

**Ontogeny recapitulates phylogeny:  
Child speech development as a microcosm of sound change**  
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## I. Introduction

- (1) *Ontogeny recapitulates phylogeny*: There are striking parallels between child phonological processes and the typology of sound change in adult grammars. (Schleicher, 1861; de Saussure, 1915; see excellent summary in Foulkes & Vihman, 2013).
- Patterns such as stopping, cluster reduction, final devoicing, and final consonant deletion are well-attested in both child speech and sound change (Greenlee & Ohala 1980, Locke 1983, Vihman 1980).
- (2) *Children as agents of sound change?* It has been proposed that children’s imperfect learning of the adult grammar could provide the driving force for language change (e.g. Paul 1886; Sweet 1888; Grammont 1933; Andersen 1973, Kiparsky 1965).
- “the processes of learning language are of supreme importance for the explanation of changes... they represent the most important cause of these changes” (Paul 1886: 34)
- (3) Arguments against this hypothesis:
- Lack of systematicity across children (Saussure 1915, Kiparsky 1988)
  - Lack of evidence that adults adopt variants innovated by children (Aitchison 2003).
  - Differences between child speech patterns and adult sound change (Vihman, 1980, Kiparsky 1988:390); see example of a child-specific pattern in Table 1.
  - Transience of children’s speech patterns: Innovated patterns do not persist in the speech of individual children.

| <i>Positional velar fronting (Inkelas &amp; Rose, 2007). Preceded by accurate velar production. Persisted for around a year before being abruptly eliminated</i> |  |                           |         |
|--|--|---------------------------|---------|
| a.   | Fronting of velars in prosodically strong positions      |                           |         |
|  | cup  | [ <sup>h</sup> tʰʌp]      | 1;09.23 |
|  | again  | [ə'dɪn]                   | 1;10.25 |
|  | conductor  | [tʌn'dʌktə]               | 2;01.21 |
|  | hexagon  | [ <sup>h</sup> hɛksə,dɔn] | 2;02.22 |
| b.   | Absence of velar fronting in prosodically weak positions |                           |         |
|  | bagel  | [ <sup>h</sup> bejgu]     | 1;09.23 |
|  | back   | [ <sup>h</sup> bæk]       | 1;10.02 |
|  | octopus  | [ <sup>h</sup> aktəpʊs]   | 2;04.09 |

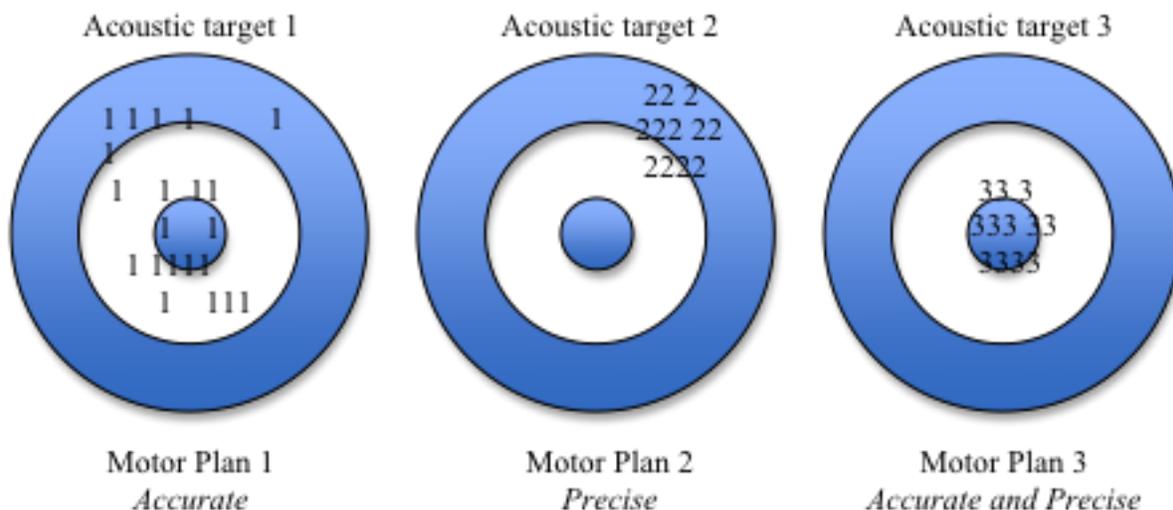
**Table 1: A child-specific transient pattern: Positional velar fronting**

- (4) Our goal: Explain how and why children show systematic, transient, non-adult-like speech patterns en route to the acquisition of a mature L1 phonology.
- Many child speech patterns have striking parallels with children’s performance limitations.
    - e.g. Velar fronting is related to large size and anterior position of child’s tongue.
  - But children’s errors are systematic and sensitive to phonological structures— inconsistent with a performance-only account à la Hale & Reiss (1998, 2008).

