

13 Morphosyntactic Correspondence in Bantu Reduplication

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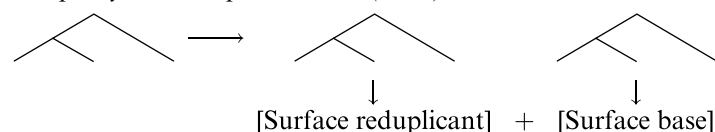
13.1 Introduction

Since the pioneering work of Marantz (1982), Kiparsky (1986b), McCarthy and Prince (1986), and others, the primary goal in the study of partial reduplication has been to construct a theory that insightfully captures the full range of considerations that speakers may invoke in determining how a reduplicant will relate to its base. Given that both phonology and morphology are potentially involved, this has meant two things. First, there has been an attempt to characterize the reduplicant in prosodic terms: the shape of a reduplicant is frequently defined by reference to foot, syllable, and/or moraic structure. Second, the literature has shown an increasing awareness of the role of morphological structure in determining the base-reduplicant relationship. Researchers such as Downing (1977a, etc.), Urbanczyk (1996), and McCarthy and Prince (1993b, 1995) have shown that, in addition to prosodic constraints, the realization of a reduplicant may be influenced by purely morphological conditions. In Bantu verb-stem reduplication, for example, simplex stems may reduplicate differently from polymorphemic ones, which may show further differentiations in turn, depending on whether the affixes are derivational versus inflectional in nature. Some of these morphological conditions can be quite subtle, and yet, as we will show, provide crucial evidence for our very conception of how and where (partial) reduplication takes place within a grammar. In short, reduplication provides an ideal testing ground for theories of morphology, phonology, and their interface.

In this chapter we provide a detailed description of verb-stem reduplication in Ndebele, a Southern Bantu language of the Nguni group, which also includes Zulu, Xhosa, and Swati. We show that the reduplicant in Ndebele is not only conditioned by phonological and morphological factors, as in other Bantu languages, but that these factors are “abstract” in nature: despite surface appearances to the contrary, the reduplicant of an Ndebele verb stem must be analyzed as a verb stem itself (cf. Downing 1997a, etc.). Its surface form is obtained not by surface correspondence to the base output, but rather by direct spell-out of its own (identical) morphosyntactic

structure, which, in turn, is a direct copy from the base. As schematized in the (typically) prefixal reduplication in (1),

(1) *Morphosyntactic representation (base)*



the input is a left-branching morphosyntactic structure, where the deepest embedded morpheme is the root—for example, a verb root in our study. The surface outputs to the right of the arrow are obtained in the following way. First, we copy this morphosyntactic representation as a reduplicant to the left of the input base. We then spell out both structures independently. What we have identified as the surface base is derived by the normal rules of word formation, constrained by (sometimes conflicting) considerations such as compositionality, morphotactic restrictions, and so on. The surface reduplicant is also subject to these general considerations, as well as those imposed specifically on the reduplicant. The reduplicant may thus be subject to prosodic as well as morphological constraints. In Ndebele, for instance, the reduplicant is limited to two syllables and inflectional suffixes may not appear within it.

Our conception of verb stem reduplication in Ndebele in (1) differs from most other conceptions in two ways. First, we make explicit that reduplication is a *morphological* process. While no one would contest this conclusion, research on partial reduplication has mostly been conducted by phonologists who emphasize surface base/reduplicant correspondence, and hence view morphology in terms of surface morphs rather than morphosyntactic structure. With Inkelas and Zoll (2005) we do not assume a direct phonological correspondence between the reduplicant and the base.

Second, we take the position that partial reduplication is derived from total reduplication. The conception in (1), however, goes beyond phonological “full-copy” theories such as Steriade 1988, in explicitly treating reduplication as the total copy of the abstract morphosyntactic structure of the base. If there are no special phonological or morphological conditions on the reduplicant beyond those characterizing the base, we in fact derive total reduplication on the surface—an apparent compounding of a base with itself. If there are special conditions, we obtain partial reduplication. We thus agree, in part, with Eulenberg (1971, 73), who states that “cases of so-called partial reduplication are simply phonological reductions, sometimes drastic, from cases of full reduplications.” As will be seen below, we propose to revise Eulenberg’s statement to read “phonological or morphological reductions.”

In many, if not most cases of partial reduplication, there will be no difference between our morphosyntactic approach versus the “morph” approaches that have

characterized the prosodic analysis of reduplication since Marantz 1982 and McCarthy and Prince 1986. This is because in most situations the properties (e.g., linear order) of surface morphs (specifically, affixes) generally mirror the underlying morphosyntactic representation. In Bantu, however, there are widespread instances where this is not the case. As we will see in section 13.5, when there is a mismatch between the surface order of suffixes versus the underlying morphosyntactic representation, it is the latter that determines what can appear in the reduplicant.

The chapter is organized as follows. In section 13.2 we provide a basic overview of verb-stem reduplication in Ndebele. In subsequent sections we treat complications arising in the reduplication of stems containing subminimal or “consonantal” verb roots (section 13.3), fusion or “imbrication” of perfective *-ile* (section 13.4), and the passive suffix *-w-* (section 13.5). We then conclude by considering synchronic and diachronic implications of our findings.

13.2 Basic Overview

We begin by considering the basic properties of verb-stem reduplication in Ndebele. In (1) we first consider verb stems that consist of a “long” root ($\geq \text{CVC}$) and the default inflectional final vowel suffix *-a*. The meaning of such reduplications generally is to do the action in little bits, here and there, perhaps not very well. The forms are given in their minimal citation form—that is, minus inflectional prefixes (and with underlying High tone marked on the first vowel of verb roots). With one major exception that we will examine in section 13.3, prefixes are irrelevant to stem reduplication. We will adhere to the notational convention of separating reduplicant and base with the “+” symbol, reserving “–” for internal morpheme breaks:¹

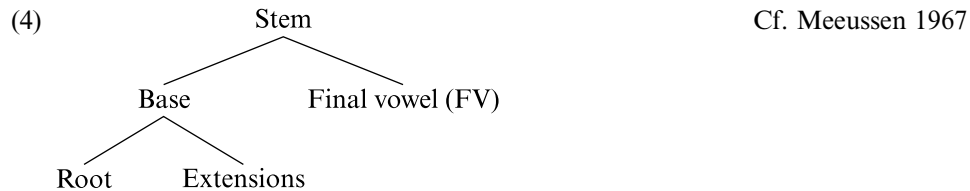
(2)	<i>Plain verb stem</i>	<i>Reduplicated verb stem</i>	
a.	lim-a	lim-a+lim-a	‘cultivate’
	thum-a (H)	thum-a+thum-a	‘send’
b.	nambith-a (H)	nambi+nambith-a	‘taste’
	thembuz-a (H/L)	thembu+thembuz-a	‘go from wife to wife’

The pattern in (2a), in which the verb root = CVC, shows a total reduplication of the verb stem. In (2b), however, where the verb root $> \text{CVC}$, we see that the reduplicant is limited to two syllables—that is, to a bisyllabic foot. In both sets of examples the reduplicant is identical to the first two syllables of the base verb stem.

However, when we turn to cases of productively suffixed roots in (3), we find that something more subtle is going on. In these examples, where *-el-* is the applicative suffix and *-is-* is the causative suffix, we see that there are two possible shapes of the reduplicant: it can either be identical to the first two syllables of the base, hence *lim-e* and *lim-i*, respectively, or it can end in [a], thus *lim-a*.

- (3) a. lim-el-a lim-e+lim-el-a ‘cultivate for/at’ (applicative -*el-*)
 lim-a+lim-el-a
 b. lim-is-a lim-i+lim-is-a ‘make cultivate’ (causative -*is-*)
 lim-a+lim-is-a

To account for this variation, consider the traditional view of the internal structure of the Bantu verb stem in (4).



As seen, a verb stem consists of a base and an obligatory final vowel (FV) morpheme, which is [a] in most verb forms. Within what Bantuists refer to as the “base,” a root may be “extended” by derivational suffixes (or extensions). Among the Ndebele extensions that we consider in this study are applicative -*el-*, causative -*is-*, and passive -*w-*.

The second variants in (3a) and (3b) show that the extension vowel may optionally not appear in the reduplicant, in which case the reduplicant is pronounced with a final [a]. As seen in (5a), this second variant ending in [a] is not available if the verb root is polysyllabic:

- (5) a. nambith-a (H) *namb-a+nambith-a ‘taste’
 thembuz-a (H/L) *themb-a+thembuz-a ‘go from wife to wife’
 b. casuk-a casu+casuk-a ‘become nauseated’ /cas-uk-a/
 *cas-a+casuk-a
 casul-a casu+casul-a ‘nauseate’ (transitive) /cas-ul-a/
 *cas-a+casul-a

A final [a] is also not possible in (5b), where the input verbs carry the nonproductive reversible suffixes -*uk-* and -*ul-*.

Finally, to complete this introduction to the basics, note in (6) that the reduplicant cannot include inflectional material occurring in the so-called final vowel slot:

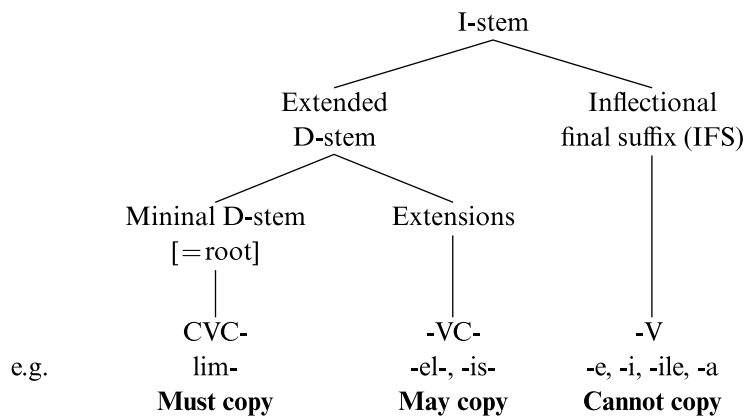
- (6) a. lim-e → lim-a+lim-e (subjunctive -*e*)
 *lim-e+lim-e
 b. lim-i → lim-a+lim-i (negative -*i*)
 *lim-i+lim-i
 c. lim-ile → lim-a+lim-ile (perfective -*ile*)²
 *lim-i+lim-ile

These examples are significant for two reasons. First, they show that inflectional suffixes are outside the scope of reduplication. Second, they show that the source of reduplicant-final [a] is not necessarily the base, as one might have presumed from [a]-final reduplicants in (3). The data in (6) make it clear that there is an independent source for reduplicant-final [a].

In all of the above respects, Ndebele verb-stem reduplication is equivalent to that in Swati, for which Downing (1997a, etc.) proposes the Bantu verb-stem structure in (7).

(7) *Bantu verb stem according to Downing; cf. Mayers 1987*

- a. I-stem = Inflectional stem
- b. D-stem = Derivational stem
- c. Root = minimal D-stem
- d. X+a = “canonical stem” (CS)



As seen, the full verb stem is referred to as an inflected stem (or I-stem), which in turn has two parts: (i) a D-stem, which may be potentially extended by derivational suffixes, and (ii) an inflectional final suffix. The root is identified as the minimal D-stem. In (7) this structure is exemplified with the root *lim-* ‘cultivate’, the applicative and causative extensions *-el-* and *-is-*, and the inflectional final vowels *-e*, *-i*, *-ile*, and *-a*. Downing refers to any verb stem that ends in the final vowel *-a* as a “canonical stem.”

