result of auditory exposure to another talker, as discussed above. The social factors taken into consideration in the experiment were the perceived attractiveness of the model talkers as rated on a 1-10 point scale and a measure of implicit racial bias for Black and White as measured on an IAT. With respect to the latter social measure, male and female participants patterned identically. Participants were less likely to imitate the Black talker (in the Black Social Condition) when they scored with a strong pro-White bias. For the Attractiveness ratings, male and female participants provided different results. For both the Black and White talker, female participants were more likely to imitate when they rated the talker as attractive, while male participants imitated more when they rated the talker as unattractive. The results from both of these social measures clearly demonstrate that implicit and subtle sentiments felt towards talkers affect speech production. These results are, of course, subtle effects, but their implications are important. In a laboratory experiment where the social and communicative aspects of language are minimal (if at all existent), we still witness

evidence of social influence on language use. I can only imagine that in real-life cases of phonetic accommodation, social biases must play an even larger role. This finding that participants who scored with a stronger pro-White bias on the IAT were less likely to imitate the Black talker does suggest the possibility that it is social biases driving the divergence of African American English and more mainstream varieties of American English (cf. Fasold 1981, Labov and Harris 1986, Fasold et al. 1987, Bailey and Maynor 1989).

As reviewed in Chapter 2, language accommodation has long been of interest to sociolinguists both because of its implications for style-shifting (audience design) and dialect change. The results of this experiment demonstrate that a large part of style shifting may be an epiphenomenon of imitation. Upon exposure to a particular style of speech, a speaker will shift toward that production. While not all of style-shifting can be explained by way of accommodation, certainly some it can. In Chapter 2, a highlight of the discussion regarding sociolinguistics and accommodation was Trudgill's recent view of accommodation as an automatic process (Trudgill 2008). An eminent sociolinguist who has long worked on issues of dialect contact and change, Trudgill, shockingly, shifted his previous view of accommodation as highly social (Trudgill 1986) and now holds a strongly asocial view. The results of this dissertation supports Trudgill's (2008:252) view that imitation is subconscious and automatic. However, Trudgill is mistaken in stating that accommodation is wholly asocial; it cannot be said that accommodation is a process that is devoid of social influence.

A final point in this section is methodological. Sociolinguists often consider the social aspects of language to be intentional actions on the part of a speaker to, for example, index some particular aspect of their identity or to play a particular role in a community

or conversation. Psychologists, on the other hand, view social cognition more as implicit knowledge. In this dissertation, I integrated the IAT – a standard social psychology tool that measures implicit social biases – into a laboratory phonology experiment. The results were successful and demonstrated how social cognition subtly affects speech production. The potential for IATs and other social psychology tools to shed light on sociolinguistic work is great. I hope other researchers incorporate these methods into their research.

### 5.2.3 Psycholinguistics

The elephant in the room throughout this dissertation has been the question of what the underlying cognitive mechanisms for phonetic imitation are. What sorts of processes cause this type of linguistic behavior? Are these mechanisms and processes aspects of cognition that are limited to speech? Whatever cognitive mechanisms are involved, it is unlikely that they are limited to speech. As mentioned in Section 2.1, convergent behavior transcends all aspects of human behavior. This means that some basic aspect of human cognition relates perceived behavior to produced behavior. What's particularly interesting to note is that within this basic imitation reflex, Dijksterhuis and Bargh (2001) argue that the ability to not imitate is what is social. While it cannot be conclusively determined by the data from this experiment whether participants, for example, imitated the Black talker *more* when they had a pro-Black bias or *less* when they had a pro-White bias, it certainly suggests an interesting interpretation of what linguistic divergence might be. Not imitating an interlocutor may well be the only method of increasing social distance, to put the behavior in Communication Accommodation Theory terms.

One result of this dissertation that is of interest to psycholinguistics is the finding

of cumulative imitation for the female participants and immediate imitation for the male participants. A discussion within the syntactic priming literature is whether syntactic imitation is the result of activation or learning (cf. Pickering and Ferreira 2008). Bock and Griffin (2000) found that structural priming persists over longer intervals. They argue that since structural priming effects persist beyond immediate exposure this must be indicative of some type of learning and not transient activation. In a similar vein, Delvaux and Soquet (2007) suggest calling spontaneous phonetic imitation ‘mimesis’ since there is evidence that it leaves a memory trace when imitative effects are maintained into post-task productions. While I am not interested in conjuring up an argument against the fact that imitation may be some type of learning (and it does seem odd to pit memory against learning), the results of this dissertation do suggest that an activation level threshold must be reached in order to find imitation. In the shadowing blocks female participants consistently imitated more in the final shadowing block than they did in the first block. For the female participants the more exposure they had to the model, the more they imitated. Male participants showed no such effect of cumulative exposure. Male participants shifted immediately upon exposure to the model talker and did not increase their imitative behavior after the initial shift. Female participants needed more exposure – more activation – in order to shift their productions. That female participants needed more auditory exposure in order to imitate does indeed suggest that spontaneous phonetic imitation is a phenomenon that, in addition to being due to implicit learning, shows effects of activation threshold as well.

The last point to be made in this section concerns the debate surrounding the motivation for imitation. That is, whether the primary motive is social or an automatic

consequence of the organization of knowledge. This dissertation found phonetically and socially selective imitation. This must be interpreted as evidence that strong versions of an automatic theory of accommodation cannot be correct. Imitation is mediated to some extent by both social and linguistic factors. Participants were not imitating intentionally and their behavior was limited to specific vowels. This suggests that spontaneous phonetic imitation is well beyond a talker's conscious control, and thus, that a strong version of the social theory also cannot be completely right. It is hard to imagine that groups of participants would purposefully (and consistently) imitate select vowels. Neither a purely automatic or a social theory that involves a conscious mechanism for accommodation is supported by the results of this experiment. Instead the data support an automatic theory of accommodation where we assume that implicit social factors will play a role on an unintentional level (cf. Pickering and Garrod 2004). This dissertation is the first work demonstrating the how implicit social factors influence imitation in speech.

The real question is how this social selectivity is incorporated and encoded in the language system. How do our language representations fall susceptible to these automatic social influences? The question is not whether social information is stored as a part of language knowledge, but how particular variants get activated for production when that production does not necessarily correlate with the social feature "Black," for example. In this experiment, participants were more likely to imitate acoustic characteristics of vowels that were not affiliated with the speech of African Americans, *per se*. However, if we consider this behavior in terms of implicit social goals (e.g. who is a desirable member of your social group), we can understand the social selectivity as follows: a participant with

a pro-White bias who is shadowing the Black talker will not pursue the Black talker as a friend. The automatic response to imitate is then inhibited by the social bias against the individual. The results of this dissertation provide language-specific evidence for this fundamental theory of human behavior.

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