- (5) We propose that child-specific speech patterns reflect phonologization of child-specific performance limitations (e.g. Inkelas & Rose, 2007; McAllister Byun, 2012).
- An actuation problem in child phonology: Phonetic pressures that give rise to (e.g.) velar fronting are shared across many children, but not all children exhibit a systematic pattern of velar fronting.
- (2) In this talk, we present a model which covers:
- The actuation of child-specific phonological patterns
  - The trajectory (usually, stability then elimination) of these patterns
  - ...with implications for sound change

## II. The A-map model (McAllister Byun, Inkelas & Rose 2012; Inkelas, McAllister Byun & Rose 2012; McAllister Byun & Inkelas 2012)

- (7) Proposal: The A(RTICULATORY)-MAP MODEL.
- Candidates have an associated motor-acoustic mapping whose properties play a role in the comparison of candidates by the production grammar.
  - Selection is influenced by two competing pressures: **Accuracy** (matching adult acoustic target) and **precision** (mapping to predictable, replicable acoustic outcomes)
  - ACCURATE and PRECISE are the corresponding grammatical constraints.



**Figure 2: Schematization of accuracy versus precision**

- (8) Assessment of ACCURATE/PRECISE is determined with reference to the A-map, a dynamically updated distillation of information from the child's experience in producing and perceiving speech.
- We assume an exemplar space populated by episodic traces of motor plans executed and acoustic outcomes, with links between them.
  - Exemplar space also encodes acoustic traces produced by other speakers.
  - Traces decay over time.

- (9) An A-map entry is a vector with three components:  $\langle MP_{mean}, A_{mean}, A_{SD} \rangle$ .
- $MP_{mean}$  = stored motor plan, as averaged over cloud of previous executions of closely related motor plans.
  - $A_{mean}$  = center, in multidimensional acoustic space, of the cloud of acoustic outcomes associated with past executions of motor plan  $MP$ .
  - $A_{SD}$  = standard deviation of the entire distribution of acoustic outcomes associated with past executions of  $MP$ .
- (10) Relation of A-map to constraint violation
- Magnitude of ACCURATE violation is determined by the distance between  $MP$  and the center of  $T$ , the cloud of traces representing adult productions of the target.
  - Magnitude of PRECISE violation is determined by  $A_{SD}$ . A broader, more scattered cloud (large  $A_{SD}$ ) incurs a greater penalty than a compact cloud.
  - In Figure 2, ACCURATE prefers (A) over (B), while PRECISE favors (B) over (A).



**Figure 2. Accuracy versus precision in the A-map**

- (11) When motor targets are complex, frequent performance breakdowns create considerable scatter in actual acoustic outcomes around the intended target. Sources of error:
- Random noise (trial-to-trial variability) in execution of a selected motor plan
  - Motor plan referral: One motor plan is targeted for production, but interference from a similar, highly activated plan results in execution of the non-target plan.
    - Compare slip-of-the-tongue errors in spreading activation models of adult speech.
    - Acoustic outcome has links to both intended plan and plan that was actually executed.

### III. Case study: Consonant-vowel interactions in a child acquiring English

- (12) Some children acquiring English show pattern in which major consonant place is conditioned by vowel context (Bates, Watson & Scobbie 2002, citing Fudge 1969)
- Alveolar place before a front vowel
 

|       |       |
|-------|-------|
| drink | [ti]  |
| again | [den] |
  - Velar place before a back unrounded vowel
 

|        |        |
|--------|--------|
| truck  | [kʌk]  |
| garden | [gʌŋ]  |
| doggie | [gʌgu] |