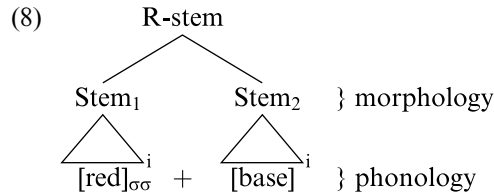
To summarize the facts we have seen thus far, and as indicated in the last line of (7), the root *-lim-* must be copied into the reduplicant, the vowels [e] and [i] of applicative *-el-* and causative may optionally copy, and the [e] and [i] of the inflectional suffixes *-e*, *-i*, and *-ile* may not copy. If the structure of the input “base” stem is as in (7), the analytical challenges before us are the following.

First, by what means do we ensure that only derivational suffixes, not inflectional ones, are available to reduplicate?

Second, by what means do we ensure that the CVC-a reduplication pattern is permitted with CVC-VC-V stems but not with CVCVC-V stems?

That is, why are forms such as *lim-a+lim-el-a* and *lim-a+lim-is-a* acceptable, while **namb-a+nambith-a* and **themb-a+thembez-a* are not? Downing's (1997a,c) position is that in the case of CVC-a reduplicants, the CVC- string must correspond to an independently existing minimal D-stem, which *lim-* clearly is. CVCa RED must be a corresponding word (cf. imperative *lima!*). On this account, the reduplicants **namb-a* and **themb-a* are disallowed because *namb-* and *themb-* do not exist as roots, and therefore the requisite minimal D-stems, **namb-a* and **themb-a*, do not independently exist. Steriade (1997) slightly amends the story to refer to existing versus non-existing words, noting that *lim-a* exists as a corresponding imperative verb, while **namb-a* and **themb-a* do not.

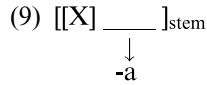
In this chapter we do not speak of a reduplicant as corresponding to a stem. Rather, it *is* a stem, which is in morphosyntactic featural agreement with the following, "normal" stem that it appears to reduplicate. As seen in (8),



reduplication is stem juxtaposition, where Stem₁, the reduplicant, is subject to a bisyllabic size constraint, and, as indicated by the subscript on its morphosyntactic structure, is in perfect featural agreement with Stem₂, the base.

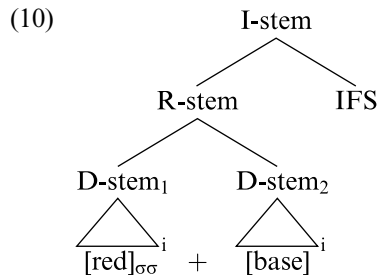
At this point we note that there are two inviolable morphological properties of base verb stems: First, verb stems must contain a verb root. Second, verb stems must be morphologically complex. The first property is self-evident: one cannot have a stem of any sort that does not in turn consist of a root. The second property is what interests us here: Verb stems in Ndebele (and in most Bantu languages) must be bipartite (i.e., suffixed). That is, there must not be a right alignment of the verb root with the verb stem: **[[verb]_{root}]_{stem}*. While it has been hypothesized that pre-Proto-Bantu may not have required a suffixal vowel on all verb roots (Grégoire 1979), verb stems in Ndebele must end in one of the inflectional endings—the most generally distributed one being *-a*.

In this study we follow the tradition of identifying *-e*, *-i*, and *-ile* as inflectional endings—that is, as Downing's IFS. We will depart from previous scholarship, however, in treating *-a* not as an inflectional ending, but rather as the default stem suffix in Bantu in general:



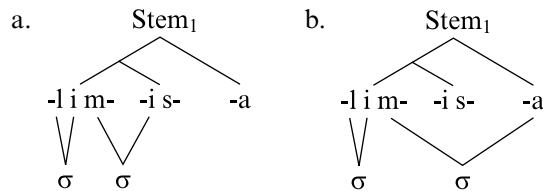
As indicated, $-a$ may be invoked, typically in final position, to “fill out” any kind of stem: D-stem, I-stem, R-stem. The suffix $-a$ differs from other verb and noun endings in having no corresponding morphosyntactic feature(s); unlike $-e$, $-i$, and $-ile$, it does not directly realize tense/aspect, mood, or polarity distinctions on verbs. While these morphs spell out feature complexes that include [+subjunctive], [+negative], or [+perfective], $-a$ appears only in their absence—that is, as a default. We will henceforth refer to $-a$ as the FV, reserving IFS for the other final suffixes.

With this interpretation of $-a$ established, we can now explain why $-a$ can appear in the reduplicant, while IFSs may not: the latter may occur only in an I-stem, while the reduplicant is a D-stem in Ndebele. Since $-a$ is devoid of inflectional features, it may appear as a default ending on any kind of stem—for example, the reduplicant D-stem, which, by definition, will not carry an IFS. Our proposal in (10) is that the R-stem is a daughter of Downing’s I-stem and sister to her IFS:



Each of the stems under the R-stem in (10) is a D-stem, although the reduplicant is limited to two syllables. We assume, as is the case in D-stems, that $-a$ is always available to reduplicants as well. As seen in (9), this gives rise to the variation seen in reduplication of D-stems that have extension suffixes. We assume, for the sake of argument, that FV is present even in (11a), where (due to disyllabic size constraints) it is not parsed:

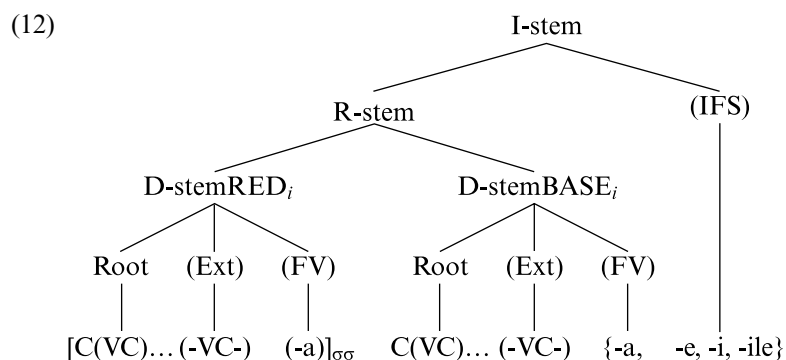
(11) *Reduplicant D-stem of base -lim-is-a ‘cause to cultivate for’*



In (11a), the [i] of $-lim-i$ comes from the causative suffix $-is-$, while in (11b) it comes from the FV $-a$.

Now note with respect to the articulated structure of the reduplicated verb stem in (10) above that both D-stem₂ and the I-stem should have to branch. In case D-stem₂ is simplex (e.g., *-lim-*), it would appear to need the FV *-a*. However, this morpheme vies for the same “slot” as the IFSs *-e*, *-i*, and *-ile*. Therefore, since *-a* is featureless, the higher-ranked requirement that the IFS be spelled out takes precedence and, in the subjunctive, we get *[[lim-] -e]*, rather than *[[lim-a]]*.

The fully articulated structure of reduplicated verb stems is seen in (12).



The crucial point in (12) is the disassociation of the FV morpheme *-a* from the IFS category to which all previous studies have assigned it. As seen, the FV *-a* is considered to belong to the D-stem, while the inflectional endings *-e*, *-i*, and *-ile*, which mark certain subjunctives, negatives, and perfectives, are a property of the I-stem. Since it is a featurally empty morph, the FV *-a* is able to occur in D-stemRED without disrupting the required morphosyntactic featural agreement between D-stemRED and D-stemBASE.

Assuming that the morphological makeup of the reduplicant is as given above, we now turn to its phonological requirements, also indicated in (12). We assume a set of statements roughly like the following, which are phrased for convenience in the terminology of Optimality Theory (Prince and Smolensky 1993). They are part of the cophonology (phonological “level”; see, e.g., Inkelas, Orgun, and Zoll 1997) of Stem₁ in the reduplication construction. They ensure that the reduplicant is of the proper size and determine which elements of the morphological material under the reduplicative D-stem will actually instantiate the bisyllabic reduplicant.

(13) *D-stemRED cophonology*

a. *[σσ]*

The reduplicant is bisyllabic (= a minimal prosodic word)

b. *MAX(Root)*

The reduplicant should parse the root (cf., e.g., McCarthy and Prince 1993; Urbanczyk 1995; Futagi 1997)

c. *MAX(Ext)*

The reduplicant should parse extension suffixes (if any)

d. *MAX(FV)*

The reduplicant should be faithful to FV *-a* (if any)

The first constraint requires the reduplicant to assume the exact shape of the minimal prosodic word in Ndebele: one foot consisting of two syllables. This is imperative, and causes the truncation we have observed above. (Later we will see cases where this same constraint causes augmentation.) The second through the fourth constraints are violable, with *MAX(Root)* ranked above the other two. The last two statements in (13) thus come into play when the root does not exhaust the bisyllabic reduplicant (i.e., when the root is smaller than CVCV, e.g., the CVC root *lim-*). As we have seen, there are two options for realizing the bisyllabic reduplicant in such cases: use material from extension suffixes, if any (as is done in, e.g., *lim-e+lim-el-a*), or use the FV morph, *-a* (e.g., *lim-a+lim-el-a*). The equal viability of these two options can be expressed in OT by freely ranking the corresponding constraints (as proposed by Anttila 1997 and Ito and Mester 1997, among others).

The rankings of the constraints in (13) are given in (14).

(14) $[\sigma\sigma] \gg \text{MAX(Root)} \gg \text{MAX(Ext)}, \text{MAX(FV)}$

The tableaux in (15) and (16) show how these constraints correctly predict the CVCV- and CVCa- reduplicants of extended CVC roots. (Note our assumption that the filler morph [a] is present in the input.³)

(15) *Derivation of reduplicant in lim-e+lim-el-a*

lim-el-a	$[\sigma\sigma]$	MAX(Root)	MAX(Ext)	MAX(FV)
a. lim-e			*(l)	*
b. lim-a			**!(el)	

(16) *Derivation of reduplicant in lim-a+lim-el-a*

lim-el-a	$[\sigma\sigma]$	MAX(Root)	MAX(FV)	MAX(Ext)
a. lim-e			*!	*(l)
b. lim-a				**!(el)

In neither tableau is there a violation of $[\sigma\sigma]$ or *MAX(Root)*, since the reduplicants are both bisyllabic and incorporate the entire verb root *lim-*. In (15), *MAX(Ext)* is ranked higher than *MAX(FV)*. The winning candidate is thus (15a), *lim-e+limela*, since the reduplicant in (15b), *lim-a*, could have parsed the [e] of the stem *lim-el-a*, but did not. In (16), the constraint *MAX(FV)* is ranked higher than *MAX(Ext)*. In

this case the winning candidate is (16b), *lim-a+lim-el-a*, since (16a), *lim-e+lim-el-a*, violates the now higher-ranked constraint MAX(FV).

We thus see how the free ranking of the two lowest constraints produces the two reduplicant possibilities. Now compare these results with the corresponding tableaux in (17) and (18), where the input *nambith-a* has a bisyllabic root:

(17) *Derivation of reduplicant in nambi+nambitha*

nambith-a	[σσ]	MAX(Root)	MAX(Ext)	MAX(FV)
☞ a. nambi		*(th)		*
b. namb-a		*!(ith)		

(18) *Derivation of reduplicant in nambi+nambitha*

nambith-a	[σσ]	MAX(Root)	MAX(FV)	MAX(Ext)
☞ a. nambi			*	
b. namb-a		*!(ith)		

As seen, **namb-a+nambith-a* is ruled out in both (17b) and (18b), because it violates the relatively high ranked MAX(Root) constraint—that is, the [i] of the root *nambith-* could have been parsed but was not.

To summarize, we analyze the reduplicant as a D-stem that is constrained in two ways: (i) it is bisyllabic, and (ii) it must match the base D-stem morphosyntactically. Our analysis resembles those of Downing (1997a, etc.) and Steriade (1997) in relating the reduplicant to an existing morphological constituent: like Downing, we take the D-stem to be the relevant level. These studies differ from ours, however, in relying on output-output correspondence to relate the reduplicant and base. Thus, in order to get the FV *-a* in the reduplicant, it is necessary that the preceding *-CVC-* be an existing verb root in the language. In the following sections we will see that this condition is neither necessary nor sufficient to predict the full range of facts in Ndebele reduplication. We thus consider, in turn, subminimal (section 13.3), imbricated (section 13.4), and passivized (section 13.5) verb forms. In each case we will observe the overriding importance of reference to roots versus reference to other elements. The unmistakable generalization will be that as long as the root is fully parsed, one has great freedom in how the two syllables of the reduplicant are filled out in Ndebele.

13.3 Subminimal Roots

Up until now we have been considering cases in which the reduplicant must be phonologically truncated in order to achieve the bisyllabic size condition. In this section

we consider the reduplication of verb stems that contain phonologically subminimal verb roots such as those in (19a), in which entirely different behavior is observed.

- (19) a. “*Consonantal roots*”
 -dl- (H) ‘eat’ -lw- (H/L) ‘fight’
 -m- (H) ‘stand’ -z- (H/L) ‘come’
 b. *Monosyllabic stems*
 -dl-a (H) ‘eat’ -lw-a (H/L) ‘fight’
 -m-a (H) ‘stand’ -z-a (H/L) ‘come’

As seen in (19b), when these so-called consonantal roots are followed by the FV *-a*, the resulting stems are monosyllabic. Normally these stems would occur with prefixes.

Compare, however, the imperative forms in (20). The singular affirmative imperative is the only context in which a stem can occur without prefixes:

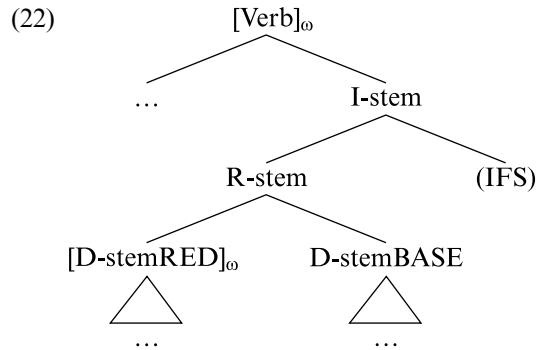
- (20) a. lim-a ‘cultivate!’ bamb-a ‘catch!’
 thum-a (H) ‘send!’ hlamb-a ‘swim!’
 b. nambith-a (H) ‘taste!’ bhavum-a ‘grow!’
 thembez-a (H/L) ‘go from wife to wife!’ thembis-a (H) ‘promise!’
 c. yi-dl-a (H) ‘eat!’ (*dl-a) yi-lw-a (H/L) ‘fight!’ (*lw-a)
 yi-m-a (H) ‘stand!’ (*m-a) yi-z-a (H/L) ‘come!’ (*z-a)

The examples in (20a,b) show that the unprefixed verb stem is used in the imperative (singular, affirmative), if the verb stem is at least two syllables long. In (24c), however, we see that a monosyllabic stem cannot occur in its bare form in the imperative, but rather acquires an augmentative syllable *yi-*, known as a “stabilizer” in the Bantu literature, which we analyze as a semantically empty “filler” morph, much like the FV *-a*.

The motivation for augmentation with *yi-* in (24c) is clear: as in many other Bantu languages (Myers 1987a; Kanerva 1989; Mutaka and Hyman 1990; Downing 1998), Ndebele words are subject to the bisyllabic minimality condition in (21).

- (21) Minimal prosodic word (ω) = $[\sigma \sigma]_{\text{foot}}$

Verbs are required to meet the minimal prosodic word condition in (21) and must thus be augmented by the empty morph *yi-* where subminimality would otherwise result. This situation arises only with monosyllabic stems in the imperative, where there is no prefix to supply the required second syllable. This minimality condition also helps us make sense of the similar size condition on reduplicants: reduplicants must be minimal prosodic words as well. Reduplicated verbs thus display the nested prosodic word structure depicted in (22).



That is, the prosodic structure of a reduplicated verb is: [Prefixes [RED]₀ BASE+IFS]₀.

13.3.1 Subminimality and Augmentative -yi

With this established, we now turn to the question of how monosyllabic verb stems are reduplicated. (23) shows that the augmentative [yi] makes its appearance here as well, this time as a suffix. As shown in square brackets in (23), -yi augments the otherwise subminimal reduplicants of such stems:

- (23) [dl-a-yi]+dl-a (H) 'eat!'
 [m-a-yi]+m-a (L) 'stand!'
 [lw-a-yi]+lw-a (H/L) 'fight!'
 [z-a-yi]+z-a (H/L) 'come!'

As seen, -yi appears between the two occurrences of the monosyllabic stem. The (bracketed) reduplicant is subject to the two-syllable requirement, to whose satisfaction the suffixal -yi is a crucial contributor.

The absence of a corresponding -yi in D-stemBASE is no mystery on this account, because D-stemBASE constituents are never subject to the minimality condition that motivates the presence of [yi] in the first place. We know that D-stemRED is required to be exactly bisyllabic. As was seen in (26), the verb as a whole is also required to be minimally bisyllabic, but in the above examples, the whole verb would be bisyllabic anyway even without [yi]. It is thus only the reduplicant that would otherwise be subminimal.

The data in (24), which contain the infinitive prefix *uku-*, confirm that the minimality condition is imposed on the reduplicant and on the whole verb but not on ordinary verb stems. Here, the existence of a prefix blocks [yi] augmentation in the unreduplicated forms, because the verb as a whole is at least bisyllabic. The fact that the verb stem is monosyllabic is of no relevance. When reduplication is present, however, [yi] augmentation does occur. It is required to supplement the otherwise

subminimal reduplicant. In (28), constituents subject to prosodic minimality are shown in brackets:

(24) <i>Verb with unreduplicated stem</i>	<i>Verb with reduplicated stem</i>		
[uku-dl-a]	[uku-[dl-a-yi]+dl-a]	‘to eat’	(*uku-yi-dla)
[uku-m-a]	[uku-[m-a-yi]+m-a]	‘to stand’	(*uku-yi-ma)
[uku-lw-a]	[uku-[lw-a-yi]+lw-a]	‘to fight’	(*uku-yi-lwa)
[uku-z-a]	[uku-[z-a-yi]+z-a]	‘to come’	(*uku-yi-za)

The greatest relevance of consonantal roots for our analysis comes from their behavior under suffixation. D-stems consisting of consonantal roots with extension suffixes show a dazzling variety of reduplication possibilities, as illustrated below:

(25) a. uku-dl-a (H)	‘to eat’	uku-[dl-a-yi]+dl-a	
b. uku-dl-el-a	‘to eat for/at’	uku-[dl-el-a]+dl-el-a	(cf. [lim-e]+lim-el-a)
		uku-[dl-a-yi]+dl-el-a	(cf. [lim-a]+lim-el-a)
		uku-[dl-e-yi]+dl-el-a	
c. uku-dl-is-a	‘to feed’	uku-[dl-is-a]+dl-is-a	(cf. [lim-i]+lim-is-a)
		uku-[dl-a-yi]+dl-is-a	(cf. [lim-a]+lim-is-a)
		uku-[dl-i-yi]+dl-is-a	

Again, reduplicants are bracketed. In (25a), a form with no extension suffixes, the input to the reduplicant cophology consists of the consonantal verb root *dl-*, plus freely available empty morphs *-yi* and FV *-a*. As seen, there is only one possible outcome, which is to supplement the consonantal root with both empty morphs, yielding a reduplicant of the shape [dl-a-yi].

In (25b,c), in which the base contains a *-VC-* extension suffix, the same reduplication pattern seen in (25a) is still possible: in the reduplicant, *-yi* supplements the root and final vowel, yielding in both cases [dl-a-yi]. But in addition, two further reduplication possibilities emerge. One possibility is to exhaustively parse the extension suffix, yielding, in (25b), [dl-el-a], and in (25c), [dl-is-a]. The other possibility is to take the vowel from the extension suffix and use *-yi* to supply the remaining syllable, thus [dl-e-yi] and [dl-i-yi].

In summary, the use of *-yi* is on a par with the use of the FV *-a* and material from extension suffixes. Any of these options (singly, or in combination) may be used to supplement a consonantal root and bring a reduplicant to the bisyllabic goal: *-yi*, *-a*, material from extension suffixes.

Our existing analysis needs to be supplemented with a constraint that makes reference to *-yi*. Our intuition is that *-yi* is a morphosyntactically empty morph that can fill an optional position in D-stemRED just like the FV morph *-a*.⁴ In parallel with our treatment of *-a*, for which we proposed the constraint MAX[FV] in (13), we invoke the constraint in (26) which mandates the surfacing of the filler suffix *-yi*.

(26) *MAX(-yi)*

Parse the *-yi* suffix.

Ranked freely with *MAX(FV)* and *MAX(Ext)*, this constraint accounts for the new reduplication patterns we have just seen. In the input in (27), both *-a* and *-yi* are assumed to be present in input, just to illustrate how the constraints pick and choose among daughters of *D-stemRED*:

(27) *Derivation of reduplicants in dlela-dlela, dleyi-dlela, and dlayi-dlela*

dl-el-a-yi	RED= [σσ]	MAX(Root)	MAX(Ext)	MAX(FV)	MAX(-yi)
a. dl-el-a-yi	*!				
☞ b. dl-el-a					*
☞ c. dl-e-yi			*(l)	*	
☞ d. dl-a-yi			** (el)		

The candidate in (27a) loses because it violates the highest-ranked constraint, *RED=[σσ]*. For each of the candidates in (27b–d), there is some ranking of the bottom three constraints such that that candidate will emerge as the winner: candidate (b) wins when *MAX(Ext)*, *MAX(FV)* $\gg$ *MAX(yi)*; candidate (c) wins when *MAX(yi)* $\gg$ *MAX(Ext)* $\gg$ *MAX(FV)*; candidate (d) wins when *MAX(FV)*, *MAX(yi)* $\gg$ *MAX(Ext)*. The analysis correctly predicts that *-yi* will not surface when the root is sufficiently large. Even if *-yi* is present in the input, as indicated in (28), *MAX(Root)* forbids it to supplant root material.

lim-el-a-yi	RED= [σσ]	MAX (Root)	MAX (Ext)	MAX (FV)	MAX (-yi)
☞ a. lim-e+lim-el-a			*(l)	*	*
☞ b. lim-a+lim-el-a			** (el)		*
c. li-yi+lim-el-a		*!(m)	*** (mel)	*	
d. l-a-yi+lim-el-a		*!**(lim)	**** (lime)		

The candidates augmented with *-yi* in (28c,d) are ruled out immediately by *MAX(Root)*, leaving the freely ranked *MAX(Ext)* and *MAX(FV)* to decide between the two attested candidates, (28a,b).

While yielding easily to our analysis, *[yi]*-augmentation poses problems for the canonical stem and word-based accounts of Downing and Steriade. First the redupli-

cant [dla-yi] in (27d) is clearly not an independent word, and is thus problematic for Steriade. [dla-yi] could be considered a canonical stem by Downing, if *-yi* is ignored. However, in (27c) there is no definition of either the canonical stem or the independent word that the truncating reduplicant [dle-yi] would meet. Even if *-yi* is stripped off, what remains behind, namely [dl-e], is not a possible corresponding stem or word, because it contains some but not all of the applicative suffix, and no FV.⁵ It is because our analysis is sensitive to the internal structure of the D-stem, distinguishing roots, extension suffixes, and filler suffixes, that we are able to account for reduplication patterns in which the reduplicant contains material other than what is in the “base,” including cases where it does not correspond to a possible or existing stem or word in the language.

13.3.2 Subminimality and the Macrostem

Before leaving the topic of subminimal roots, we turn to two more sets of data that provide further evidence for the view of reduplication developed in this chapter. The first concerns an alternative realization of reduplicated imperatives of subminimal verbs. Consider the forms in (29).