- (13) Motor pressures underlying consonant-vowel interactions:
- In early stages of development, children produce gross speech gestures in which multiple structures (e.g. jaw and tongue, jaw and lips) move together as a single unit.
  - Lingual control is especially difficult, so tongue may borrow its movements from the active jaw articulator (Green, Moore & Reilly 2002; MacNeilage & Davis 1990).
  - “Frame-dominance” (MacNeilage & Davis 1990): Young child’s optimal speech pattern features open-close jaw oscillations with no change in position of tongue relative to jaw.
    - Since tongue does not move independently, identity of consonant is highly constrained by vocalic context.
- (14) Frame dominance in the A-map:
- Syllable with front vowel + coronal consonant or back vowel + velar consonant is more stable than a syllable in which consonant and vowel have conflicting place specifications.
  - When non-homorganic syllable is attempted, frequent performance errors yield high  $A_{SD}$ .
  - Subset of possible A-map vectors for target *again* ( $\langle MP_{mean}, A_{mean}, A_{SD} \rangle$ ):
    - $\langle /g\epsilon n/, [g\epsilon n], 2 \rangle$
    - $\langle /d\epsilon n/, [d\epsilon n], 1 \rangle$
    - $\langle /g\Lambda\eta/, [g\Lambda\eta], 1 \rangle$
- (15) We implement the A-map model in the Harmonic Grammar framework (Legendre, Miyata & Smolensky, 1990); ACCURATE and PRECISE are weighted constraints whose violation magnitude is calculated with reference to the A-map.

- (16) Comparison of candidates for target *again*

|    | Adult target: [gɛn]                                 | PRECISE | ACCURATE | H  |
|----|---|---------|----------|----|
|    |   | $w = 2$ | $w = 1$  |    |
| a. | $\langle /g\epsilon n/, [g\epsilon n], 2 \rangle$   | -2      |          | -4 |
| b. | $\langle /d\epsilon n/, [d\epsilon n], 1 \rangle$   | -1      | -1       | -3 |
| c. | $\langle /g\Lambda\eta/, [g\Lambda\eta], 1 \rangle$ | -1      | -2       | -4 |

- (17) Bias against heterorganic syllables originates in a phonetic performance limitation, but it is expressed in grammatical computations through influence of PRECISE.

#### IV. Analogies between sound change and child phonology in the A-map model

- (18) Garrett & Johnson (2013) (also Blevins 2004): Three key elements of phonologization
- Existence of *structured variation*
  - Constraints on selection*, i.e. “linguistic factors influence the choice of variants”
  - Innovation*, i.e. individual-level behaviors that initiate and transmit change
- (19) Structured variation:
- Child speech is more variable than adult speech; children are more susceptible to the type of articulatory and perceptual pressures that yield variation in adult speech communities.
  - Some aerodynamic and articulatory biases are shared across children and adults.
  - Other phonetic biases are specific to child speakers (e.g. poor tongue-jaw dissociation).
  - Output of a single child is a microcosm of the structured variation found in an adult speech community. Areas of dissociation derive from child-specific phonetic pressures.

- (20) Constraints on selection
- Variation originates by random chance, shaped by shared and unshared phonetic biases.
  - A-map keeps track of variation in the child's input and output.
  - Systematic patterns emerge from interplay between PRECISE and ACCURATE (along with other constraints).
- (21) Innovation:
- Children produce a range of forms due to errors in motor planning or execution, as well as vocal play/experimentation.
  - All of these motor-acoustic mappings are tracked in the A-map.
  - An innovative form will gain traction when PRECISE and ACCURATE repeatedly judge it to be optimal.
  - Children whose grammar assigns a high weight to PRECISE will deviate from the adult target (i.e. innovate) more than children with high-weighted ACCURATE.
    - Previous accounts (e.g. Vihman & Greenlee, 1987) have characterized these individual differences as a reflection of personality traits such as tolerance for risk-taking; compare Yu (2010).
- (22) Extreme innovation in child speech: English-acquiring child C (Bedore et al., 1994).
- C produced a dental click [l̪] for target coronal sibilants /s, z, ʃ, ʒ, ʒ, ʒ, dʒ/ (a-c), but produced other fricatives correctly (d-e):

|                     |           |          |                   |        |         |
|---------------------|-----------|----------|-------------------|--------|---------|
| a. Target /s, z/:   | saw       | [l̪ɔ]    | d. Target /θ, ð/: | teeth  | [tiθ]   |
|                     | this      | [ðɪ]     |                   | that   | [ðæt]   |
|                     | preschool | [pwi u]  |                   | thing  | [θɪŋ]   |
|                     | sometimes | [əmtaɪm] |                   |        |         |
| b. Target /ʃ, ʒ/:   | shark     | [ ark]   | e. Target /f, v/: | feet   | [fi]    |
|                     | treasure  | [twɛ ʒ]  |                   | before | [bɛfɔv] |
|                     | fish      | [fi]     |                   | have   | [hæv]   |
| c. Target /tʃ, dʒ/: | match     | [mætʃ]   |                   | even   | [iven]  |
|                     | jelly     | [ ɛwi]   |                   |        |         |
|                     | orange    | [owən]   |                   |        |         |