(29) Bare stem	Imperative	Reduplicated imperative		Cf. from (23)
-dl-a	yi-dl-a	yi-dl-a+yi-dl-a	‘eat!’	dl-a-yi+dl-a
-m-a	yi-m-a	yi-m-a+yi-m-a	‘stand!’	m-a-yi+m-a
-lw-a	yi-lw-a	yi-lw-a+yi-lw-a	‘fight!’	lw-a-yi+lw-a
-z-a	yi-z-a	yi-z-a+yi-z-a	‘come!’	z-a-yi+z-a

This method of reduplicating imperatives differs in two ways from that seen previously. First, augmentative *yi-* is initial, rather than final, in the reduplicant. Second, it also appears in the base.

Similar facts occur in the reduplication of bases with consonantal roots that are suffixed with the perfective *-ile*, which occupies the IFS position, outside the domain of reduplication. As (30a) shows, a prefixed, unreduplicated base of this sort—here, *m-ile*—is not augmentable with [yi]. Yet under reduplication, we find the same two alternatives as in the imperative: either the reduplicant is [yi]-final, and the base is not augmented, as in (30b), or the reduplicant and base are both [yi]-initial (30c).

(30) a. (ba-) m-ile (H)	‘(they) stood’	*(ba)-yi-m-ile
b. (ba-) m-a-yi+m-ile		(single, suffixed <i>-yi</i> in reduplicant)
c. (ba-) yi-m-a+yi-m-ile		(double, prefixed <i>yi-</i> in reduplicant and base)

There are two ways of thinking about the pattern in which both base and reduplicant show initial *yi-* of the type seen in (29) and (30c). One is that the presence of *yi-* is driven by a prosodic requirement on the base, being passively reflected in the

The evidence would appear to favor backcopying in this instance. First, it would be difficult to maintain the base-augmentation analysis, given our earlier finding that prosodic minimality is enforced on reduplicants and on whole words but not otherwise on verb stems, as was schematized in (22). Recall that the evidence for this was the behavior of unreduplicated monosyllabic verb stems, as in (24): these are not supplemented by [yi]. If the stem formed a domain for prosodic minimality, an assumption necessary to maintain the reduplication analysis, then the facts in (29) and (30) would be unexplained.

[illegible]

Unlike prefixal *yi-*, OM_s can be incorporated into the bisyllabic reduplicant, and “backcopied,” even when the consonantal root is followed by extension suffixes. As (32) shows, in such cases, the reduplicant can be brought up to two syllables by incorporating either the OM, the extension suffix, the FV *-a* (or both), or suffixal *-yi* can be used to bring the reduplicant up to two syllables:

- | | | | | | |
|---------|--------------------|----------------------------|--------------------------|-----------------------|----------------------|
| (32) a. | uku-zi-dl-el-a (H) | ‘to eat
them
for/at’ | uku-zi-[dl-el-a]+dl-el-a | (OM not reduplicated) | |
| | | | uku-zi-[dl-a-yi]+dl-el-a | | |
| | | | uku-zi-[dl-e-yi]+dl-el-a | | |
| | | | uku-[zi-dl-a]+zi-dl-el-a | | (OM is reduplicated) |
| | | | uku-[zi-dl-e]+zi-dl-el-a | | |
| b. | uku-zi-dl-is-a (H) | ‘to feed
them’ | uku-zi-[dl-is-a]+dl-is-a | (OM not reduplicated) | |
| | | | uku-zi-[dl-a-yi]+dl-is-a | | |
| | | | uku-zi-[dl-i-yi]+dl-is-a | | |
| | | | | | |

- uku-[zi-dl-a]+zi-dl-is-a (OM is reduplicated)
 uku-[zi-dl-i]+zi-dl-is-a
 c. uku-zi-thum-a (H) ‘to send uku-zi-[thum-a]+thum-a (OM not reduplicated)
 them’ *uku-[zi-thu]+zi-thum-a

As seen in the unacceptable form **uku-zi-[yi-dl-a]+yi-dl-a* (intended: ‘to eat them’), the only option for fleshing out the reduplicant that is not available in these verbs with OM is prefixal *yi-*. Prefixal *yi-* is in complementary distribution with OM. We thus add the OM, if present in the verb, to the list of options for fleshing out the bisyllabic D-stemRED constituent:

(33) *Potential daughters of D-stemRED*

- a. Root
- b. Extension suffixes
- c. FV *-a*
- d. Suffixal *-yi*
- e. Prefixal *yi-* Entails backcopying; in complementary distribution with OM
- f. OM Entails backcopying; in complementary distribution with prefixal *yi-*

Note in (32c) that the OM cannot appear in reduplicants whose root is CVC or longer (cf. the correct output, *uku-zi-thuma-thuma*, where the OM *zi-* is not included in the bisyllabic reduplicant, *thum-a*). This follows from our existing analysis. Since reduplicants are required by the high-ranking MAX(Root) to exhaust the first CVC portion of the root, in such a case there is no room for any affixes in the reduplicant:

(34)

zi-thum-a	[σσ]	MAX(Root)
a. zi-thum-a+thum-a		
b. zi-thu+zi-thum-a		*!(m)

At this point in the analysis, three questions arise: Why are OM in complementary distribution with prefixal *yi-*? Why are only OM and prefixal *yi-* “backcopied” to the base? What is the morphological analysis of backcopying? Our analysis is the following. First, we propose that OM and prefixal *yi-* occupy the same morphological “slot.” They are prefixes that attach to D-stems to create a constituent that Bantuists refer to as the Macrostem (M-stem):

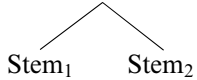
- (35)
- ```

 M-stem
 / \
 Prefix D-stem where Prefix = OM or yi-

```

Prefixal *yi-* may be semantically empty, but has a morphological effect nonetheless: constituents containing it must be of the level M-stem.<sup>6</sup>

Given the structure in (35), our next step is to generalize the reduplication construction. In addition to consisting of juxtaposed D-stems, we now allow the R-stem to consist of juxtaposed M-stems. The juxtaposed stems in the reduplication construction must still agree in every feature—including morphological category (D-stem versus M-stem).

- (36)  where Stem<sub>1</sub> and Stem<sub>2</sub> can be either D-stems or M-stems, M-stems so long as they agree in every morphological feature (including category)

We can now understand why it is the prefixal supplements to the reduplicant that induce backcopying: they induce it by requiring the reduplicant to be an M-stem. Due to the highly agreeing nature of the reduplication construction, if the reduplicant is an M-stem, the base must be as well—and when the base is an M-stem, it of course must have a prefix. Once it is established that both reduplicant and base must be M-stems, it is a simple matter of morphosyntactic feature checking to ensure that they each have the same prefix. Thus, OM doubling is not in fact backcopying, but rather simple reduplication.

The components involved in the apparent backcopying of an OM are recapitulated as follows. First, the morphology allows a free choice of M-stem or D-stem reduplication constructions. Second, if the M-stem construction is chosen, the obligatory prefix (an OM or *yi-*) is parsed into reduplicant. And finally, morphosyntactic feature agreement between reduplicant and base ensures that reduplicant and base end up with the same prefix. The M-stemRED cophology is the same as the D-stemRED cophology, with one exception: with the M-stemRED cophology MAX(Pfx) and MAX(Root) are inviolable. The inviolable nature of both constraints means that if the root is longer than C, the M-stem reduplication construction cannot be used. This is a correct prediction.<sup>7</sup>

In summary, we have examined further data that confirm our claim that the reduplicant is morphologically complex. We have shown that the truncation-agreement analysis of reduplication can extend nicely to these new data, including to the apparent “backcopying” phenomena that results when the reduplicant is prefixed.

By contrast, the canonical stem and corresponding word analyses of Downing and Steriade have little to say about these data. Certainly reduplicants with initial *yi-* (e.g., *yi-dl-a*) are not “canonical stems,” nor are the truncated reduplicants with initial *zi-* (e.g., *zi-dl-i*, *zi-dl-a*) possible words. We next consider imbricated verb stems, which provide further support for our analysis.

### 13.4 Imbrication

In this section we consider the reduplication of verb stems that have undergone imbrication, a special fusion process triggered by the perfective suffix *-ile*.

Whereas most CVC roots add *-ile* in the perfective, as seen to the right in (37a),

|         |                    |                         |              |                                  |
|---------|--------------------|-------------------------|--------------|----------------------------------|
| (37) a. | lim-a              | ‘cultivate’             | ba-lim-ile   | ‘they cultivated’                |
|         | thum-a (H)         | ‘send’                  | ba-thum-ile  | ‘they sent’                      |
|         | b. bonakal-a (H/L) | ‘appear,<br>be visible’ | ba-bonakel-e | ‘they appeared,<br>were visible’ |
|         | dumal-a (H/L)      | ‘become<br>depressed’   | ba-dumel-e   | ‘they became<br>depressed’       |
|         | thath-a (H)        | ‘take’                  | ba-theth-e   | ‘they took’                      |

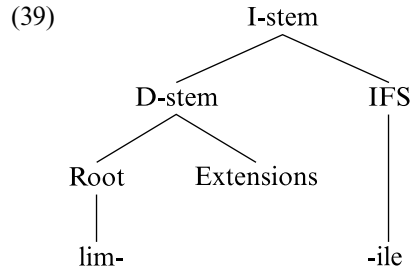
others such as those in (37b) instead fuse or “imbricate” the *-il-* part of the perfective ending *-ile*. In these examples, the penultimate root vowel [a] is realized as [e], and the stem also ends in the final vowel *-e*.<sup>8</sup> The question is how the “umlaut” in (37b) affects reduplication.

As seen in (38a),

|         |                     |                         |                                                                 |
|---------|---------------------|-------------------------|-----------------------------------------------------------------|
| (38) a. | ba-bonakel-e (H/L)  | ‘they appeared’         | ba-bona+bonakel-e                                               |
|         | b. ba-dumel-e (H/L) | ‘they became depressed’ | ba-duma-dumele<br>ba-dume-dumele                                |
|         | c. ba-theth-e (H)   | ‘they took’             | ba-thath-a+theth-e<br>ba-theth-a+theth-e<br>*ba-theth-e+theth-e |

since the reduplicant is bisyllabic, imbrication has no effect on the reduplicant when the umlauted penultimate vowel does not occur in one of the first two syllables of the stem. In (38b), however, where imbrication affects the second vowel of the root *dumal-*, converting it to *dumel-*, we see that the reduplicant can be either *duma-* or *dume-*. Similarly, in (38c), where imbrication converts the root *thath-* to *theth-*, there are again two possible reduplicants: *thatha-* and *thetha-*. The reduplicant *thethe-*, on the other hand, is not grammatical. The correct observation is that the “umlaut” triggered by the perfective can be reduplicated, while the final *-e* of the perfective cannot be.

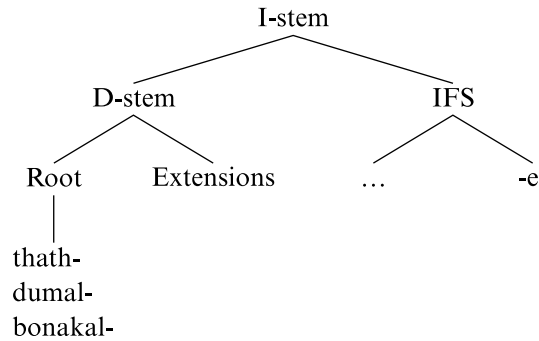
Note in the case of *a-duma+dumel-e* in (38b), that the reduplicant *duma-* is not an existing minimal canonical stem. So it cannot be the case that the sequence *-ele* of *-dumele* is simply being truncated. Instead, to account for the perfective, we recognize two “alloconstructions.” The first, schematized in (39), shows the nonimbricating alternate:



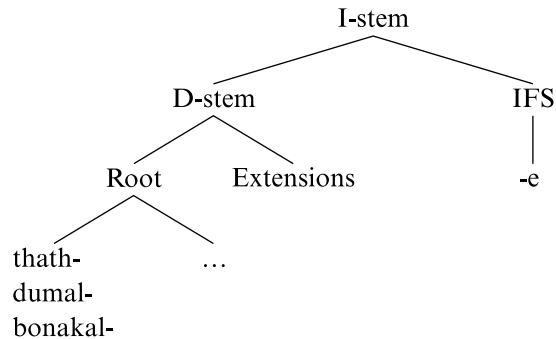
As shown, the nonimbricating *-ile* suffix is unambiguously in the IFS position, hence unavailable for reduplication.

Now consider the analysis of perfectives marked by *-e* with umlaut.<sup>9</sup> The question is where the umlauting feature (...) should be within the morphosyntactic structure, since it optionally appears in the reduplicants in (38b,c). Our view is that Ndebele speakers themselves are not sure where to assign the umlaut, and hence have the two competing analyses, schematized in (40).

(40) a. *Imbricating perfective: Umlaut analyzed to be part of IFS*



b. *Imbricating perfective: Umlaut analyzed to be part of D-stem (e.g., root)*



In (40a), the umlaut is assigned to the IFS, identically to *-ile* in (39), from which it clearly derives historically. In (40b), however, the umlaut is seen as an internal mod-

ification on the morpheme to which it attaches—here, the root.<sup>10</sup> If assigned the structure in (40a), the umlaut will not be realized in the reduplicant, which bars the realization of inflectional features. If assigned the structure in (40b), the umlaut will be obligatorily realized because of the high-ranking constraint MAX(Root). In neither case will the final suffix *-e* make it into the reduplicant, since it is unambiguously an IFS.

Finally, for the sake of completeness, note in (41) that reduplicated verbs optionally are exempt from imbrication even when their nonreduplicated forms must imbricate:

- (41) a. ba-bona+bonakal-ile (H/L) ‘they appeared’ \*ba-bonakal-ile (cf. (38a))  
 b. ba-duma+dumal-ile (H/L) ‘they became depressed’ \*ba-dumal-ile (cf. (38b))  
 c. ba-thath-a+thath-ile (H) ‘they took’ \*ba-thath-ile (cf. (38c))

We hypothesize that these forms arise in the following way. First, we note that imbrication is not automatic in Ndebele. For example, of the 148 CaCaC- verb roots in the Comparative Bantu On-Line Dictionary (CBOLD) version of Pelling (1971), 39 obligatorily undergo imbrication and 24 do so optionally, while 85 may not imbricate. Roots (and extension morphemes such as the reciprocal *-an-*) will therefore have to carry lexical marking for imbrication. This lexical marking only optionally percolates up to the R-stem level. When it is present, the appropriate match with the IFS *-e* will be made. When it is absent, *-ile* must be used.

To summarize, with this last assumption, as well as the two “alloconstructions” in (38), imbrication supports the analysis we have developed thus far. Reduplication is juxtaposition of morphologically identical stems (either M-stems or D-stems), with the first subject to a bisyllabic size condition.

### 13.5 Palatalization

In the last two sections we have established that affixal material in the D-stem may surface in the reduplicant only when the verb root has been exhaustively parsed. We now consider passivized forms, which further support this conclusion and make more precise our proposals about the internal structure of the reduplicant.

In (42a) we see that the passive is derived by means of the derivational suffix *-w-*, here occurring directly after the root:

- (42) a. bal-a ‘read’ bal-w-a ‘be read’  
       bik-a (H) ‘announce’ bik-w-a ‘be announced’  
       phek-a ‘cook’ phek-w-a ‘be cooked’  
   b. boph-a (H) ‘tie’ botsh-w-a ‘be tied’  
       bumb-a (H) ‘mold’ bunj-w-a ‘be molded’  
       thum-a (H) ‘send’ thuny-w-a ‘be sent’

The examples in (42b) show that this *-w-* palatalizes an immediately preceding labial consonant. However, as the examples in (43) show, palatalization is not restricted to the immediately preceding consonant, but can target any preceding labial, as long as it is not the first consonant in the constituent:<sup>11</sup>

- |      |                |                      |                |                |
|------|----------------|----------------------|----------------|----------------|
| (43) | gombolozel-a   | ‘encircle, surround’ | gonjolozel-w-a | ‘be encircled’ |
|      | vumbulul-a (H) | ‘uncover, unearth’   | vunjulul-w-a   | ‘be uncovered’ |
|      | fumbath-a (H)  | ‘clench (hand)’      | funjath-w-a    | ‘be clenched’  |

Like the imbricating alternant of the perfective, we follow Sibanda 2004 in analyzing the passive as having two phonological components. Basing himself on Zoll 1996, Sibanda characterizes these as subsegments, one palatalizing (call it  $\pi$ ) and the other labializing (call it  $\omega$ ). The palatalizing component attaches, potentially over some distance, to a preceding labial consonant and changes its place of articulation to palatal. The labializing component attaches to the immediately preceding consonant and gives it a labial offglide.

Now consider the possible reduplicated forms of the verbs in (44).

- |         |            |            |           |                |                                                               |
|---------|------------|------------|-----------|----------------|---------------------------------------------------------------|
| (44) a. | bal-a      | ‘read’     | bal-w-a   | ‘be read’      | bal-w-a+bal-w-a<br>bal-a+bal-w-a                              |
|         | bik-a (H)  | ‘announce’ | bik-w-a   | ‘be announced’ | bik-w-a+bik-w-a<br>bik-a+bik-w-a                              |
|         | phek-a     | ‘cook’     | phek-w-a  | ‘be cooked’    | phek-w-a+phek-w-a<br>pek-a+phek-w-a                           |
| b.      | boph-a (H) | ‘tie’      | botsh-w-a | ‘be tied’      | botsh-w-a+botsh-w-a<br>botsh-a+botsh-w-a<br>*boph-a+botsh-w-a |
|         | bumb-a (H) | ‘mold’     | bunj-w-a  | ‘be molded’    | bunj-w-a+bunj-w-a<br>bunj-a+bunj-w-a<br>*bumb-a+bunj-w-a      |
|         | thum-a (H) | ‘send’     | thuny-w-a | ‘be sent’      | thuny-w-a+thuny-w-a<br>thuny-a+thuny-w-a<br>*thum-a+thuny-w-a |

In each case the *-w-* may or may not appear in the reduplicant, exactly as we expect of a derivational suffix. However, any labial palatalization induced by the passive obligatorily surfaces in the reduplicant (cf. the ungrammaticality of *\*thum-a+thuny-w-a*, etc.).

The same facts are obtained when the final vowel is other than *-a*—for example, subjunctive *-e* in (45).

- |         |         |                         |                                  |
|---------|---------|-------------------------|----------------------------------|
| (45) a. | bal-w-e | ‘be read’ (subjunctive) | bal-w-a+bal-w-e<br>bal-a+bal-w-e |
|         | bik-w-e | ‘be announced’          | bik-w-a+bik-w-e<br>bik-a+bik-w-e |

|              |             |                     |
|--------------|-------------|---------------------|
| phek-w-e     | ‘be cooked’ | phek-w-a+phek-w-e   |
|              |             | pek-a+phek-w-e      |
| b. botsh-w-e | ‘be tied’   | botsh-w-a+botsh-w-e |
|              |             | botsh-a+botsh-w-e   |
|              |             | *boph-a-botsh-w-a   |
| bunj-w-e     | ‘be molded’ | bunj-w-a+bunj-w-e   |
|              |             | bunj-a+bunj-w-e     |
|              |             | *bumb-a+bunj-w-e    |
| thuny-w-e    | ‘be sent’   | thuny-w-a+thuny-w-e |
|              |             | thuny-a+thuny-w-e   |
|              |             | *thum-a+thuny-w-e   |

Treating the passivizing  $\pi$  and  $\omega$  as subsegments under the D-stem parallels our analysis of the umlauting subsegment ... that results in imbrication, as discussed earlier. Two important differences subdivide this set of subsegments, however. First, while  $\omega$  and ... are constrained to appear on the immediately preceding segment (the preceding consonant and /a/, respectively),  $\pi$  is permitted to find suitable hosts anywhere within the D-stem. That is,  $\omega$  and ..., but not  $\pi$ , are subject to morphological adjacency (alignment), whereby the output segment on which it surfaces must correspond to the rightmost C or V of the immediately preceding morpheme in the input. This condition draws support from the data in (46), in which the root is longer than CVCV and must therefore be truncated in the reduplicant.

|         |                |                      |                       |
|---------|----------------|----------------------|-----------------------|
| (46) a. | gombolozel-a   | ‘encircle, surround’ | gombo+gombolozel-a    |
|         | vumbulul-a     | ‘uncover, unearth’   | vumbu+vumbulul-a      |
|         | fumbath-a      | ‘clench (hand)’      | fumba+fumbath-a       |
| b.      | gonjolozel-w-a | ‘be encircled’       | gonjo+gonjolozel-w-a  |
|         | vunjulul-w-a   | ‘be uncovered’       | vunju+vunjulul-w-a    |
|         | funjath-w-a    | ‘be clenched’        | funja+funjath-w-a     |
|         |                |                      | *funj-w-a+funjath-w-a |

Although these are passive stems, passive  $\omega$  is not able to surface in the reduplicant. This follows straightforwardly from adjacency: the final consonant of the root does not surface in the reduplicant, and therefore, by the terms of adjacency, the passive -w- cannot surface either.

As a control, the data in (47) show that labialization that is not from the passive morpheme must be preserved under reduplication:

|         |              |                         |                    |                    |
|---------|--------------|-------------------------|--------------------|--------------------|
| (47) a. | yejwayel-a   | ‘get accustomed to’     | yejwa+yejwayel-a   | *yeja+yejwayel-a   |
|         | yejwayelek-a | ‘become customary’      | yejwa+yejwayelek-a | *yeja+yejwayelek-a |
|         | yejwayez-a   | ‘accustom’              | yejwa+yejwayez-a   | *yeja+yejwayez-a   |
|         | yenway-a     | ‘scratch an irritation’ | yenwa+enway-a      | *yena+yenway-a     |

|    |               |                          |                  |                   |
|----|---------------|--------------------------|------------------|-------------------|
| b. | yenwab-a      | 'be happy'               | yenwa+yenwab-a   | *yena+yenwab-a    |
|    | ~wonwab-a     | 'be happy'               | wonwa+wonwab-a   | *wona+wonwab-a    |
| c. | qwaqwaz-a     | 'click (stick on stick)' | qwaqwa+qwaqwaz-a | *qwaqa+qwaqwazw-a |
|    | tshwatshwaz-a | 'make hissing noise'     | tshwatshwa+      | *tshwatsha+       |
|    |               |                          | tshwatshwaz-a    | tshwatshwaz-a     |

It is not optional to copy only the C portion of a tautomorphemic Cw structure.<sup>12</sup>

The second dimension of difference among the three subsegments is why imbricating ... and passivizing  $\omega$  are only optionally parsed in the reduplicant, while passivizing  $\pi$  must surface (assuming, of course, that a suitable host exists in the output). The approach taken to imbrication in section 13.4 readily provides an explanation. Optionality in the case of ... was said to result from the availability of the two allo-constructions in (40): when ... is taken to be part of the IFS, it cannot appear in the reduplicant. When it is taken, instead, to belong to the same constituent as the segment on which it is realized (i.e., the root), it must be parsed into the reduplicant. In the passive case, there is only one construction: when a root labial is palatalized,  $\pi$  belongs to the root and hence must appear in the reduplicant because of high-ranked MAX(Root).