- (23) Motor and acoustic factors underlying C's speech pattern:
- Dental click is neither featurally nor articulatorily a good match for sibilant targets.
  - But acoustically, the high-frequency spectral energy of sibilants is similar to the noise produced at the release of [l̪] (Bedore et al., 1994).
  - Clicks tend to be early-emerging in languages that have them (e.g. Mowrer & Burger, 1991); may be motorically simpler than sibilants.
- (24) A-map account:
- C's initial attempts to produce sibilant fricatives led to frequent performance errors, yielding a high  $A_{SD}$ /large-magnitude PRECISE violation.
  - Through experimentation, C had at some point produced dental clicks. Due to relatively low motor demands, dental clicks had a low  $A_{SD}$  in C's A-map.
  - Acoustic similarity between [l̪] and sibilants produced a sufficiently low ACCURATE violation to allow [l̪] to beat out competitors like [t].

- (25) Why don't the same phonetic pressures give rise to click substitution in more children?
- We assume it is unusual for a child to have experimented with clicks recently enough for the A-map to register them as suitable MP candidates for sibilant output targets.
  - A-map model: Individual children differ in their speech output histories, so the determination of the most stable form will necessarily vary across individuals.
  - The role of chance and individual experience in determining a child's phonological patterns can be compared to the complex, non-deterministic relationship between phonetic precursors and phonologized patterns in sound change actuation.

#### V. A persisting influence of the A-map in sound change?

- (26) Could the same mechanism used to capture child speech patterns also play a role in modeling sound change?
- (27) Capturing maturation in the A-map model
- PRECISE and ACCURATE change in weight over time, but they remain part of the grammar as the child matures. No assumption of child-specific constraints.
  - However, the effects of PRECISE are attenuated as the A-map changes over the course of normal neuromuscular maturation.
  - *Example*: Motor control stabilizes earlier for jaw than for tongue. Once lingual control stabilizes, though, both jaw and tongue gestures are trivially easy to execute.
    - Targets previously associated with different-sized violations of PRECISE now converge on similar values.
  - As A-map flattens, ACCURATE plays more decisive role, privileging adult-like forms.
- (28) However, the A-map is never *completely* flat.
- Some pressures that produce systematic errors in children remain present at a low level in adults.
  - May drive gradient phonetic tendencies or sporadic speech errors.
  - If there are meaningful differences in the stability of motor-acoustic mappings within a pool of phonetic variants, PRECISE will favor more stable variants.
- (29) Example: Consonant harmony
- Systematic patterns of CH can be found in children, where assimilation involves major place of articulation, and in adults, where only minor place is involved.
  - Both types of harmony bear a striking resemblance to patterns of assimilation in adult speech errors (Hansson 2001, Garrett & Johnson 2013).
    - e.g. *popcorn* → [kɑpkɔrn], *sunshine* → [ʃʌnʃam]
  - Young children, still mastering the motor skill of producing a sequence of similar but non-identical consonants, produce these errors with particularly high frequency.
  - This, via PRECISE, can produce a systematic pattern of consonant harmony (McAllister Byun & Inkelas, 2012).
  - Children quickly overcome the motor difficulty associated with alternating between major places of articulation, and major place harmony is typically suppressed by 3;0.

- (30) Lingering effects of PRECISE in adult consonant harmony?
- Some errors persist in the more challenging context of alternating between similar segments that differ only in minor place.
  - Error rates vary across adult speakers.
  - Perceptual mismatch created by minor place error is small (low ACCURATE violation).
  - Possible that assimilated form could emerge as most harmonic in the grammar of an adult who experiences particular difficulty with consonant place sequencing.
    - Phonologization: Consonant harmony has taken on phonological status in the grammar of the individual in question.
  - Transmission of the sound change depends on other factors such as social status of speaker who phonologizes the change (Baker, Archangeli, & Mielke, 2011).
  - Probability that pattern will be adopted at the community level is low but non-zero.

## VI. Conclusion

- The same processes of variation, competition, and selection operate in sound change and in many child-specific phonological patterns.
- The changes occurring in childhood are typically greater in magnitude than any changes exhibited over the adult lifespan.
- Child speech development can thus offer an improved lens through which to view the actuation of a sound change: the changes are more dramatic and unfold quickly enough that they can be observed in real time within individuals.
- Cross-fertilization between children's phonological development and adult sound change should be encouraged (Ferguson and Farwell 1975).

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