To recapitulate what we have said about the passive thus far,  $\pi$  obligatorily appears in the reduplicant because it is parsed with the root on which its palatalizing effect is realized. On the other hand,  $\omega$  was said to optionally occur in the reduplicants in (44) and (45) because it is a derivational affix that can be truncated as in the case of applicative *-el-* and causative *-is-*. However, close inspection of more morphologically complex forms shows that additional, and more interesting, conditions hold on the surfacing of the passive  $\omega$  subsegment.

Consider in particular the passivized applicative stems in (48). The applicative extension suffix *-el-* occurs between the root and the passive suffix. Note the expected long-distance action of the palatalizing component  $\pi$  of the passive in the nonreduplicated stems in (48b):

|         |               |                   |              |                       |
|---------|---------------|-------------------|--------------|-----------------------|
| (48) a. | bal-el-a      | 'read for/at'     | bal-el-w-a   | 'be read for/at'      |
|         | bik-el-a (H)  | 'announce for/at' | bik-el-w-a   | 'be announced for/at' |
|         | phek-el-a     | 'cook for/at'     | phek-el-w-a  | 'be cooked for/at'    |
| b.      | boph-el-a (H) | 'tie for/at'      | botsh-el-w-a | 'be tied for/at'      |
|         | bumb-el-a (H) | 'mold for/at'     | bunj-el-w-a  | 'mold for/at'         |
|         | thum-el-a (H) | 'send for/at'     | thuny-el-w-a | 'be sent for/at'      |

Up to now we have not seen a case in which two semantically contentful suffixes jockey for a position in the reduplicant. Which will take precedence? Will there be free variation, as we have seen between extension suffixes and the "filler" morphs [yi] and [a]? The forms in (49) show the reduplicated versions of the passivized applicatives in (48). As seen earlier in the unextended stems, the palatization component  $\pi$  of the passive surfaces in the reduplicant, while the labial component  $\omega$  is optional:

- Note that when the passive  $\omega$  does surface in these reduplicants, it surfaces on a segment to which it is not adjacent in the input, in apparent violation of the ADJACENCY constraint proposed earlier. That is, it appears that a mapping such as that in (50) is occurring: the passive is surfacing not on the final segment of the immediately preceding morpheme, but on the final segment of the morpheme before that. The applicative suffix is being skipped over, even though it is closer to the beginning of the stem and (under standard assumptions about multiply extended D-stems) forms a closer constituent with the root than the passive does:

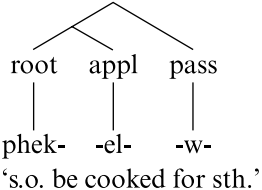
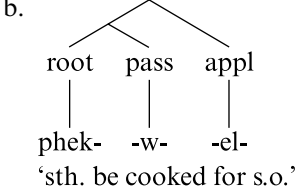
- 
- Diagram illustrating the morphological structure of the word *phelwa* (D-stemRED). The structure shows the root *ph e k* and the extension *e l* branching into syllables ( $\sigma$ ), and the passive marker *wa* branching into syllables ( $\sigma$ ).

Is ADJACENCY violable in Ndebele? We suggest that it is not. Rather, we contend that the appearance of “at-a-distance” linking of passive  $\omega$  in (50) is illusory, and that the applicative is not in fact being skipped over in these cases.

To appreciate this contention, consider the data in (51), which serve as background to a previously undetected morphological condition on the surfacing of passive  $\omega$  in reduplicants. The verbs in both sentences in (51) contain the sequence *-el-w-*, composed of applicative and passive extension suffixes:

- (51) a. abantwana b-a-phek-el-w-a                      ukudla  
           children    they-PAST-COOK-APPL-PASS food  
           ‘The children were cooked food’  
       b. ukudla kw-a-phek-el-w-a                      abantwana  
           food    it-PAST-COOK-APPL-PASS children  
           ‘The food was cooked (for) the children’

Although possessing the same surface morphs in the same linear order, these verb stems realize two different argument structures. The sentence in (51a) is a passivized applicative, and its verb has the argument structure in (52a).

- (52) a.  b.  c.  $\rightarrow$  -el-w-

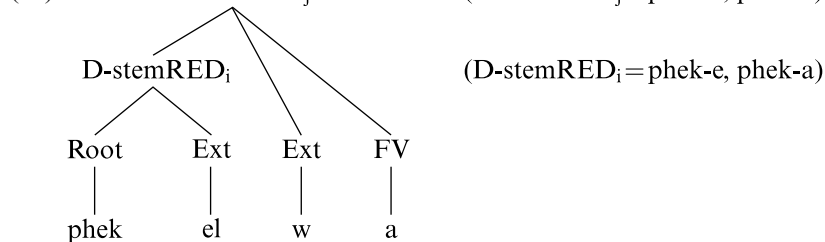
This morphological structure and this order of the suffixes is what is expected from the scope relations or “mirror principle” (Baker 1985; Alsina 1999; Hyman and Mchombo 1992; Hyman 1994a). The sentence in (51b), on the other hand, is an applicativized passive, and its verb has the argument structure in (52b). In this case, by the mirror principle, the order of the morphs is expected to be passive *-w-* followed by applicative *-el-*. However, in Ndebele, as in most Bantu languages, the applicative cannot follow the passive.<sup>13</sup> Hence, a number of linguists, particularly of the Tervuren school inspired by Meeussen (1967), have proposed rules that meta-thesize morphs, as when (52b) is transformed into (52c). It is thus not the surface order of the morphs that interests us, but rather the underlying morphosyntactic structure.

Given this, now consider how the verbs in (51) reduplicate:

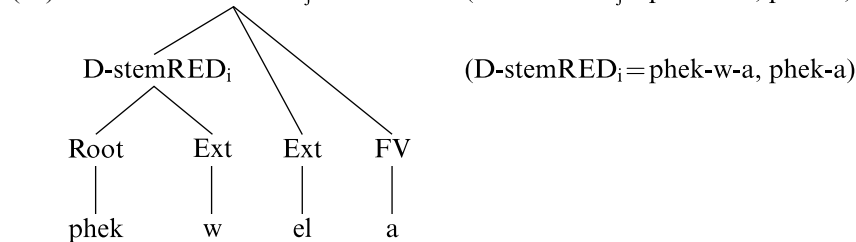
- (53) a. abantwana    b-a-phek-e+phek-el-w-a                      ukudla                      ‘The children  
                                  b-a-phek-a+phek-el-w-a                                              were cooked  
                                  \*b-a-phek-w-a+phek-el-w-a                                              food’  
       b. ukudla                      kw-a-phek-e+phek-el-w-a                      abantwana                      ‘Food was  
                                  kw-a-phek-a+phek-el-w-a                                              cooked for the  
                                  kw-a-phek-w-a+phek-el-w-a                                              children’

The reason for this surprising discrepancy jumps out once one compares the argument structures of the verb stems in (52): the passive surfaces under reduplication only if it is sister to the root. Thus, *phək-w-a+* is well-formed as the reduplicant of *-phək-el-w-a* only if the subtree root+passive occurs in the base to which it corresponds, as in (52b). We conclude that reference to internal morphosyntactic structure is critical to determining the well-formedness of reduplicants.

(54) D-stemRED<sub>j</sub> (D-stemRED<sub>j</sub>=phek-e, phek-a)



(55) D-stemRED<sub>j</sub> (D-stemRED<sub>j</sub>=phek-w-a, phek-e, phek-a)



As seen, both *phək-w-a* and *phək-a* can be spelled out as the reduplicant at both the D-stemRED<sub>j</sub> and D-stemRED<sub>i</sub> levels, while *phək-e* can result only as the realization of D-stemRED<sub>j</sub>. Note that *phək-w-e* is not a possible reduplicant, since the morphotactics prohibit the [e] of applicative *-el-* from following passive *-w-* on the surface.

As seen, in the D-stemRED cophology in both (54) and (55), copying of labialization is optional, but ADJACENCY is always respected. Thus the passive *-w-* can, but need not, be parsed at the lowest D-stem RED node, where the segment to which it would be adjacent in output ends the immediately preceding morpheme in the input.

Observe that the branching structure we hypothesize for the Bantu verb stem, both “base” and “reduplicant,” is tantamount to a cyclic analysis. Constraints are enforced on every incarnation of a D-stem (or M-stem), regardless of whether it is a daughter or a mother in the verb structure. This effect is predicted by the theory of Sign-Based Morphology (Orgun 1996) and is particularly evident in cases where one valency demands one versus another order of suffixation. In the example in (56a), for instance, the verb *fik-a* ‘come’ is intransitive:

- (56) a. *fik-a* ‘come’  
       b. *fik-is-a* ‘make come’  
       c. *fik-is-w-a* ‘be made to come’

In (57b), *fik-is-a* ‘make come’ is made transitive by the causative suffix *-is-*, and, as a result, can be passivized as in (57c). Now note the possible reduplications of (56c) in (57a).

- (57) a. *fik-i+fik-is-w-a*  
       *fik-a+fik-is-w-a*  
       b. *\*fik-w-a+fik-is-w-a*

As seen, the reduplicant consists of the root *fik-* plus either the [i] of the causative suffix *-is-* or the default FV *-a*. In (57b) we see that it is not possible to parse the passive *-w-* in the reduplicant because this would imply that *fik-* ‘come’ had become passivized in the first cycle—which is, of course, not the case, since the verb is intransitive. This effect is automatically obtained from the approach taken here: rather than predicting the properties of the reduplicant by reference to the surface output of the corresponding base, the full range of facts is accounted for by attributing to the D-stemRED the full morphosyntactic structure of the base. This morphosyntactic structure is in turn spelled out in the normal way, subject to the cophology of the reduplicant—for example, the two-syllable constraint. Most interesting is the role played by this constraint in producing reduplications such as *phek-w-a+phek-el-w-a* in (55). While a superficial comparison of base and reduplicant suggests a violation of ADJACENCY, it is the underlying structure in (55) combined with [σ σ] that make the reduplicant *phek-w-a+* possible. Because of the prosodic constraint, both *-w-* and *-el-* cannot be parsed. In addition, *\*phek-w-e+* is not a possible reduplicant because the passive morph *-w-* is not allowed to precede the applicative morph *-el-* (or its subpart, as *-e* would be in this case). The reduplicant *phek-w-a+* is possible because it respects the hierarchical morphosyntactic structure in (55), and, crucially,

because there is no requirement that the feature *APPLICATIVE* be spelled out in the reduplicant. If that option is taken, however, then the only possible grammatical output is *phek-e+phek-el-w-a*, which more closely resembles the surface order of the *-el-w-* sequence.

Examples of this sort can be produced with respect to other suffixes and other morphosyntactic situations. Ndebele thus provides strong evidence that the potential spell-outs of a reduplicant are governed by more than superficial resemblances with its base—and, once again, that as concerns the Bantu verb stem, morphology must proceed in a cyclic fashion (Hyman 1994b).

### 13.6 Conclusion

At the outset of this study, we characterized the study of partial reduplication as having as its goal to construct a theory that insightfully captures the full range of considerations that speakers “care about” in determining how a reduplicant will relate to its base. What has the study of Bantu reduplication contributed toward this goal?

Certainly one very important contribution has been made in the work of Downing (1997a, etc.), in recognizing the important role that morphological structure plays in Bantu reduplication, which, therefore, is not solely a prosodic phenomenon. Mutaka and Hyman (1990) showed for Kinande the role that morphological considerations can play, because morpheme integrity can inhibit prosodic templatic effects in certain languages. Downing went further and showed the role that morphological constituent structure plays, noting effects of the derivational stem constituent on Bantu reduplication. In this chapter we have taken this important insight a step further, finding that not only the D-stem as such but also its internal constituents—root, extension suffixes, and filler suffixes—play a role in defining the variety of shapes of the Ndebele reduplicant. In making reference to D-stems and their constituents, the “canonical stem” shape to which Downing observed most reduplicants to adhere can actually be derived, rather than stipulated. This is an especially desirable outcome in Ndebele, where not all reduplicants are canonical stems in any case.

In fact, the comparative study of verb reduplication in Bantu shows that the phonological and morphological constraints on the reduplicant can be independently controlled to produce most of the possible combinations. In our introduction we cited Eulenberg 1971 as expressing the view that partial reduplication derives from total reduplication—that is, by phonological and morphological paring down of the full base. Diachronic evidence for this position is quite clear as concerns the Bantu verb stem. Numerous Bantu languages require full verb-stem reduplication including all extensions and the IFS—for example, Luganda *lim-il-agan-a+lim-il-agan-a* ‘cultivate for each other here and there’, and its subjunctive, *lim-il-agan-e+lim-il-agan-e*.

It is not difficult to explain why this original state of total reduplication should become modified over time: it is hardly necessary to repeat the whole verbal I-stem in order to convey the aspectual idea that the action is done a little here and there. It is therefore possible to economize and limit the reduplicant to a subpart of the base. This can be done either phonologically and/or morphologically, as we have seen. Historical developments can follow one or both of the following scales:

- (58) a. *Phonological scale*  
           full > foot > syllable (> mora > gemination)  
       b. *Morphological scale*  
           full > derivational stem > root

The phonological scale consists of a gradual narrowing down of the reduplicant to fit a prosodic template, frequently a bisyllabic foot. The morphological scale consists of first restricting the reduplicant to derivational material, and ultimately to root material. As is known from Kikerewe (Odden 1996; Downing 1997b), there can be “intermediate” stages. In this language, a verb that has two or more extensions can be reduplicated fully, or can copy zero, one or more of these extensions—for instance, *lim-il-an+a* ‘cultivate for each other’ can reduplicate as *lim-il-an-a+lim-il-an-a*, *lim-il-a+lim-il-an-a*, or *lim-a+lim-il-an-a*.

Restricting ourselves to verb-stem reduplication in Bantu, the specific choices speakers make can be listed as parameter settings, as in (59).

- (59) a. *Phonology*  
       i. Size constraint: yes/no (1, 2 σ's)  
       ii. Tone transfer: yes/no<sup>14</sup>  
       iii. Length transfer: yes/no  
       b. *Morphology*  
           i. IFS: yes/no  
           ii. OM: yes/no  
           iii. FV = -a: yes/no  
           iv. MAX(Root): yes/no

Concerning the morphological parameters, we hypothesize that copying the OM is not original. Rather, verb reduplication was originally limited to the I-stem. Reduplication of the OM is thus a subsequent development motivated by two separate phonological conditions. The first, seen in Ndebele, occurs when the verb stem is monosyllabic and the OM is copied in order to fill out the bisyllabic foot condition on the reduplicant.<sup>15</sup> This is one of three strategies that have been noted to fill out a [σ σ] condition on the reduplicant: (i) “move up” to M-stem and copy the OM, if there is one (e.g., Ndebele class 10 *zi-*); (ii) fill with a “dummy” syllable (e.g., Ndebele *yi-*); (iii) double reduplicate the monosyllabic stem (e.g., *sw-a-sw-a+sw-a* ‘grind here and there’ in Kinande) (Mutaka and Hyman 1990).

Turning now to the tendency for the reduplicant to end in *-a*, we note, as does Downing (1997c, etc.), that this is the most frequent verb ending in most Bantu languages. However, rather than seeing this as an indication of a special “canonical verb stem,” we believe it is necessary to have a Bantu-specific constraint FV[-a], whose effects are, in fact, not restricted to verb reduplication—or even verbs—in Bantu.<sup>16</sup> There is in fact considerable evidence that *-a* is the default stem-final vowel, whether its function is inflectional or derivational, and whether applying to nouns or verbs.

First, it is true, as Downing points out, that *-a* is used as an inflectional default FV in many Bantu languages. In Giphende, for example, the recent past tense is marked by the IFS *-i* if immediately preceded by a CV-, CVC- or CGVC- verb root, as in (60a,b,c) respectively:<sup>17</sup>

- (60) a. tw-a-mb-í            ‘we put’  
          tw-a-y-í            ‘we went’  
       b. tw-a-som-í           ‘we loaded (a gun)’  
          tw-a-meng-í        ‘we detested’  
       c. tw-a-kwec-í        ‘we tied’  
          tw-a-mwang-í       ‘we scattered’  
       d. tw-a-vumbíg-á      ‘we buried’  
          tw-a-digím-á       ‘we were afraid’  
       e. tw-a-som-él-á      ‘we loaded for/at’  
          tw-a-som-és-á      ‘we made load’  
       f. tw-a-mb-él-á      ‘we put for/at’  
          tw-a-mb-és-á      ‘we made put’

In (60d) we see that when the stem consists of three full syllables, the IFS must be *-á*. In these examples the root is morphologically unanalyzable. In (60e), where a -CVC- root is followed by a -VC- extension, the same *-á* is required. Finally, in (60f), where the first stem syllable consists of a CV- root which has fused with the V of a -VC- extension, we also must get the default FV *-á* rather than *-i*. The generalization is, therefore, that *-i* can occur only within the second syllable of a verb stem whose base is morphologically simplex. Otherwise the recent past tense reverts to default *-á*.

It is clear from such evidence that the use of the FV *-a* is logically independent of reduplication. We also suggest that it is independent of the bisyllabic CVC-a shape that Downing has referred to as the “minimal canonical stem.” To see this, consider the following forms from Lengola (Stappers 1971, 268), where verb-stem reduplication marks the habitual:

- (61) a. i-kul-a            ‘acheter’        i-kul-a+kul-a  
          i-ḡon-a           ‘regarder’      i-ḡon-a+ḡon-a  
          i-túm-a           ‘envoyer’       i-túm-a+tum-a  
          i-límb-a          ‘chanter’        i-límb-a+limb-a

|    |          |           |               |
|----|----------|-----------|---------------|
| b. | i-kpet-a | ‘couper’  | i-kp-a+kpet-a |
|    | i-ki-a   | ‘faire’   | i-k-a+ki-a    |
|    | i-gbok-a | ‘trouver’ | i-gb-a+gbok-a |
| c. | i-ḃí-a   | ‘manger’  | i-ḃ-â+ḃi-a    |
|    | i-ḃî-a   | ‘parler’  | i-ḃ-â+ḃi-a    |
|    | i-ḃám-a  | ‘crier’   | i-ḃ-â+ḃam-a   |

In (61a) we observe full root+FV reduplication (preceded by the infinitive prefix *i-*). However, Stappers also indicates that verbs such as those in (61b,c) form their habitual by means of a [Ca] reduplicant. The most straightforward analysis is that in these forms D-stemRED is limited to one syllable (versus the more usual two) and must end in *-a*.

A similar conclusion is drawn from gerundive reduplication in Lomongo (Hulstaert 1965):

| (62) | <i>Verb</i>          | <i>Gerundive</i>         |                                             |
|------|----------------------|--------------------------|---------------------------------------------|
|      |                      | <i>Bombwanja dialect</i> | <i>Coquilhatville and Northern dialects</i> |
|      | -lík- ‘lancer’       | n-dí+lík-a               | n-d-á+lík-a                                 |
|      | -léng- ‘duper’       | n-dé+léng-a              | n-d-á+léng-a                                |
|      | -kéng- ‘être vivant’ | n-ké+kéng-a              | n-k-á+kéng-a                                |
|      | -kúk- ‘couvrir’      | n-kú+kúk-a               | n-k-á+kúk-a                                 |
|      | -tól- ‘insulter’     | n-tó+tól-a               | n-t-á+tól-a                                 |
|      | -tók- ‘piler’        | n-tó+tók-a               | n-t-á+tók-a                                 |

In this case of verb reduplication, the reduplicant again consists of a single CV syllable. In Bombwanja dialect, the CV corresponds to the initial CV of the base. In Coquilhatville and Northern dialects of Lomongo, however, it has the shape [Ca]. As in Lengola, the fixed vocalism is presumably a reflection of the FV *-a*, hence the reduplicant can be analyzed as C-a+. If we were to extend Downing’s analysis of the Kinande “minimal canonical D-stem” CVC-a, we would have to say that in Lengola and Lomongo the minimal canonical D-stem is C-a+. However, we know of no evidence for this. Rather, in this construction we would simply say that RED =  $\sigma$  and the Bantu-specific constraint FV[-a] is ranked high.

Finally, note that even in languages where there is a bisyllabic minimum in effect, CVC-a can be a possible reduplicant without there having to be a corresponding CVC- root. The example comes from Kikuyu (Peng 1991; Downing 1999):

|         |        |            |   |               |
|---------|--------|------------|---|---------------|
| (63) a. | kor-a  | ‘grow’     | → | kor-a+kor-a   |
|         | cin-a  | ‘burn’     | → | cin-a+cin-a   |
| b.      | koor-a | ‘pull out’ | → | koor-a+koor-a |
|         | ḃuut-a | ‘depose’   | → | ḃuut-a+ḃuut-a |

|    |            |               |   |                   |
|----|------------|---------------|---|-------------------|
| c. | βocor-a    | ‘be indented’ | → | βoc-a+βocor-a     |
|    | hɔɔrɛr-a   | ‘be quiet’    | → | hɔɔr-a+hɔɔrɛr-a   |
| d. | ciyerer-a  | ‘encircle’    | → | ciy-a+ciyerer-a   |
|    | hwerɛrɛk-a | ‘tilt’        | → | hwer-a+hwerɛrɛk-a |

When the base is CVC-a or CVVC-a, as in (63a,b), reduplication appears to be total.<sup>18</sup> The longer stems in (63c,d) indicate, however, that only the first CV(V)C- may appear in the reduplicant, and that the FV -a is required. To account for this, Peng refers to the initial CV(V)C- of verbs as a prosodic minimal root ( $R_{\min}$ ) that has the shape  $[\sigma.C]$ . In our framework, the FV[-a] simply outranks MAX(Root).

What these data from Lengola, Lomongo, and Kikuyu clearly show is that each of the properties of reduplicants can be independently manipulated and reranked in terms of the appropriate constraints. Thus, although MAX(Root) is very important crosslinguistically, it can be outranked by RED $[\sigma\sigma]$  in Ndebele, by RED $[\sigma]$  in Lengola and Lomongo, or by FV[-a] in Kikuyu. In fact, MAX(Root) appears to be even more seriously violated in at least some realizations in Bukusu in (66) below. To appreciate this, however, we need to seek explanations for two crucial—and, as we will suggest—related questions: Why should there be a tendency to realize as much of the root as possible in Bantu verb-stem reduplication? Why is Bantu verb-stem reduplication prefixal?

The most immediate answer that linguists will probably advance to the first question is that roots—an open morphological class—are universally more contrastive and salient than grammatical affixes. However, a moment’s reflection will reveal that this answer is insufficient. We suggest a second contributor to relative high ranking of MAX(Root), namely that a Bantu verb-stem reduplication is prefixal. As originally observed by Marantz (1982), prefixal reduplication tends to be left-anchored, while suffixal reduplication tends to be right-anchored. Schematically, the unmarked mappings (in Marantz’s framework) or Base-Reduplicant correspondences (McCarthy and Prince 1995) are as in (64a), their marked counterparts as in (64b).

(64) a. *Unmarked*

|           |      |   |         |
|-----------|------|---|---------|
| Prefixal: | tuki | → | tu+tuki |
| Suffixal: | tuki | → | tuki+ki |

b. *Marked*

|           |      |   |         |
|-----------|------|---|---------|
| Prefixal: | tuki | → | ki+tuki |
| Suffixal: | tuki | → | tuki+tu |

Niepokuj (1991) speculates that the more widespread unmarked situation in (64a) has a functional explanation: in these outputs the copied sequence in the reduplicant appears adjacent to the same sequence in the base. As a result, the reduplicative process is more transparent—that is, not requiring a long-distance identification as in

(64b). It is therefore to be expected that there will be greater faithfulness to the root in prefixal reduplication than there will be to suffixes.

To show that this is the correct interpretation, consider what Bantu verb-stem reduplication would look like if it were suffixal. Let us take the same forms we considered from Ndebele in (3) and assume that the suffixed reduplicant is subject to  $\text{Red}(\sigma\sigma)$ . Possible outputs might be those indicated in (65).

- |         |          |                 |                    |                    |
|---------|----------|-----------------|--------------------|--------------------|
| (65) a. | lim-el-a | lim-el-a+lim-e  | ‘cultivate for/at’ | (applicative -el-) |
|         |          | lim-el-a+lim-a  |                    |                    |
|         | lim-is-a | lim-is-a+lim-i  | ‘make cultivate’   | (causative -is-)   |
|         |          | lim-is-a+lim-a  |                    |                    |
| b.      | lim-el-a | lim-el-a+m-el-a | ‘cultivate for/at’ |                    |
|         | lim-is-a | lim-is-a+m-is-a | ‘make cultivate’   |                    |

In the mirror-image realizations of Ndebele in (65a), we provide two variants for each reduplication: the first copies the first two syllables of the base, while the second uses the FV *-a*. Such realizations, which represent the marked base-reduplicant correspondence in (64b), are unattested in Bantu. The unmarked BR correspondence with right-anchoring is given in (65b), where suffixes are preserved at the expense of (part of) the root *-lim-*. The realizations in (65b) violate  $\text{MAX}(\text{Root})$  in a way that (65a) does not, but can we say that they are any less “natural” or expected?

While we have not (yet?) found exact outputs as in (65b) in any Bantu language, we have noted some variants in Bukusu that are highly suggestive.<sup>19</sup> Thus, consider the reduplications in (66).

- |         |          |                    |   |                |
|---------|----------|--------------------|---|----------------|
| (66) a. | lim-a    | ‘cultivate’        | → | lim-a+lim-a    |
|         | rém-a    | ‘cut’              | → | rém-a+rem-a    |
| b.      | lim-il-a | ‘cultivate for/at’ | → | lim-a+lim-il-a |
|         | rém-er-a | ‘cut for/at’       | → | rém-a+rem-er-a |
| c.      | kacul-a  | ‘chat, talk’       | → | kacul-a+cul-a  |
|         | mulix-a  | ‘flash’            | → | mulix-a+lix-a  |

In (66b), where the applicative suffix has been added, reduplication is prefixal. Consider, however, the forms in (66c), where the stem contains an unanalyzable CVCVC- verb base. While some such verbs reduplicate fully, these reduplicate by means of a truncated “second part”—that is, by apparent suffixation.<sup>20</sup> The generalization is that the speaker chooses to isolate the root at the left and the suffixes at the right of the total form. Why should this be?

We suggest that the answer is the same as to our second question: Why should Bantu verb-stem reduplication be prefixal? The Bantu verb stem is suffixal, as we have seen. Speakers are therefore accustomed to both derivational and inflectional grammatical marking at its right edge. If reduplication were to proceed as in (65a), this general organizing principle would be violated. The resolution is thus as in (66b):

the root appears at the left of the structure, where it is expected, and the suffixes appear at the right, where they too are expected. The resolution in (66c) is thus ingenious in that the second part of the R-stem includes all of the suffixal material—and only a minimum of root material. The fact that any of the root occurs in the second part at all is presumably due to the otherwise exceptionless property that affixes cannot occur without a root. Since this is but one of the reduplicative strategies employed by our consultant, it would be much more interesting if some Bantu language had only this pattern.

To summarize this last result, we suggest that MAX(Root) is driven by the suffixal nature of the Bantu verb stem. We therefore make the following general markedness claims concerning the affix orientation of the reduplicant crosslinguistically: (i) the reduplicant will tend to be prefixed when the base has a suffixing structure, and (ii) the reduplicant will tend to be suffixed when the base has a prefixing structure. We have already seen the evidence for prefixal reduplication in Bantu verb-stem reduplication. On the other hand, Mutaka and Hyman (1990) demonstrate that noun reduplication is a word-level suffixal process in Kinande:

- |         |          |              |                |                     |
|---------|----------|--------------|----------------|---------------------|
| (67) a. | ku-gulu  | ‘leg’        | ku-gulu.gulu   | ‘a real leg’ (etc.) |
|         | mu-góngò | ‘back’       | mu-góngo.góngò |                     |
|         | kú-boko  | ‘arm’        | kú-bokó.boko   |                     |
| b.      | o.mú-twe | ‘head’       | mú-twé.mú-twe  |                     |
|         | bi-la    | ‘intestines’ | bi-la.bi-la    |                     |
|         | ká-ti    | ‘stick’      | ká-ti.ká-ti    |                     |

The direction of affixation is not evident in (67a), where we might think that it is the stem that has been totally reduplicated. However, the nouns in (67b) provide the answer. Here, the noun class prefix is also reduplicated to fill out the bisyllabic prefixal template. Mutaka and Hyman’s analysis is that the prefix+stem is reduplicated as a suffix, and the noun prefix is copied in (67b) because the stem is monosyllabic. In our view, suffixal reduplication is possible in noun reduplication because nouns are not characterized by extensive suffixation. Instead, they are most notably marked by noun class prefixes. As such, they are good candidates for suffixal reduplication, as per (67b).

In this study we have had several goals. A first goal has been to document in some detail the nature of verb-stem reduplication in Ndebele. A second goal has been to contribute to discovering the full class of reduplicative parameters that can be set by means of different constraint rankings. In so doing, a third goal has thus been to contribute to Optimality Theory in providing yet another example of language-internal variation that can be attributed to free constraint ranking. Finally, we have illustrated the need for enforcement of constraints on subtrees (cyclicity) and have provided a morphological reanalysis of apparent backcopying in reduplication.

## Notes

This is a shortened (and only slightly updated) version of a paper prepared on April 25, 1998, for the Trilateral Phonology Weekend (TREND) meeting in Berkeley. We are grateful for several helpful discussions, especially with Laura Downing. Research on the Ndebele lexicon, supported by the Comparative Bantu On-Line Dictionary (CBOLD) project, was funded in part by National Science Foundation grant SBR96-16330.

1. Verb tone is indicated throughout by (H) following verb stems with High-tone roots and (H/L) following verbs in which the root can take both High and Low tone. Unmarked forms have Low-tone roots. High tone on consonantal roots is realized only in the presence of extension suffixes.
2. Technically, *-ile* can be demonstrated to consist of two suffixes, *-il-e*, since the passive *-w-* appears between them—for example, *si-thúny-i-w-e* ‘it was sent’ (cf. corresponding active stem: */thum-il-e/*).
3. Completeness would require us to consider, in addition, reduplicants lacking the FV *-a*. This would force us to use the additional notational complication of *tableaux des tableaux* (Itô, Mester, and Padgett 1995) for dealing with allomorphy. We leave this to the reader.
4. We assume that *-yi* is disallowed in regular D-stems because it serves no function and thus gratuitously violates \*STRUC (the general ban on structure of all kinds). Below we relate the presence of prefixal *yi-* in imperatives such as *yi-dla* ‘eat!’ to the macrostem level.
5. We are not considering the possibility that the [e] of [dl-e] is interpreted as the subjunctive final vowel *-e*, since the base in this case is not subjunctive, and since the IFS *-e* never reduplicates under any condition.
6. In proposing that OM<sub>s</sub> belong to a Macrostem constituent that excludes the IFS, we depart from the tradition of analyzing them as sisters to the I-stem. In a number of Bantu languages that otherwise restrict the prefix slot to one OM, the first-person singular prefix *n-* can still co-occur with a second OM. A reasonable conclusion to draw is that it joins the stem, thereby freeing up the one prefix slot for a second OM (Schlindwein 1986).
7. Another option for generating backcopying would be to assume a phonological correspondence between reduplicant and base, following McCarthy and Prince 1993b, 1995, and use an anchoring constraint. This would capture the generalization that the affixes that force backcopying are both prefixes: ANCHOR-L: The initial elements of RED and Base must correspond.
8. The imbricated forms derive historically from *\*bonakail-e*, *\*dumail-e*, and *\*thaith-e*. See Bastin 1983 and Hyman 1995 for further evidence and discussion.
9. It should be pointed out that some verbs do not accept the imbricated form, while still others show variability. In addition, verbs whose last vowel is other than /a/ may also take the “short” *-e* form in the perfective, but in these cases there is no umlaut, e.g. *a-dabul-ile* = *a-dabul-e* ‘he tore’.
10. An alternative would be to analyze the umlaut as an “extension,” where it would be optionally parsed under reduplication, exactly like applicative *-el-* and causative *-is-*. While this works for the perfective, it does not generalize to the passive construction analyzed in section 13.5. We therefore prefer to say that speakers are inconsistent in assigning the umlauting feature to either the IFS or to the D-stem, as in (40a,b). The one exception is where the umlaut is assigned to an extension with /a/ (e.g., *thum-an-a* ‘send each other’ → *ba-thum-en-e* ‘they sent

each other'). In this case the umlaut is assigned to *-an*—that is, under the extension node in (40b).

11. The imperviousness of morpheme-initial labials to palatalization has been addressed by Chen and Malambe (1998), Downing (1998), and Sibanda (1999a); we will pay it no further attention here.

12. The forms in (47) are the only examples we know where tautomorphemic Cw is found in post-stem-initial position. Since the glides of initial [ye] and [wo] in (47a,b) can be treated as epenthetic, the correct generalization may be that tautomorphemic /w/ appears only after the first consonant of a stem. See Sibanda 2004.

13. For a comprehensive study of verb extension combinations in Ndebele, see Sibanda 2004, where it is shown that the “morphotactically unmarked” linear order is *-is-el-an-w-* (causative-applicative-reciprocal-passive); also Hyman 2003 for an overview of templatic suffixing ordering in Bantu.

14. The only Central Bantu language we know with tone transfer in verb-stem reduplication is Chichewa, where each stem is a phonological word (Mtenje 1988; Kanerva 1989; Hyman and Mtenje 1999; Myers and Carleton 1996).

15. A second situation in which the OM sometimes becomes reduplicated is when it fuses with the root—that is, when the OM is either a homorganic nasal, or a CV- prefix followed by V-initial root, as in Kihehe (Odden and Odden 1985).

16. In Basaa, for instance, nouns are diminutivized by CV prefixal reduplication and a shift to class 19/13 (prefixes *hi-/di-*). As seen in the following examples provided by Deborah Schmidt, the full form typically ends in *-á*: *mim* ‘body’ → *hi-mi+mim-á*, *ɓɛl* ‘thigh’ → *hi-ɓɛ+ɓɛl-á*, *lɔŋ* ‘country’ → *hi-lɔ+lɔŋ-á*.

17. Study of Giphende was conducted with Mwatha Ngalasso. The final *-i* of the recent past optionally assimilates to the preceding vowel. Thus, the two forms in (60b) may also be realized *tw-a-som-ó* and *tw-a-meng-é*.

18. Peng cites forms without tones and without FV. We have added *-a* to all verb bases to make them more comparable to those seen in Ndebele and elsewhere in this study.

19. These forms were collected by Julia Hill in an undergraduate field methods course at UC Berkeley in fall 1997. The consultant was Wanjala Khisa, who showed a great deal of variation (and with whom Downing 2004, pursued a more detailed study).

20. We use the designations “first part” and “second part” instead of reduplicant and base, since it is not evident which is which in these forms. This problem, anticipated in Niepokuj 1991, is further explored in Inkelas and Zoll 2005.

